SPRS

Supplier Performance Risk System

Government User Guide

SPRS SOFTWARE USERS'S GUIDE FOR GOVERNMENT

NSLC PORTSMOUTH BLDG. 153-2 PORTSMOUTH NAVAL SHIPYARD, PORTSMOUTH, NH 03804-5000

This page intentionally left blank.

SPRS Document Acceptance

The undersigned agree this Supplier Performance Risk System (SPRS) Software User’s Guide accurately describes the SPRS and the activities surrounding its development.

____

Project Manager

Record of Versions and Changes

Document Version #	Version Date	Detailed Description of Change
1	MAR 2007	Baseline document
2	MAR 2009	Updates for V1.0.00134
3	SEP 2009	Updates for V2.0.00000
4	SEP 2012	Updates for V2.2.13
5	MAY 2013	Updates for V2.2.17
6	JAN 2014	Updates for V2.2.18
7	MAR 2015	Updates for V2.2.25
8	NOV 2015	Updates for V3.0.00000
9	JUN 2016	Updates for V3.2.002
10	DEC 2016	Updates for V3.2.3
11	JUL 2017	Updates for V3.2.5
12	OCT 2017	Updates for V3.2.6
13	JAN 2018	Updates for V3.2.7
14	OCT 2018	Updates for V3.2.8
15	MAY 2019	Updates for V3.2.9
16	AUG 2019	Updates for V3.2.10
17	FEB 2020	Updates for V3.2.11
18	SEP 2020	Updated Screenshots
19	NOV 2020	Updates for V3.2.12
20	MAR 2021	Updates for V3.2.14
21	JUL 2021	Updates for V3.3
22	JUN 2023	Updates for V3.3.10
23	NOV 2023	Updates for V3.3.11

TABLE OF CONTENTS

TABLE OF FIGURES

1. WHAT IS SPRS?

The Supplier Performance Risk System (SPRS) is a web-enabled enterprise application accessed through the Procurement Integrated Enterprise Environment (PIEE), ***https://piee.eb.mil/***. SPRS (pronounced spurz) gathers, processes, and displays data about the performance of suppliers. SPRS is the Department of Defense's (DoD) single, authorized application to retrieve suppliers' performance information. (DoDI 5000.79)

SPRS alerts procurement specialists to Federal Supply Classification/Product Service Code (FSC/PSC) specific risks and risk mitigations. SPRS's Supplier Risk Score provides procurement specialists with a composite score that considers each supplier's performance in the areas of product delivery and quality. The quality and delivery classifications identified for a supplier in SPRS may be used by the contracting officer to evaluate a supplier's performance.

SPRS provides storage and retrieval for the National Institute of Standards and Technology (NIST) Special Publication (SP) 800-171 assessment results and maintains the National Security Systems (NSS) Restricted List.

Suppliers/Vendors may view their own company information in SPRS.

1.1 DOCUMENT OVERVIEW

This software user's guide provides instructions and step-by-step procedures for SPRS functionality. It describes procedures for gaining access to SPRS, obtaining reports, providing feedback, and getting help. A list of referenced links, glossary of acronyms, troubleshooting guide and other helpful appendices are available at the end of the document. Dissemination of this document is approved for public release with unlimited distribution. The content of all data files referenced within this are sensitive but unclassified; many are controlled by the Privacy Act of 1974.

1.2 SPRS CENTRAL DESIGN ACTIVITY (CDA)

Naval Sea Logistics Center (NSLC) Portsmouth is the SPRS Central Design Activity that develops, designs, and maintains the SPRS application. The CDA will:

- Maintain SPRS software
- Maintain SPRS documentation
- Provide training and documentation to activity personnel
- Provide Customer Support Center to answer customer questions
- Respond to reported questions and/or problems in SPRS
- Provide technical expertise in SPRS application administration and processing
- Ensure SPRS databases contain up-to-date and accurate information

2. ACCESSING SPRS

This section discusses how to obtain access to the SPRS application and how to work within SPRS.

2.1 MINIMUM SOFTWARE REQUIREMENTS

SPRS is fully supported by the latest major desktop version of Chrome, Firefox, and Edge. Older browsers may still view SPRS, however users should expect mixed results. A "major version" refers to a full numeric release, like 9.0 and 10.0 (not minor releases like 9.2.x and 10.2.x).

Adobe Acrobat Reader should be installed to view and print the PDF attachments in SPRS. The reader can be downloaded and installed from Adobe Acrobat. Microsoft Excel is also recommended to view any data extracted from the SPRS application. For support with downloading, or installing the reader please contact your local IT support. For additional requirements for ease of access, please contact our Technical Support (207) 438-1690, DSN 684-1690, or email **usn.pnsy.navsealogcen.mbx.ptsmh@us.navy.mil**.

2.2 GOVERNMENT ACCESS TO SPRS

SPRS access is granted through a single sign-on capability in the Procurement Integrated Enterprise Environment (PIEE), **https://piee.eb.mil/**. Acquisition professionals identified as 1102 are granted SPRS access automatically. Other government users will need to register in PIEE, **https://cac.piee.eb.mil/** and request SPRS access.

Limited access to the NSS Restricted List, NIST SP 800-171 Assessments, and Enhanced Vendor Profile is also available with Public Key Infrastructure (PKI) from the menu on the SPRS public page **https://www.sprs.csd.disa.mil/**

Detailed instructions are available at the Government Access Instructions link on the SPRS website Menu, **https://www.sprs.csd.disa.mil/access.htm**.

2.3 ACCESSING SPRS

Once the requested SPRS access role has been activated, the user is ready to log into SPRS.

To Access SPRS:

- Open a browser session (***NOTE:*** IE is not supported)
- PIEE landing page: **https://piee.eb.mil/piee-landing/**
- Click “log-in” and follow prompted log-in steps

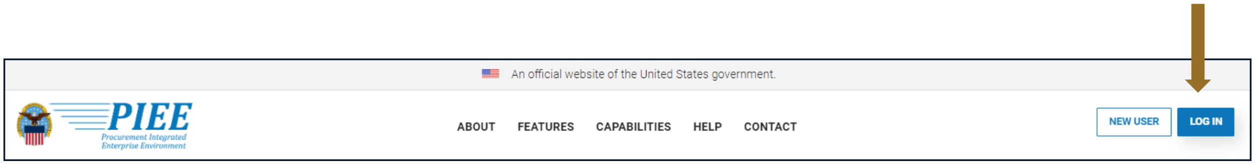


Figure 1: PIEE LOG IN Header

- Select the SPRS Tile:

Figure 2: SPRS Tile

3. SPRS USER ROLES

Two (2) basic user types may access SPRS, Vendor and Government. This section describes the Department of Defense (DoD) roles, also available in **Appendix A: SPRS USER ROLES**.

3.1 SPRS ACQUISITION PROFESSIONAL

- View Compliance Reports
- View/Execute Risk Analysis Reports
- View Performance Reports
- View Admin Report

3.2 SPRS CYBER GOVERNMENT USER

Privileged Government Access to NIST

- Add/Edit/View NIST SP 800-171 Assessment results

3.3 VENDOR THREAT MITIGATION (VTM) USER ROLES

There are two different VTM specific user roles:

VTM Acquisition Professional
The only access available for government support contractors

- View only access to the Section 841 Identifications List and VTM Reports ONLY, no access to other SPRS modules

VTM Program Officer
User must complete VTM user profile prior to entering a new Risk Rating

- Add/Edit Risk Assessment Records and view all VTM Reports, including Section 841 Identifications List

4. WORKING IN SPRS

4.1 SPRS APPLICATION LANDING PAGE:

- Click the X at the top of the Menu to close the menu. This is helpful for viewing larger reports

Figure 3: SPRS Application Landing Page with Menu

- Click the Menu Icon to display the SPRS Menu

Figure 4: SPRS Application Landing Page with Menu Closed

- SPRS uses two work areas: the menu, and the working window. Selecting a menu item will populate the working window. A third area, user news, is available only on the home landing page and can be found by selecting the Govt Home option on the left hand menu - this area is updated with each publish

Figure 5: Working Order in SPRS (SPRS Application Landing Page) with Menu

- Users will receive a 3-minute warning message if inactive or working in the same module for 12 minutes

NOTE: SPRS menu items, buttons, and controls within SPRS work areas should be used to navigate the application. Browser Back or Forward buttons may not be compatible with the functionalities of the SPRS application.

4.2 NAVIGATING IN SPRS

The Menu is grouped in sections and allows the following actions:

(see* Figure 5: Working Order in SPRS (SPRS Application Landing Page) with Menu*)

- **SPRS Icon** – Click to open the SPRS web page for general information including training and reference materials
- **Govt Home** – Click to return to the SPRS application landing page and User News.
- **Logout** – Click to log out of the SPRS application (not PIEE)
- **Compliance Reports** – Click any link to review SPRS reports
- **Risk Analysis Reports** – Click any link to review SPRS reports
- **Performance Reports** – Click any link to review SPRS reports
- **Admin Reports** – Click Data Report Search to review the records that are used to find a vendors Risk Score
- **Service** – Click Feedback/Customer Support to submit feedback or suggestions about the application to the SPRS Program Management Office (PMO)

NOTE: Help Desk email and phone number are at the bottom of every page.

5. COMPLIANCE REPORTS

SPRS provides storage and central access for a number of reports that the DoD acquisition community consult before an award.

5.1 NIST SP 800-171 ASSESSMENTS

The NIST SP 800-171 Assessment report enables the DoD to view implementation of NIST SP 800-171. Summary assessment results fall into four (4) confidence level categories: High On-site and High Virtual (conducted by DoD), Medium (reviewed by DoD), and Basic (Contractor self-assessments).

To Access NIST SP 800-171 Assessments:
Select the **NIST SP 800-171 Assessment** link from the menu to access assessments. Options on the landing page include:

- Click the Show All button to display all assessment details
- Click the Export to Excel button to export all assessment details
- Use the Criteria Search to enter choice of criteria and select search. This option will return results specific to the criteria entered

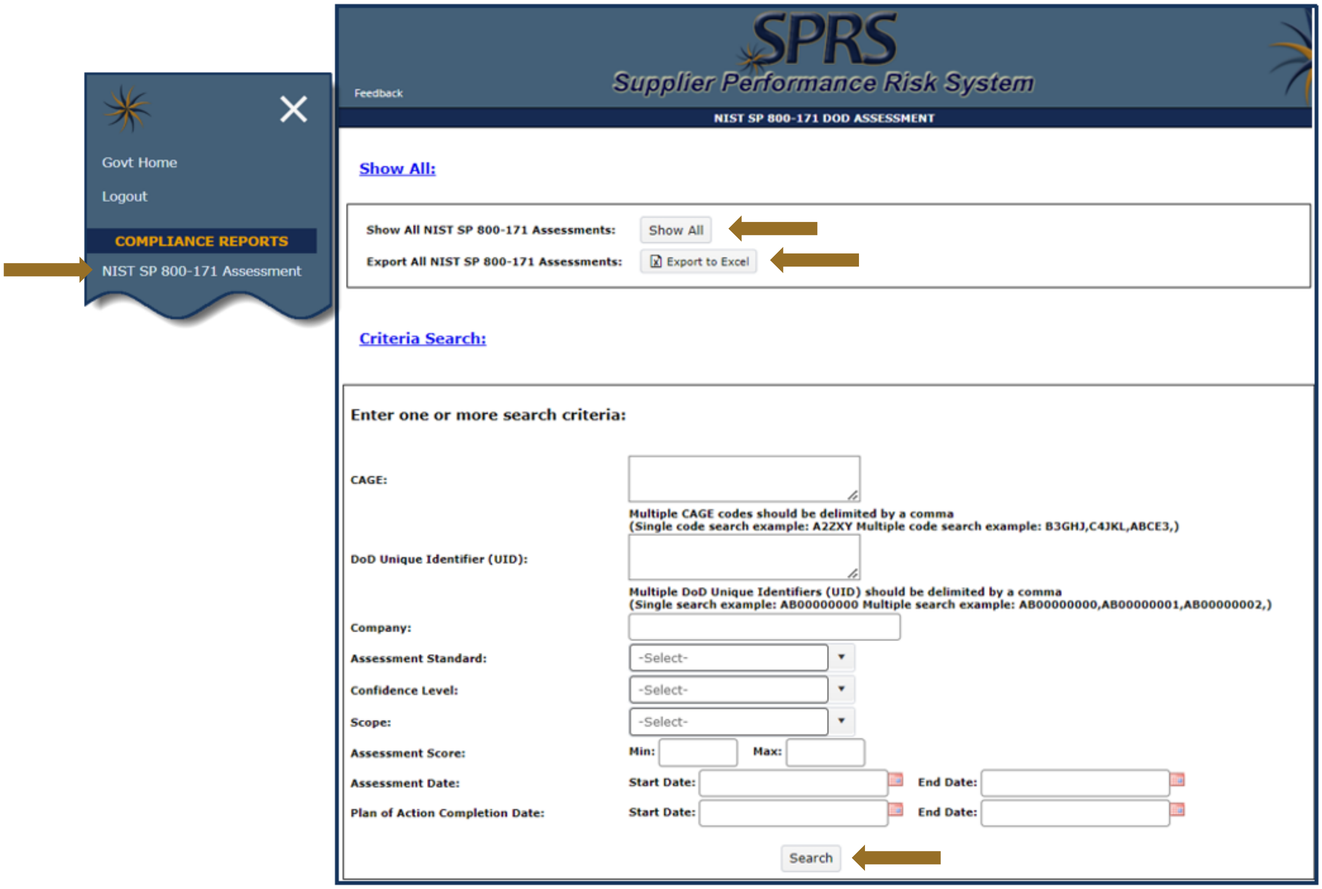


Figure 6: NIST SP 800-171 Assessment Landing Page

NIST Assessments are organized by Corporate CAGE Hierarchy Highest Level Owner (HLO)s. The module is laid out with two parts, the Header view and the Detail View. Sorting options in both views are available for any column by clicking the three vertical dots in the column title:

- **Header View:** The Header View table displays assessment details by HLO CAGE, company name, number of assessments and confidence level. Header creation is the first step to entering an assessment. Creating the header establishes the company hierarchy for a confidence level. If there is no header or the Total Assessments column shows 0, no assessment has been entered. Select the "**View Details**" button within the Header View to view assessment details associated with that confidence level.

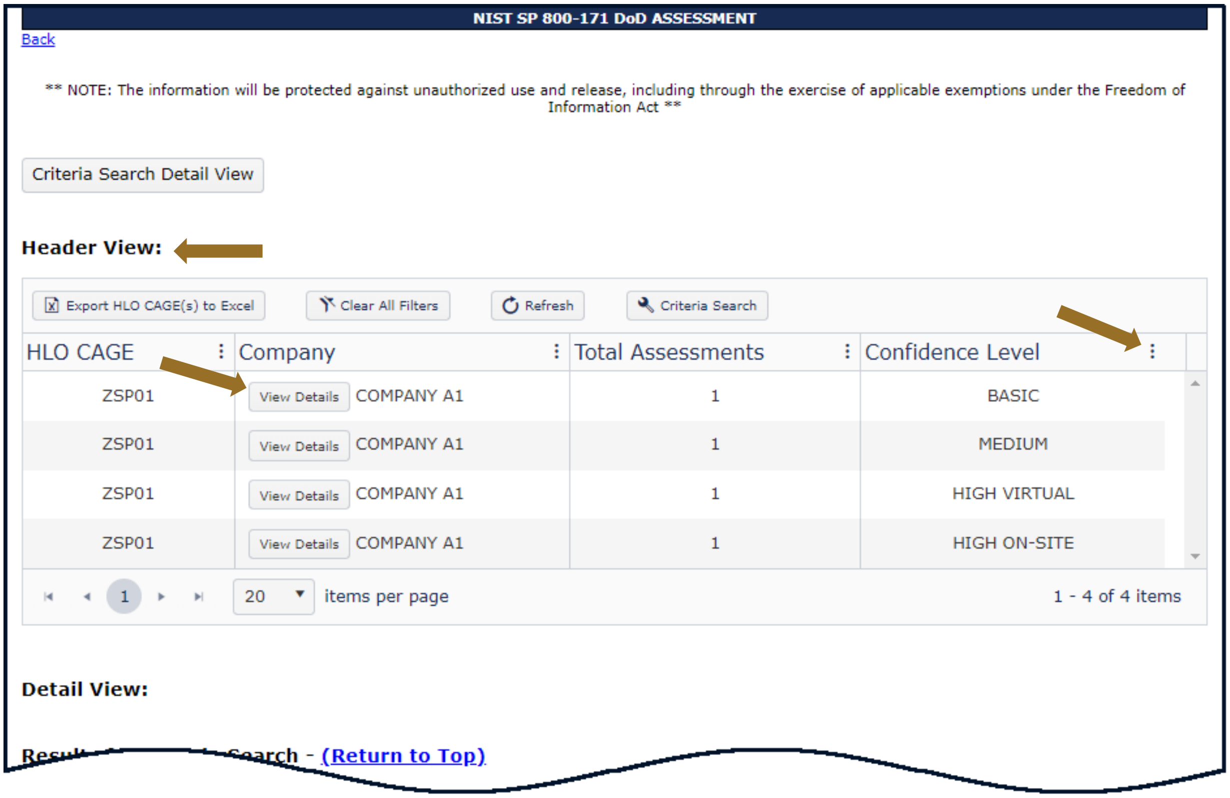


Figure 7: NIST SP 800-171 Assessment Header View

<u>**NOTE:**</u> Export HLO CAGE(s) to Excel button does not export assessment summary results**.**

- **Detail View:** Assessment details populate in a table below the Header View. Only CAGE Codes listed in the Included CAGE(s) column are considered assessed. If the HLO CAGE is not in the Included CAGE(s) column, it is not considered assessed. The Detail View has two layouts. The Assessment Date View and the All CAGE(s) view.

  - The **Assessment Date View** is a limited view of the assessment with the option to see the full details of each assessment.
  - Assessment results turn red when the assessment date expands beyond three years.

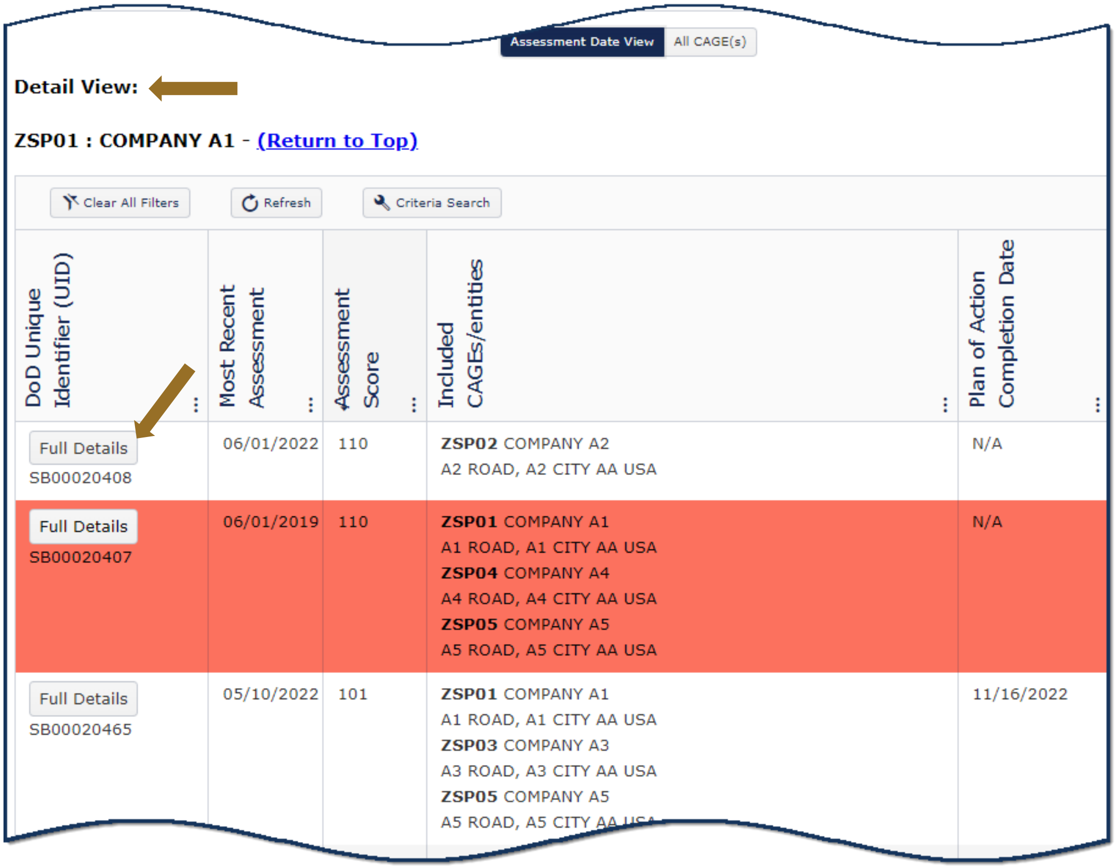


Figure 8: NIST SP 800-171 Assessment Details

NOTE: Table Column headings may require the Left Menu to be closed to fully view.

- The **Full Details** button within the Details View opens a pop-up that contains a print friendly display of all information associated with that Unique Identifier (UID).
- A **DoD Unique Identifier (UID)** is assigned to each assessment. It is an alpha numeric string of ten digits. The first two letters delineate the confidence level of the assessment. Basic, Medium, and High confidence levels start with SB, SM, SH respectively.

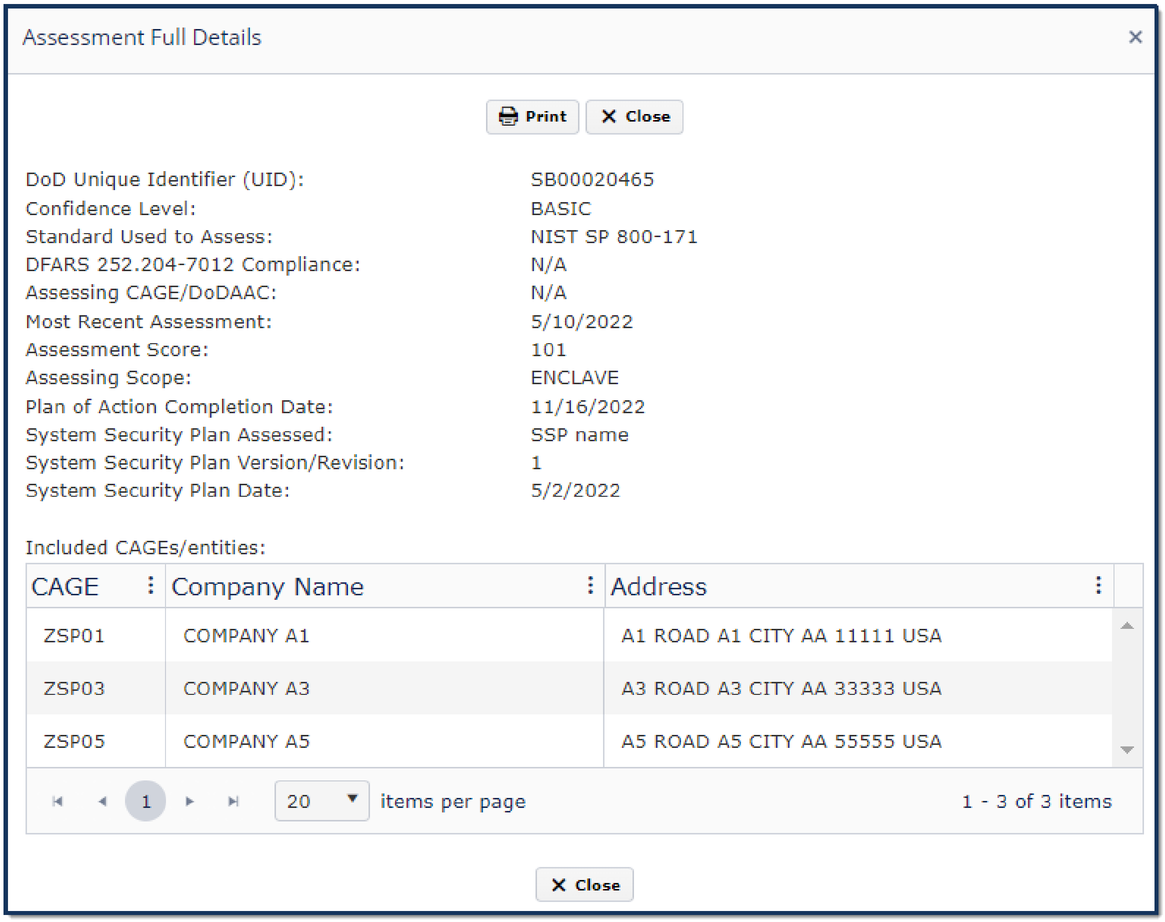
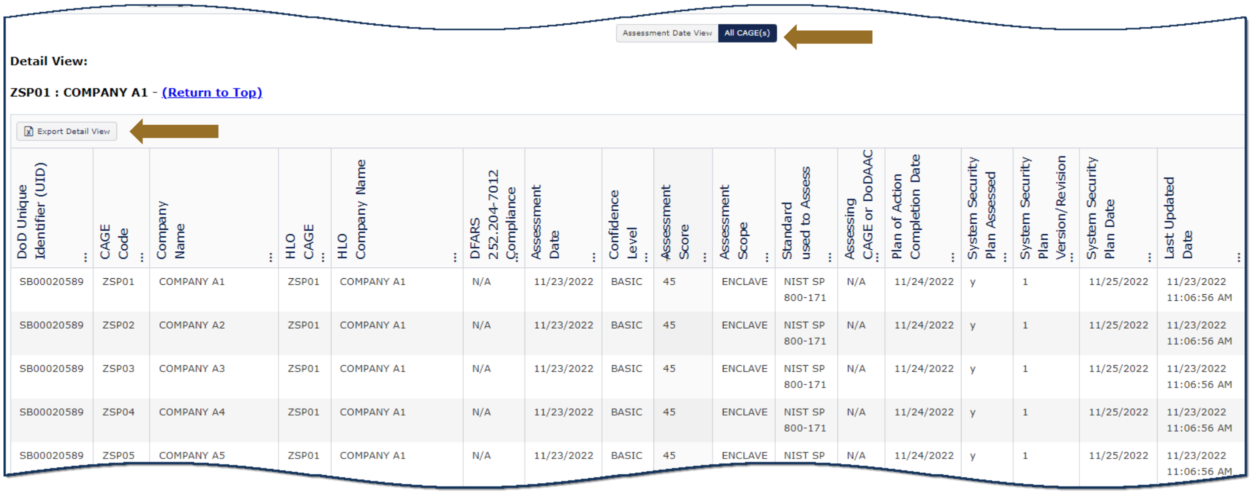


Figure 9: NIST SP 800-171 Full Details

- The **All CAGE(s)** selection located within the **Details View** section displays each assessed CAGE individually with all associated assessment details.
- **Export Detail View**: Found within the All CAGE(s) area of the Detail View section, this button exports all assessment details associated with the selected header or searched criteria



Assessment Date View | All CAGE(s)

Detail View:

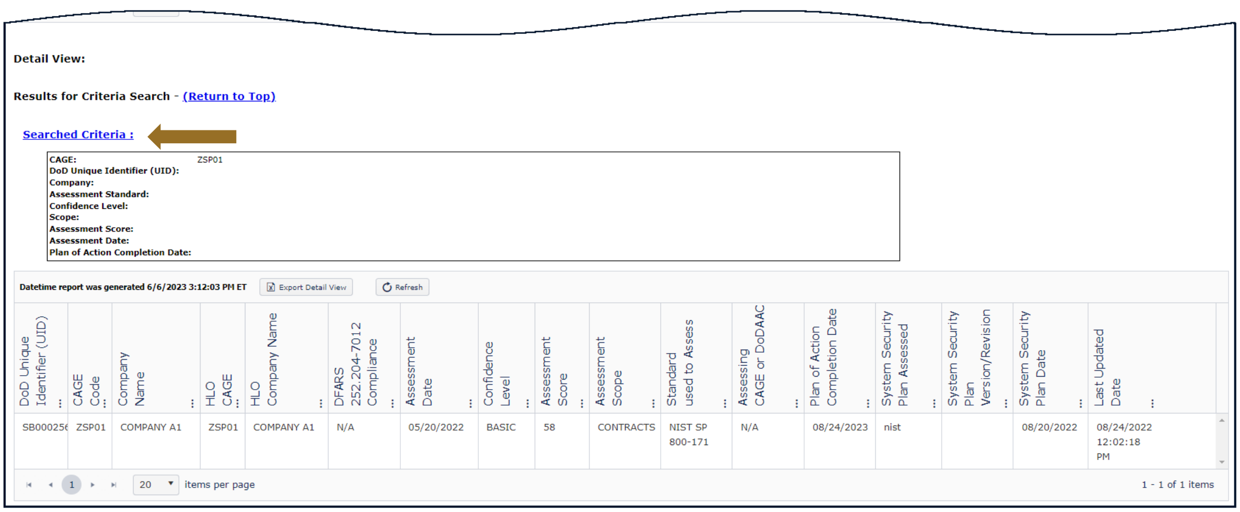
ZSP01 : COMPANY A1 - (Return to Top)

Export Detail View

DoD Unique Identifier (UID)	CAGE Code	Company Name	HLO CAGE	HLO Company Name	DFARS 252.204-7012 Compliance	Assessment Date	Confidence Level	Assessment Score	Assessment Scope	Standard used to Assess	Assessing CAGE or DoDAAC	Plan of Action Completion Date	System Security Plan Assessed	System Security Plan Version/Revision	System Security Plan Date	Last Updated Date
SB00020589	ZSP01	COMPANY A1	ZSP01	COMPANY A1	N/A	11/23/2022	BASIC	45	ENCLAVE	NIST SP 800-171	N/A	11/24/2022	y	1	11/25/2022	11/23/2022 11:06:56 AM
SB00020589	ZSP02	COMPANY A2	ZSP01	COMPANY A1	N/A	11/23/2022	BASIC	45	ENCLAVE	NIST SP 800-171	N/A	11/24/2022	y	1	11/25/2022	11/23/2022 11:06:56 AM
SB00020589	ZSP03	COMPANY A3	ZSP01	COMPANY A1	N/A	11/23/2022	BASIC	45	ENCLAVE	NIST SP 800-171	N/A	11/24/2022	y	1	11/25/2022	11/23/2022 11:06:56 AM
SB00020589	ZSP04	COMPANY A4	ZSP01	COMPANY A1	N/A	11/23/2022	BASIC	45	ENCLAVE	NIST SP 800-171	N/A	11/24/2022	y	1	11/25/2022	11/23/2022 11:06:56 AM
SB00020589	ZSP05	COMPANY A5	ZSP01	COMPANY A1	N/A	11/23/2022	BASIC	45	ENCLAVE	NIST SP	N/A	11/24/2022	y	1	11/25/2022	11/23/2022 11:06:56 AM

Figure 10: NIST SP 800-171 Assessment Details – All CAGE(s) View

- **Searched Criteria:** If the criteria search option is used, the criteria that was searched is available by expanding the Searched Criteria link within the Detail View section.



Detail View:

Results for Criteria Search - (Return to Top)

Searched Criteria :

CAGE: ZSP01
DoD Unique Identifier (UID):
Company:
Assessment Standard:
Confidence Level:
Scope:
Assessment Score:
Assessment Date:
Plan of Action Completion Date:

Datetime report was generated 6/6/2023 3:12:03 PM ET | Export Detail View | Refresh

DoD Unique Identifier (UID)	CAGE Code	Company Name	HLO CAGE	HLO Company Name	DFARS 252.204-7012 Compliance	Assessment Date	Confidence Level	Assessment Score	Assessment Scope	Standard used to Assess	Assessing CAGE or DoDAAC	Plan of Action Completion Date	System Security Plan Assessed	System Security Plan Version/Revision	System Security Plan Date	Last Updated Date
SB00025	ZSP01	COMPANY A1	ZSP01	COMPANY A1	N/A	05/20/2022	BASIC	58	CONTRACTS	NIST SP 800-171	N/A	08/24/2023	nist		08/20/2022	08/24/2022 12:02:18 PM

1 | 20 items per page | 1 - 1 of 1 items

Figure 11: NIST SP 800-171 Assessment Details – Searched Criteria Show/Hide

  - “**No records found**” will be returned if no records match the criteria searched or a NIST assessment has yet to be entered for that CAGE.

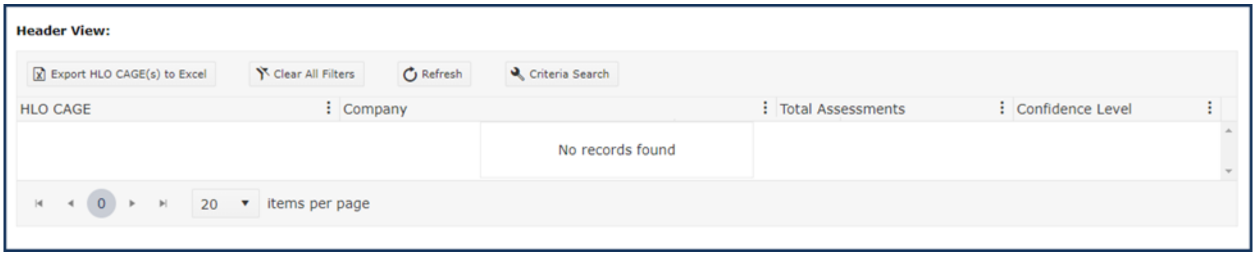


Figure 12: NIST SP 800-171 Searched Criteria - No records found

- **Quick Access:** Anyone with a government PKI certificate may click the NIST SP 800-171 Assessments link in the Menu on the SPRS web page to view the list of assessment records. This access provides fewer search and export options. Additional resources can be found by clicking the NIST SP 800-171 buttons and the FAQ's link/button. Email the Help Desk for assistance, **usn.pnsy.navsealogcen.mbx.ptsmh@us.navy.mil**.

Figure 13: NIST SP 800-171 – Quick Access

5.2 NATIONAL SECURITY SYSTEMS (NSS) RESTRICTED LIST

SPRS is the host for the National Security Systems (NSS) Restricted List IAW Title 10, United States Code, 2339a as implemented in Under Secretary of Defense for ACQ and SUS memorandum, "Acquisition Workforce Implementation of Enhanced Procedures for Supply Chain Risk Management in Support of Department of Defense Trusted Systems and Networks," dated December 28, 2018.

To Access NSS Restricted List:

Select the **NSS Restricted List** link from the Menu.

- Click the Print Button to print a copy of this report

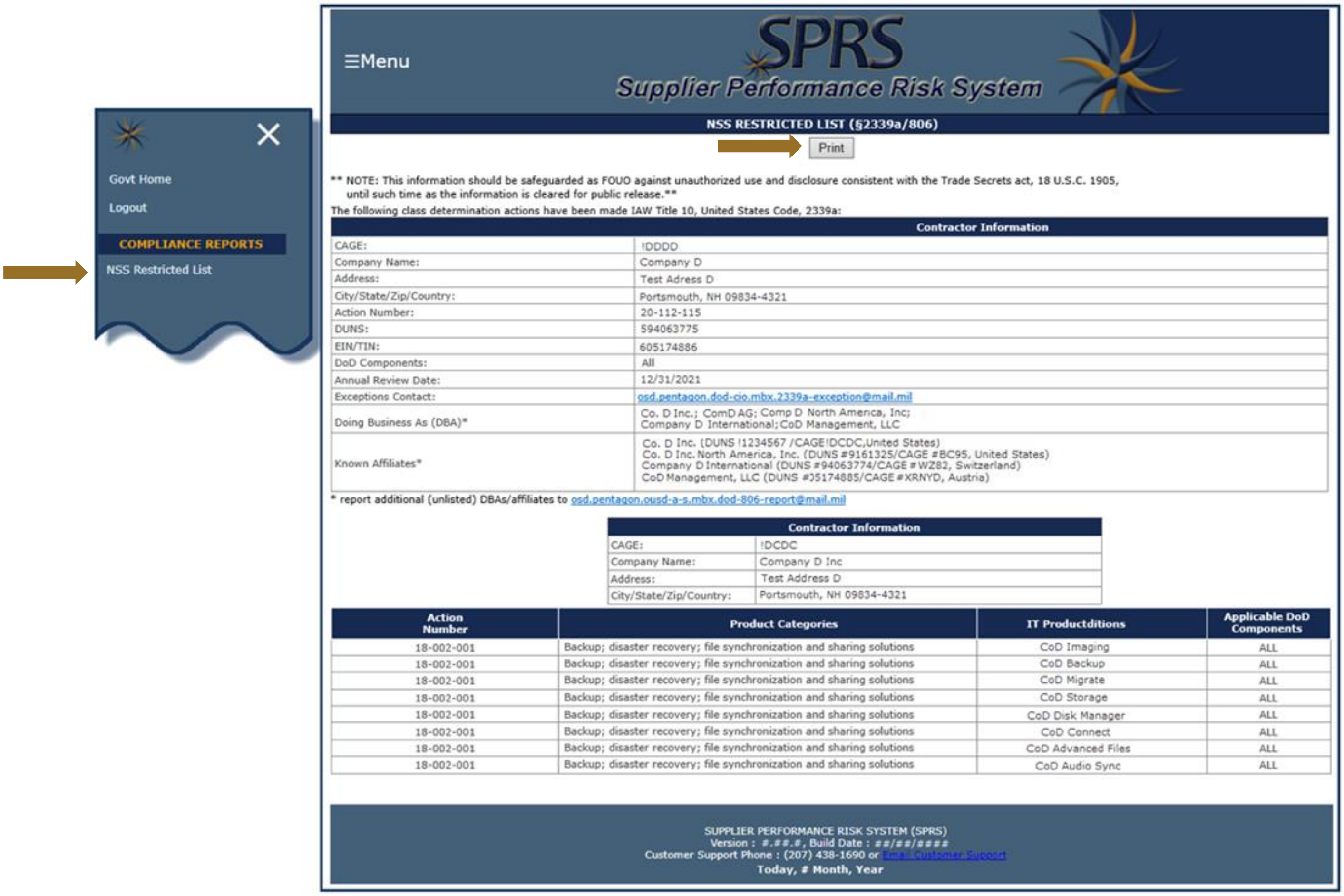


Figure 14: NSS Restricted List -- Landing Page

Quick Access: Anyone with a government PKI certificate may click the NSS Restricted link in the Menu on the SPRS web page to view.

Figure 15: NSS Restricted List – Quick Access

5.3 SECTION 841 IDENTIFICATIONS LIST

The Section 841 Identifications List provides SPRS users an up-to-date list of vendors that have active identifications under FY15 NDAA Sec 841, “Never Contract with the Enemy Act”.

To access the Section 841 Identifications List:

Select **Section 841 Identifications List** link from the Menu.

Figure 16: Section 841 Identifications List -- Landing Page

- To see Vendor Risk Ratings, click the View Profile link in the far right column of the table. Risk Rating Timelines are discussed in the next section **5.4 Vendor Threat Mitigation.**

Figure 17: Section 841 Identifications List -- View Profile

5.4 VENDOR THREAT MITIGATION (VTM)

The VTM module consists of two parts within the SPRS Application: VTM Reports and the Section 841 Identifications List.

VTM is the process to assess and mitigate risks posed by vendors supporting DoD operations outside the United States. Previously referred to as "Vendor Vetting," VTM leverages all-source information and combatant command guidance to identify vendors supporting the adversary and authorizes heads of contracting activity to restrict, terminate, or void award to them.

- The VTM Report module provides acquisition professionals with visibility of vendor vetting outcomes under AFRICOM and CENTCOM VTM programs. Acquisition professionals awarding contracts with performance in the AFRICOM or CENTCOM area of responsibility should have familiarity with those VTM processes and must work with their head of contracting activity for guidance on use of this information.

NOTE

To access Vendor Threat Mitigation (VTM):

Select **Vendor Threat Mitigation** link from the Menu.

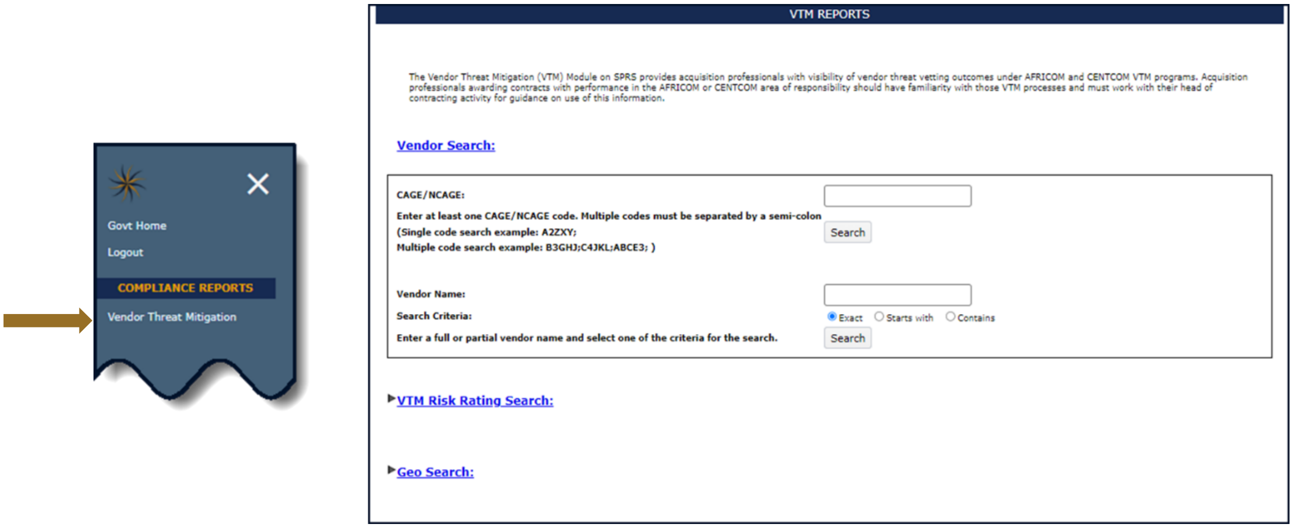


Figure 18: Vendor Threat Mitigation (VTM) Report -- Landing page

- **Vendor Search:** The landing page contains a Vendor Search option. The search option accommodates single or multiple CAGE code searches. Multiple CAGE codes must be semicolon delimited.

  - Enter a CAGE Code OR Multiple CAGE codes, semicolon delimited

  OR

  - Enter an entire or a partial company name into the search box and choose one of the radio buttons “exact”, “starts with” or “contains” to narrow down search results. Be precise with company name searches so not to overload the application with too many results.
  - Click Search, results are displayed
  - Search results table contains, 841 identifications, SAM exclusions, vendor CAGE/NCAGE, country and VTM Risk Rating summary info all in one place.
  - If no VTM records have been performed on your vendor, “No rating” will be present in the search results table.
  - Click the View Profile button in the far right column to view the VTM Risk Rating data if available.
  - **Searched Criteria:** displays the criteria used in any of the search methods side by side with the search results.

Figure 19: Vendor Search Results

The VTM Detailed Profile displays a summary of the vendor’s data. The Contractor Information box provides the CAGE/NCAGE, Company Name, Address, City/State/Zip, Country, and Exclusion Status for the selected vendor (if available). A vendor Risk Rating timeline follows the Contractor Information box.

The timeline displays VTM Risk Ratings with the most recent at the top. At first glance, the user can see all, or if any Risk Ratings have been performed on the vendor.

Each individual VTM record displays date of record entry or update, the associated CCMD (Combatant Command) who performed the Risk Rating and if the status of the record is new or updated.

- Status=New: First time a CCMD/organization posts a VTM Risk Rating.
- Status=Updated: All subsequent postings of a vendor’s VTM Risk Rating within the same CCMD/organization.

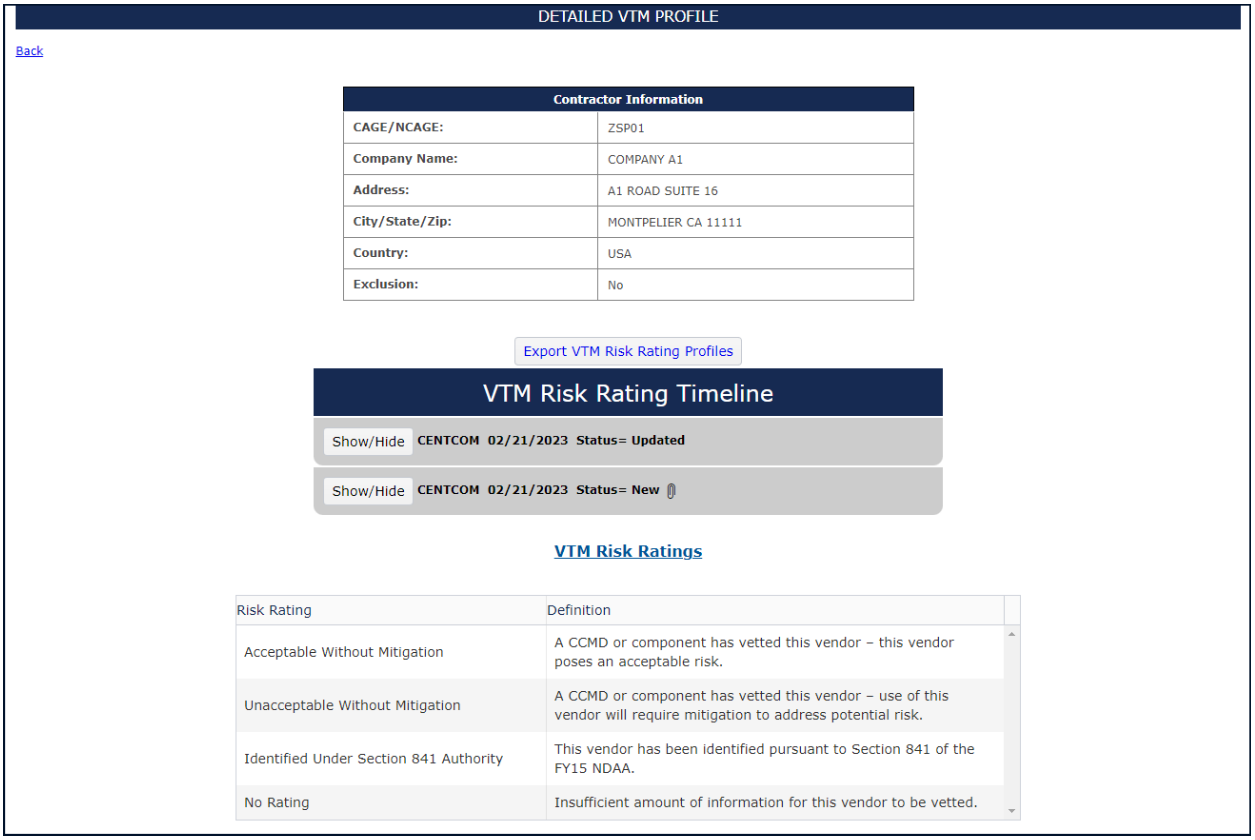


Figure 20: VTM Detailed Profile Overview

- Click Show/Hide button for more details on a VTM record
- If the vendor is an 841 identified vendor, this is where the 841 official memo attachment or annual revalidation will be.

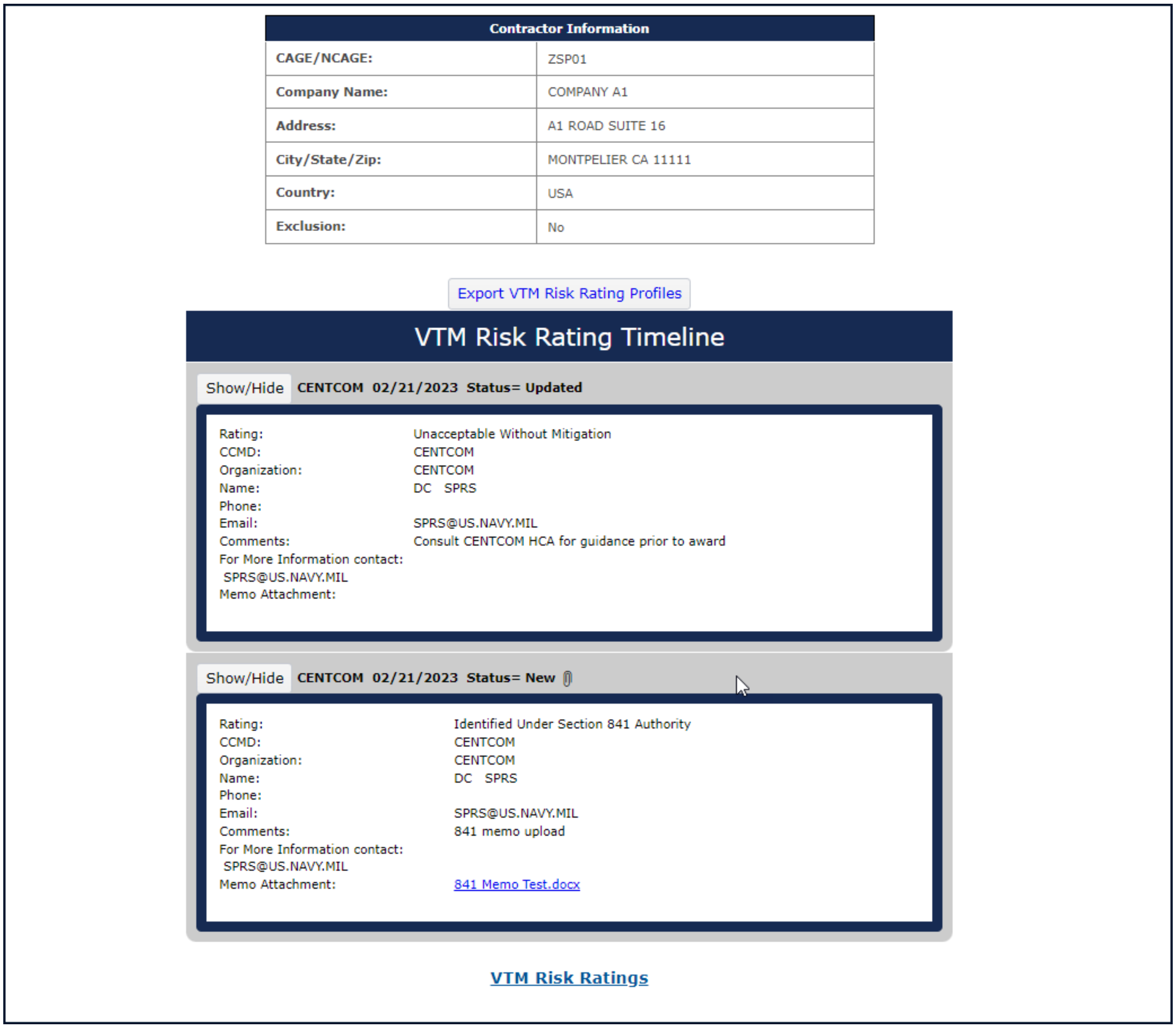
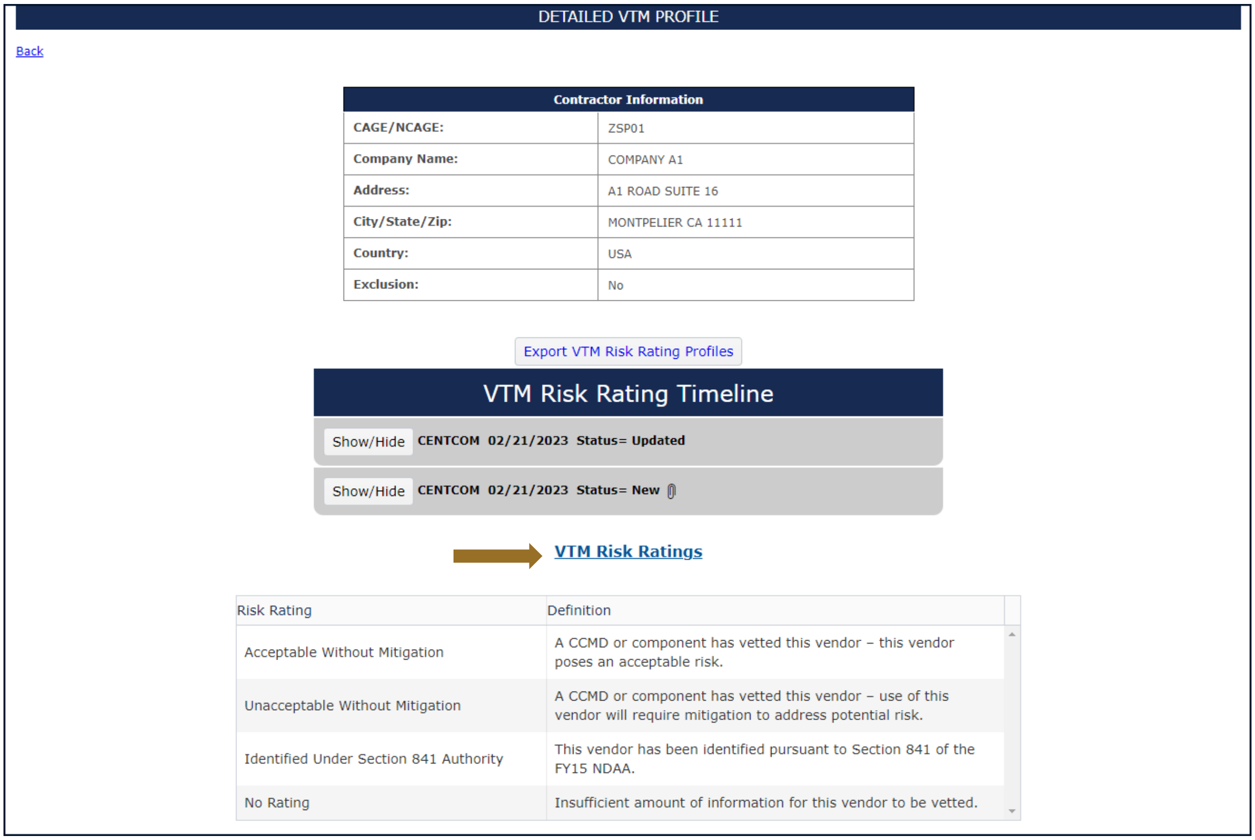


Figure 21: VTM Detailed Profile Risk Ratings Timeline

VTM Risk Rating Timeline: Summary of the vendor’s Risk Rating information provided by the CCMD VTM organization. VTM Risk Ratings are Unclassified ratings summarizing the level of threat posed by a vendor and whether mitigation strategies are required prior to contracting actions. Each Risk Rating displays the level of Risk Rating: Acceptable or Unacceptable without Mitigation. There is also the CCMD listed who performed the rating and the group mailbox to find out more information if required.

- If the vendor has been 841 Identified, the official memo will also be found in the timeline.
- Click the “Export VTM Risk Rating Profiles” button to export the entire VTM Detailed Profile into Excel.
- Click the VTM Risk Definitions are found on the bottom of every VTM Detailed Profile. Click the link to show/hide Definitions for each level of VTM

Risk Ratings.


DETAILED VTM PROFILE

Back

Contractor Information	
CAGE/NCAGE:	ZSP01
Company Name:	COMPANY A1
Address:	A1 ROAD SUITE 16
City/State/Zip:	MONTPELIER CA 11111
Country:	USA
Exclusion:	No

Export VTM Risk Rating Profiles

VTM Risk Rating Timeline

Show/Hide CENTCOM 02/21/2023 Status= Updated

Show/Hide CENTCOM 02/21/2023 Status= New

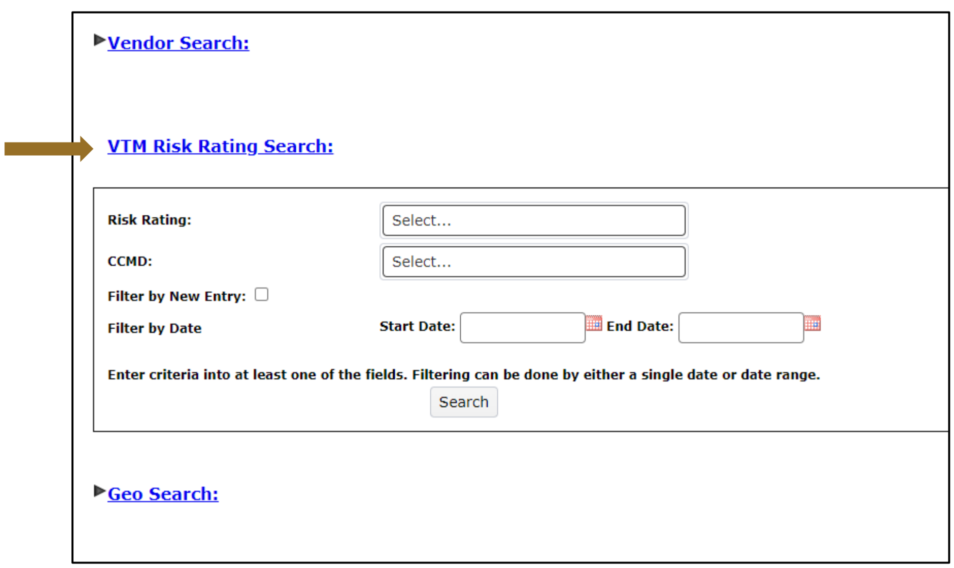
VTM Risk Ratings

Risk Rating	Definition
Acceptable Without Mitigation	A CCMD or component has vetted this vendor – this vendor poses an acceptable risk.
Unacceptable Without Mitigation	A CCMD or component has vetted this vendor – use of this vendor will require mitigation to address potential risk.
Identified Under Section 841 Authority	This vendor has been identified pursuant to Section 841 of the FY15 NDAA.
No Rating	Insufficient amount of information for this vendor to be vetted.

Figure 22: VTM Risk Rating Definitions

VTM – Other Search Methods: Risk Rating Search

- Click the VTM Risk Rating Search link to open search criteria by Risk Rating level.


Vendor Search:

VTM Risk Rating Search:

Risk Rating: Select...

CCMD: Select...

Filter by New Entry:

Filter by Date Start Date: End Date:

Enter criteria into at least one of the fields. Filtering can be done by either a single date or date range.

Search

Geo Search:

Figure 23: VTM Risk Rating Search

- Choose a Risk Rating level or multiple levels from the dropdown list.

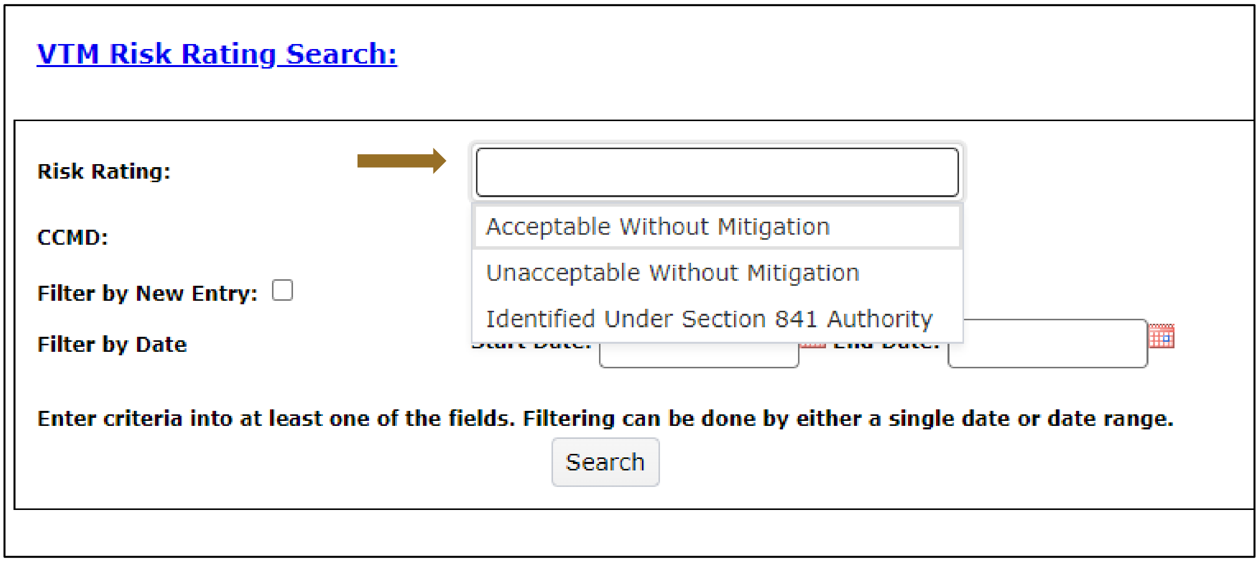


Figure 24: Risk Rating Dropdown

And/Or:

- Select from the dropdown for CCMD to search by the CCMD who reported the Risk Rating. Multiple CCMDs may be selected.
- Only CCMDs with VTM Risk Rating data in SPRS may be selected from the dropdown.

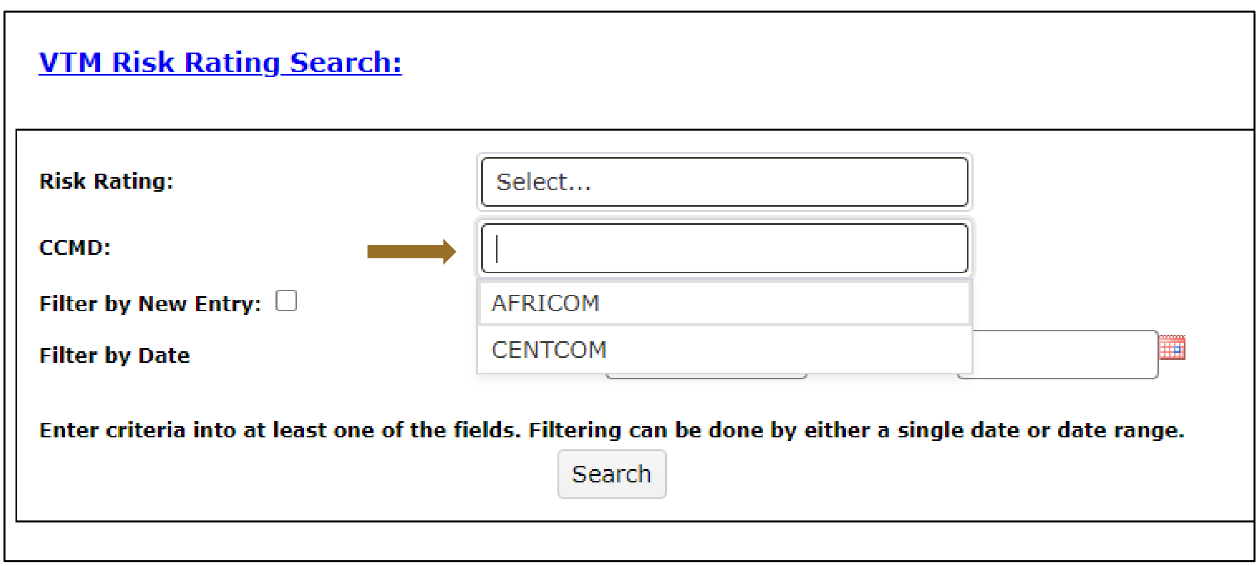


Figure 25: Risk Rating Search - CCMD Dropdown

And/Or:

- To clear any selected entries, click x within the specific search box

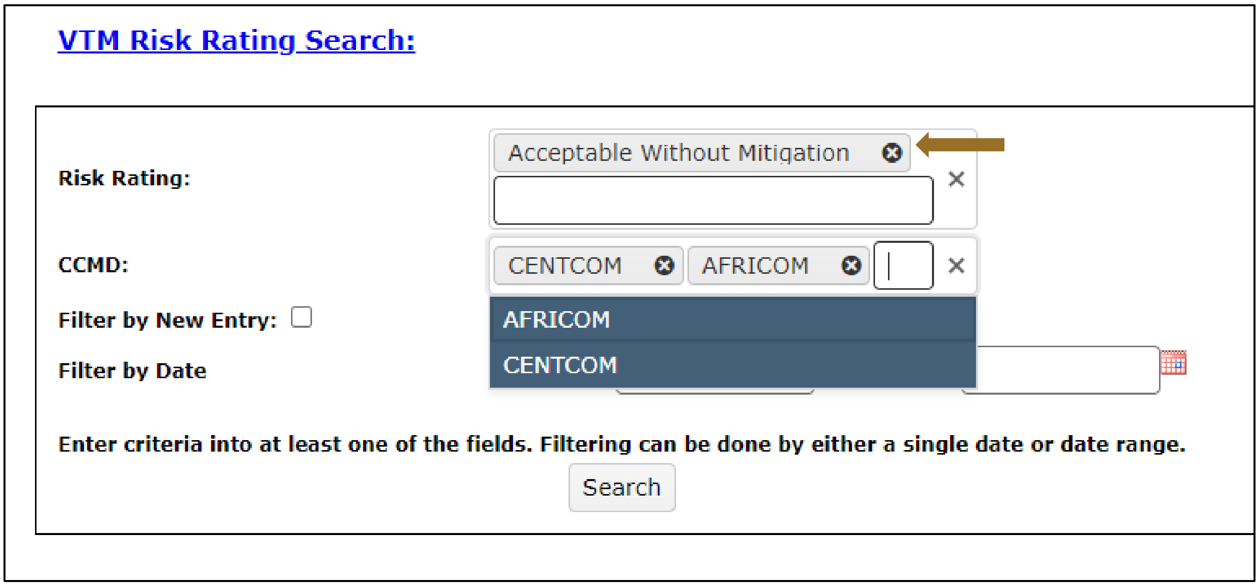


Figure 26: Risk Ratings Search - Clear Entries

And/Or:

- Select the check box to filter search results by new entries only

Figure 27: Risk Ratings Search - New Entries

And/Or:

- Use the calendar to search by date or date range the rating was last updated
- When all selections have been entered click Search

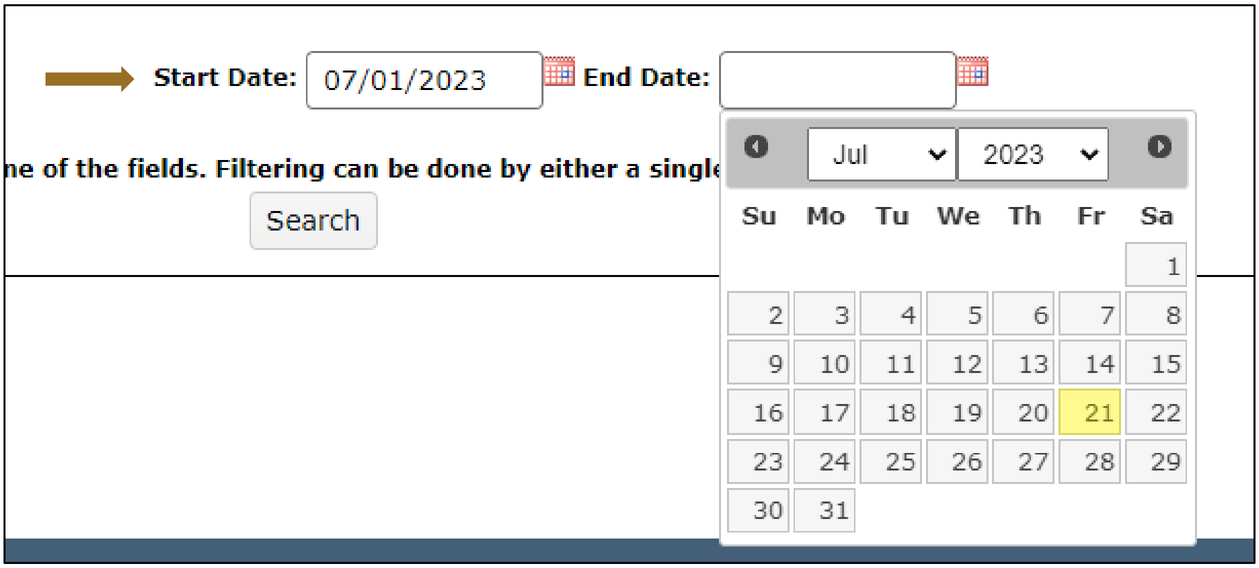


Figure 28: Risk Ratings Search – By Date

VTM Other Search Methods: Geo Search

- Click the Geo Search link to open search criteria by vendor’s geographic area.

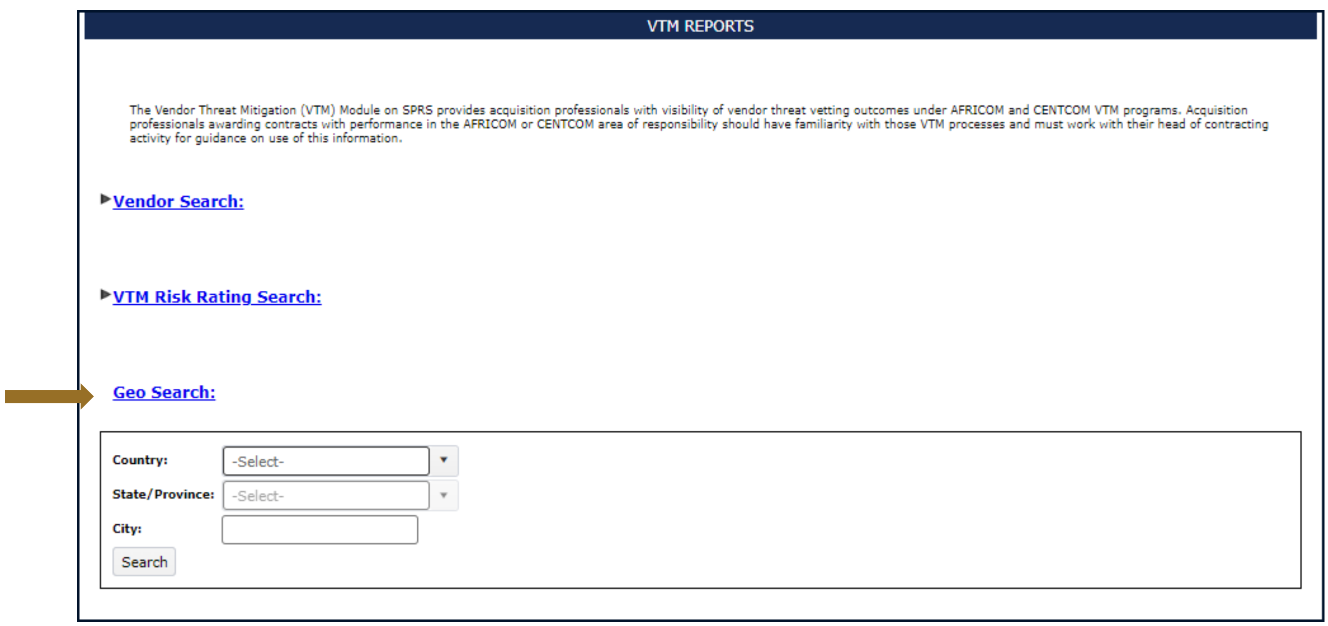


Figure 29: VTM - Geo Search

- Select country from dropdown list to view all Risk Ratings performed in that country

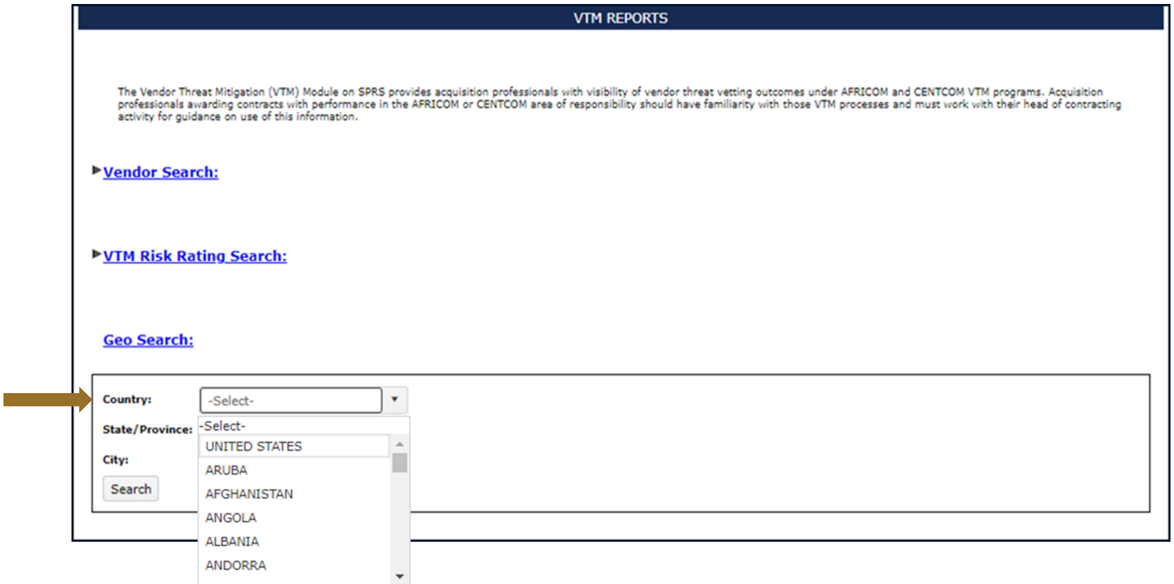


Figure 30: Geo Search Country Dropdown

- Select State/Province -- State/Province dropdown will populate once the home country is selected

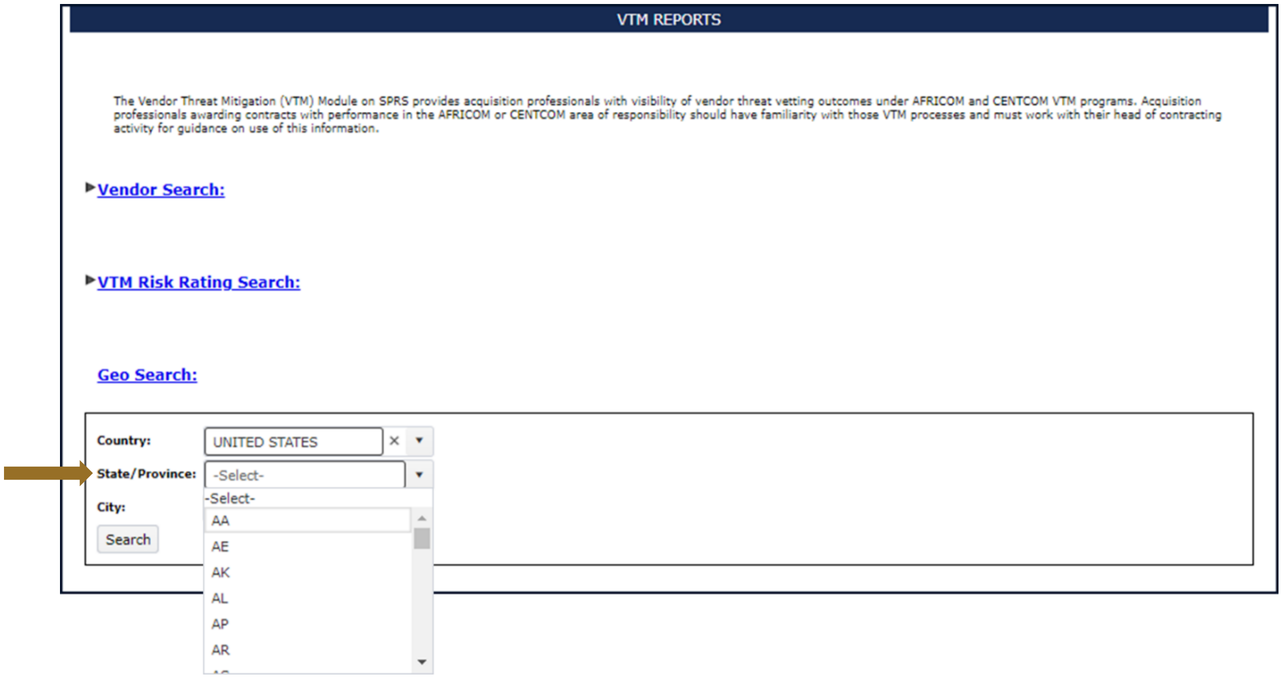


Figure 31: Geo Search State/Province Dropdown

- If the specific city of the vendor’s address is known, type city name into the free text box once the region has been selected.
- When all selections have been entered, click Search.

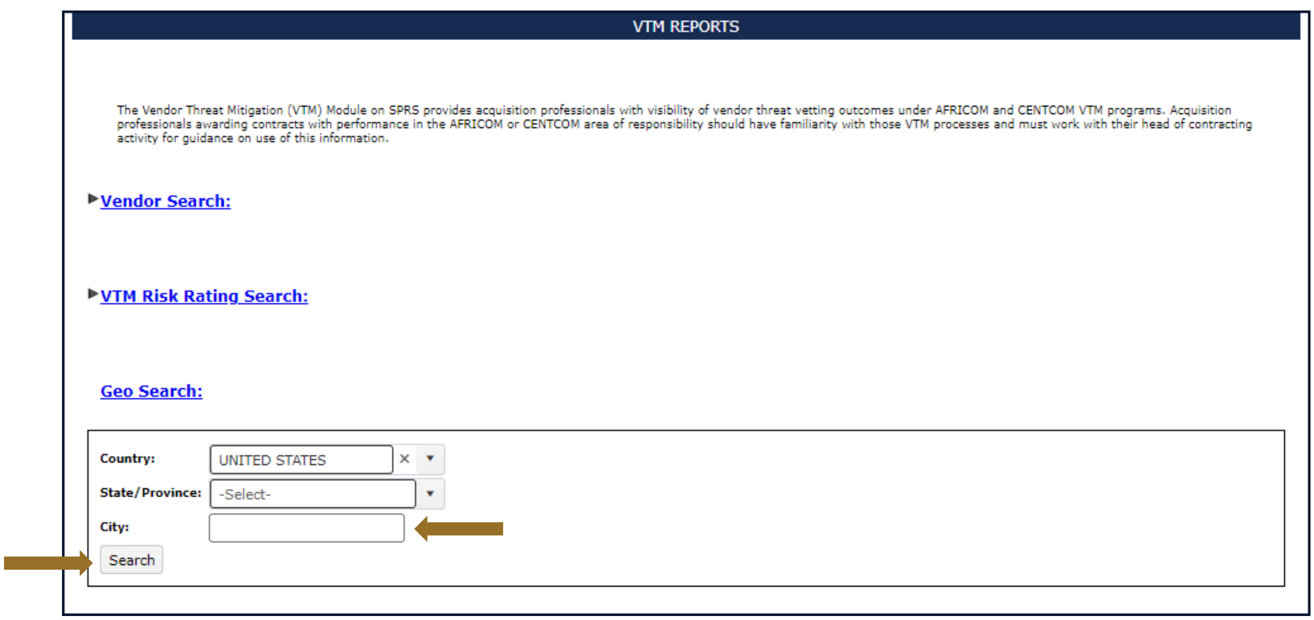


Figure 32: VTM - Geo Search City

All the search methods listed in this section will display vendor search results as described in the Vendor Search section of the User Guide.

6. RISK ANALYSIS REPORTS

SPRS Risk Analysis Reports use business intelligence to reflect the risk associated with vendors & items.

6.1 SUPPLIER RISK

Supplier Risk is a Standalone way to view detailed Supplier Risk. The Supplier Risk Score is an overall score using 3-years of supplier performance information (PI) data designed to calculate and identify supplier risk by calculating a single overall numerical score. The Supplier Risk Score is derived by using ten identified risk factors and adjusting based on age, number of contracts, and record weight. The final scores are ranked against one another to provide a color ranking based on a 5-color rating system.

The Supplier Risk Report does not consider if the vendor is on DLA's Qualified Manufacturer/Producer List therefore, different results may display when performing a procurement Risk Analysis report.

For detailed information on how the Supplier Risk score is calculated, see SPRS Evaluation Criteria Manual:
https://www.sprs.csd.disa.mil/pdf/SPRS_DataEvaluationCriteria.pdf

To access Supplier Risk:
Select **Supplier Risk** link from the Menu.

- Enter CAGE Code or Unique Entity Identifier (UEI)
- Click Search

Figure 33: Supplier Risk Request

- **Contractor Information**: This includes Basic Company Information and Commercial and Government Entity or CAGE Status. This information is received from the DLA Commercial and Government Entity Program (CAGE) and System for Award Management (SAM) at the URLs listed here: Commercial and Government Entity Program (CAGE) **https://cage.dla.mil/Home/** and **https://sam.gov**.

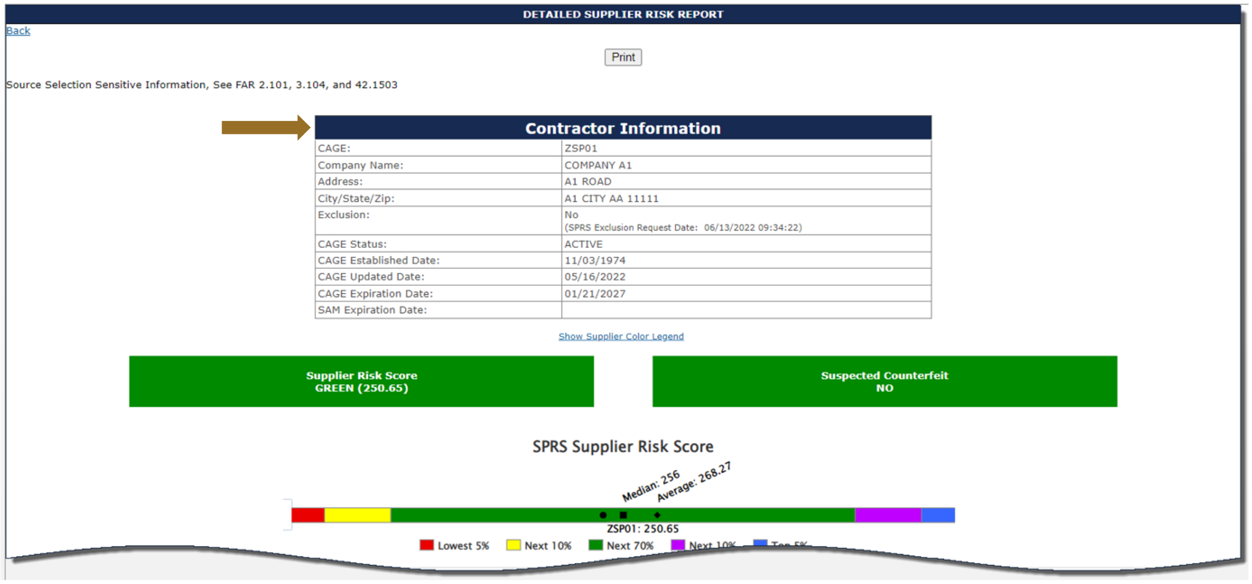


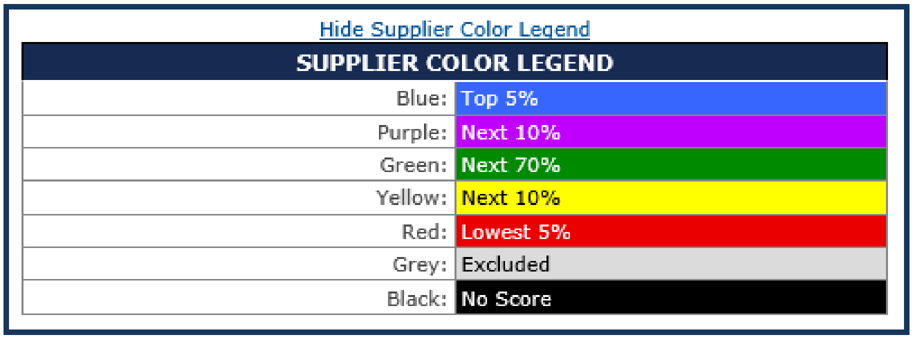
Figure 34: Supplier Risk Contractor Information

- **Supplier Color**: The Supplier Color Legend represent the percentage breakdowns of a normal statistical distribution.

    - Color assignment is based on a comparative assessment among suppliers. Supplier rankings are re-calculated whenever new data is introduced to the system or records age out.
    - The top percentage group is blue
    - The lowest percentage group is red

Color is also used to communicate information unrelated to ranking.

- Black identifies a supplier with no Supplier Risk score
- Grey identifies supplier that have been excluded from selling to the government.



Hide Supplier Color Legend

SUPPLIER COLOR LEGEND	
Blue:	Top 5%
Purple:	Next 10%
Green:	Next 70%
Yellow:	Next 10%
Red:	Lowest 5%
Grey:	Excluded
Black:	No Score

Figure 35: Supplier Color Legend

The color bar illustrates where the Supplier Risk Score falls within the color category. The color bar also indicates the Median and Average Supplier Risk Scores of all suppliers.

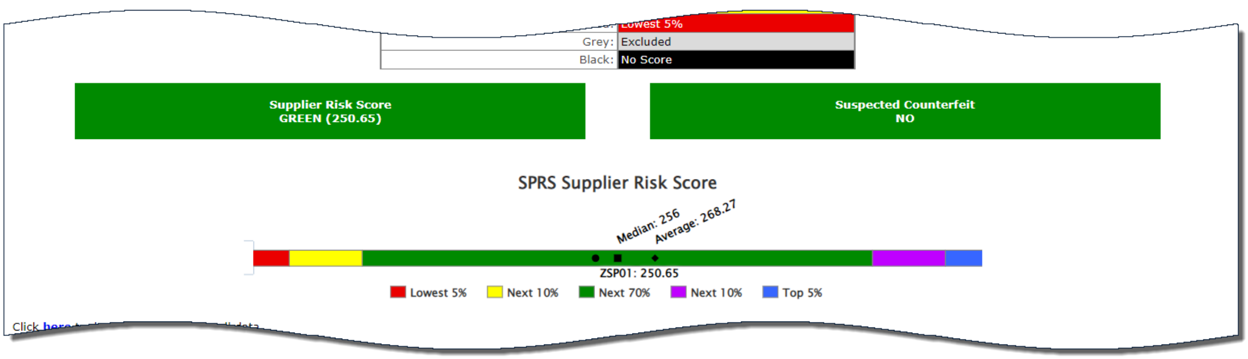


Figure 36: Supplier Risk Color Bar

- **Exports:** Select the **Here** links to export Supplier Detail Data, Negative Quality and Delivery Data, and/or Positive Quality and Delivery Data to an export. The hyperlinks for Negative and Positive Data are only Quality and Delivery Factor records, placed in an export.

- **Scored Data:** Supplier Risk Score is an overall score using 3-years of supplier performance information (PI) data designed to calculate and identify supplier risk by calculating a single overall numerical score.

  If records are greater than zero, the Factor becomes a link to display additional detail. Record details can be found by clicking the hyperlinked

  Suspected Counterfeit information uses Agency Action Notices from the Government Industry Data Exchange Program or GIDEP.

Click **here** to download Supplier Detail data
Click **here** to download **Negative** Quality and Delivery data
Click **here** to download **Positive** Quality and Delivery data

Scored Data - Expand Data Categories

Factor	Records	Score
Suspected Counterfeit	4	48.14
Quality Score Rankings	161	4.06
Overall Delivery Score	85	38
Contractor Performance Assessment Reporting System (CPARS)	0	0
Corrective Action Requests (CAR)	0	60
Corrective Action Plans (CAP)	0	0
Surveys	33	-6.08
Program Assessment Reports (PAR)	0	0
Government-Industry Data Exchange Program (GIDEP) (non-counterfeit)	9	7.35
Integrity Records	0	50
Scaling	3	N/A

Figure 40: Supplier Risk Exports and Scored Data

Factor or expand all factors by clicking the **Expand Data Categories** link.

Scored Data - Collapse Data Categories

Suspected Counterfeit

FSC/PSC	#
4820	4

Quality Score Rankings

FSC/PSC	Quality Records	Received Delivery w/No Associated Quality Records	Ranking
4820	154	35	Bottom
AC14	0	1	Top
AJ94	7	0	Top

Overall Delivery Score

Delivery Records	Score
85	38

Contractor Performance Assessment Reporting System (CPARS)

Report Type	#
No Data	

Corrective Action Requests (CAR)

Level 1	Level 2	Level 3	Level 4
No data			

Corrective Action Plans (CAP)

Status	#
No data	

Surveys

Survey Type	#
ACCEPTABLE	2
AWARD	2
NO AWARD	2
PARTIAL AWARD	2
UNACCEPTABLE	25

Program Assessment Reports (PAR)

Green #	Yellow #	Red #
No data		

Government-Industry Data Exchange Program (GIDEP) (non-counterfeit)

FSC/PSC	#
4820	9

Integrity Records

Record Type	#
No data	

Scaling Factor

#
3

Figure 37: Supplier Risk Scored Data Expanded

Some factors can drill down further than others, for example, if Overall Delivery Score Rankings is selected, each FSC/PSC that the supplier has delivered in will show with that number of records associated with that FSC/PSC.

Overall Delivery Score

Delivery Records	Score
67	31

Detailed Delivery Scores

FSC/PSC	Records	Score
[4820](#)	64	32
[AJ94](#)	3	24

Figure 38: Supplier Risk Detailed Delivery Data

The FSC/PSC link will open the Supplier Detailed Delivery Report.

SUPPLIER DELIVERY DETAILED REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

CAGE Code: ZSP01
Supply Code: 4820
Company Name: COMPANY A1
Company Address: A1 ROAD
A1 CITY AA 11111

Quality Color Code: RED
Weighted Delivery Score: 32
Average Supply Code Delivery Score For All Suppliers: 69

[View Positive Record(s)](#)

Negative Delivery Record(s)

66 Negative Delivery Records found.

Existing Records

Department/Agency	Contract No.	Type	Code/NSN	Due Date	Ship/Rec Date	Cancel Date	Added Date
DDC	KEVINXZSP010067	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	AMBERXZSP010065	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	AMBERXZSP010071	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	SPRSXXZSP010073	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	AMBERXZSP010068	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	TIMXXXZSP010066	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	TIMXXXZSP010069	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	SPRSXXZSP010074	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	SPRSXXZSP010075	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
DDC	AMBERXZSP010062	FSC/PSC	4820015068050	10/04/2022	10/24/2022		11/03/2022
	[illegible]010060	FSC/PSC	48200[illegible]				11/03/2022

Figure 39:Supplier Delivery Detailed Report

- **Info Only Data:** Displays five years of past performance data and is for informational purposes only. Detail is available if records are greater than zero. Record details can be found by clicking the hyperlinked Factor or expand all factors by clicking the **[Expand Data Categories](#)** link.

Info Only - Five (5) years of Scored and Unscored Data - Expand Data Categories

Source	Records
Diminishing Manufacturing Sources and Material Shortages (DMSMS)	0
Supply Discrepancy Reports (SDR)	34
Product Quality Deficiency Reports (PQDR)	28
Special Quality Data (SQD)	0
Supplier Audits and Assessments (SAA)	0
Material Inspection Reports (MIR)	34
Material Test Reports (MTR)	16
Naval Bulletin	7
Product Lot / Verification Testing	17
Integrity Records (Other)	0

Figure 40: Supplier Risk Info Only

To collapse the data again select Collapse Data Categories at the top.

Info Only - Five (5) years of Scored and Unscored Data - Collapse Data Categories

Diminishing Manufacturing Sources and Material Shortages (DMSMS)

Source	#
No data	

Supply Discrepancy Reports (SDR)

Department/ Agency	WebSDR No.	Contract No.	Cause Code & Description	Discrepancy Code(s) & Description(s)	Type	Code/NSN	Added Date	Liable Date
USMC/NAVY	SPRSXX010N2	SPRSXX20F0650N2	CP - CONTRACTOR NONCOMPLIANCE (PACKAGING CONTRACTOR)	P301 - IDENTIFICATION MARKINGS OMITTED | P302 - IMPROPER MARKING OF HAZARDOUS MATERIELS (INCLUDES AMMUNITIONS/EXPLOSIVES).	FSC/PSC	AJ94015253385	01/10/2023	01/08/2023
USMC/NAVY	SPRSXX010N1	SPRSXX15V2965N1	CN - CONTRACTOR NONCOMPLIANCE	T7 - MISSING PART NUMBER ON BARE ITEM. | P301 - IDENTIFICATION MARKINGS OMITTED	FSC/PSC	AJ94016184415	01/10/2023	01/08/2023
GENERAL PROGRAM	AFXXXX01009	AFXXXX01009CTRNUM	CP - CONTRACTOR NONCOMPLIANCE (PACKAGING CONTRACTOR)	P301 - IDENTIFICATION MARKINGS OMITTED | P110 - LEVEL OF PROTECTION EXCESSIVE OR INADEQUATE.	FSC/PSC	4820016131856	01/10/2023	01/08/2023
GENERAL PROGRAM	ARMYXX01008	ARMYXX01008CTRNUM	CP - CONTRACTOR NONCOMPLIANCE (PACKAGING CONTRACTOR)	P301 - IDENTIFICATION MARKINGS OMITTED | P110 - LEVEL OF PROTECTION EXCESSIVE OR INADEQUATE.	FSC/PSC	4820016131856	01/10/2023	01/08/2023
GENERAL	[illegible]	AMBERX01007CTRNUM	CP - CONTRACTOR NONCOMPLIANCE (PACKAGING	P301 - IDENTIFICATION MARKINGS OMITTED |	FSC/PSC	4820016751974	01/10/2023	01/08/2023

Figure 41: Supplier Risk Info Only Expanded

NOTE: The Supplier Score shown in the standalone Supplier Risk Report does not consider if the vendor is on DLA’s Qualified Manufacturer/Producer List.

6.2 ITEM/PRICE RISK

Item Risk is the probability that an "item based on intended use, will introduce performance risk" into the system resulting in safety issues, mission degradation, or monetary loss. Price Risk depicts whether a proposed price is high, low or within range consistent with the average of all historical prices paid for that item since 2010 excluding extreme prices, outliers, and escalated by Bureau of Labor Statistics inflation indexes.

Item/Price Risk Reports provide a standalone way to see detailed item risk: identified application or safety criticality, potential for counterfeiting, nonconforming material, and/or diminishing sources of supply.

To Access Item/Price Risk:

- Select **Item/Price Risk** link from the Menu.
- Click the dropdown to identify the value to enter. Select from National Stock Number (NSN), PSC and Part Number, Manufacturer CAGE and Part Number, Internet Blog Serial Number (IBSN), Universal Product Code (UPC), or GS1.
- Enter Value, click Search

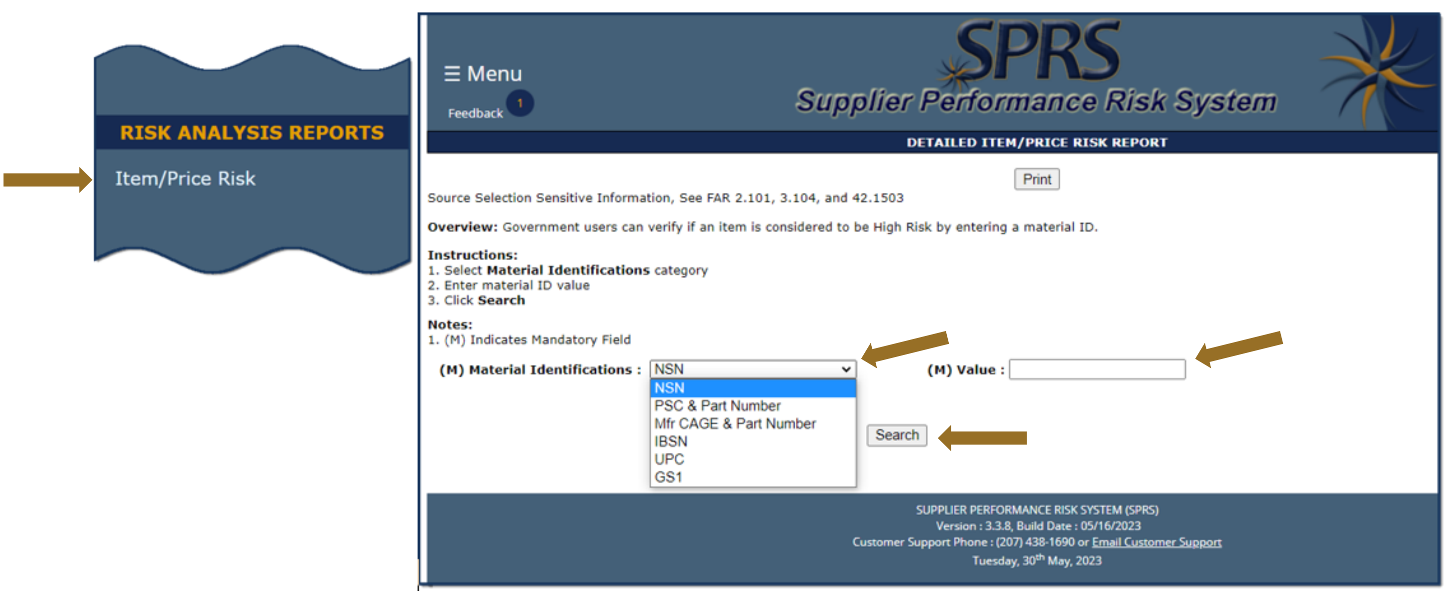


Figure 42: Item/Price Risk Request

- The top displays: the NIIN, Last Paid Price, the FSC or PSC, Last Paid CAGE, Average Price, and Last Paid Date. Item Risk data is displayed at the top of the report and is labeled High Risk Item in red font or Low Risk Item in green font. The Average Price is also highlighted by confidence:

- Red indicates Low Confidence. Low confidence indicates that there are too few prices to establish confidence in the listed calculated average price.
- Yellow indicates Medium Confidence. Medium Confidence indicates there is enough data to determine confidence in the calculated average price but the calculated average price contains some variation
- Green indicates High Confidence. High Confidence indicates there is enough data to determine confidence in the calculated average price and the calculated average price is within defined standard deviation parameters with little to no variation.

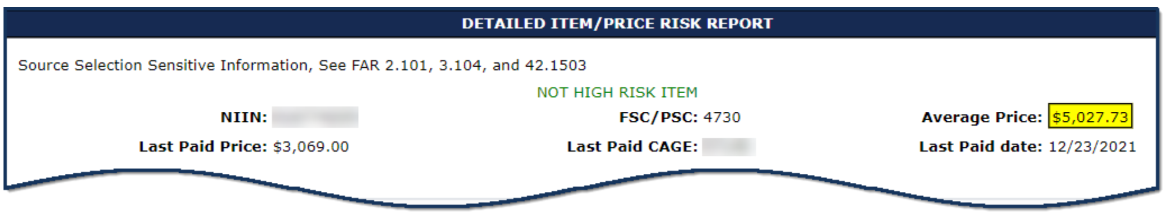


Figure 43: Item/Price Risk Summary

- **Reason(s):** If the item is a high risk item, at least one reason is displayed as well as suggested mitigation strategies.

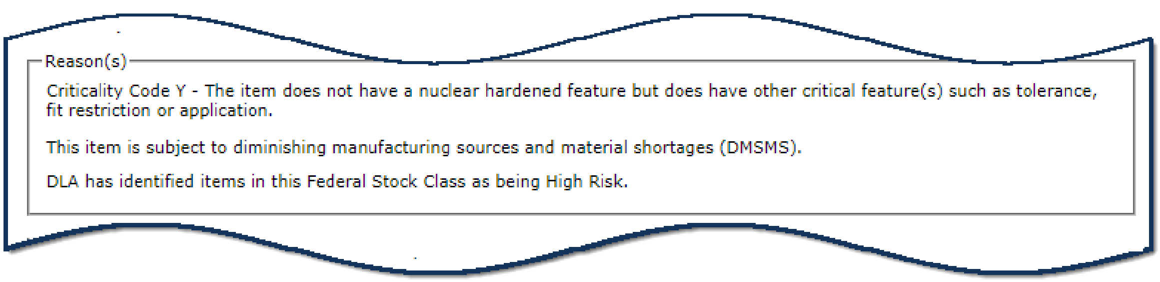


Figure 44: Item/Price Risk Reason(s)

- **Suggested Mitigations:** The Mitigations section is where services and agencies can display mitigation instructions to their contracting officials.

Suggested Mitigations

Anti-Counterfeiting
Clauses/Standards/Data Item Descriptions

FAR:	
52.209-2	First Article Approval-Contractor Testing
52.246-11	Higher-Level Contact Quality Requirement
52.246-15	Certificate of Conformance
DFAR:	
252.211-7003	Item Unique Identifications and Valuation
252.217-7026	Identification of Sources of Supply
252.225-7002	Qualifying Country Sources as Subcontractors
252.225-7048	Export-Controlled Items
252.246-7008	Sources of Electronic Parts (Aug 2016)
252.246-7007	Contractor Counterfeit Electronic Part Detection and Avoidance System
Standards:	
SAE AS5553	Counterfeit Electronic Parts; Avoidance, Detection, Mitigation, and Disposition
SAE AS6081	Fraudulent/Counterfeit Electronic Parts: Avoidance, Detection, Mitigation, and Disposition - Distributors Counterfeit Electronic Parts; Avoidance Protocol, Distributors
SAE AS6171	Test Methods Standard; General Requirements, Suspect/Counterfeit, Electrical, Electronic, and Electromechanical Parts
JESD31	General Rqmts for Distributors of Commercial & Military Semiconductor Devices
Data Item Description (DID):	
DI-MISC-81832	Counterfeit Prevention Plan
DI-QCIC-80125B	Government Industry Data Exchange Program (GIDEP) Alert/Safe-Alert
DI-PSSS-81656B	Bill of Materials (BOM) for Logistics and Supply Chain Risk Management

Figure 45: Item/Price Risk Suggested Mitigations

- **Purchase History Table:** The Purchase History lists the CAGE, Dates the item was Purchased, Unit Price, and Escalated Price. Unit Price is the price paid of the item at the time of purchase. Escalated Price is the historical price paid adjusted for inflation to what the buyer would have paid if item was purchased today.

  The Escalated Price is determined by using the U.S. Bureau of Labor Statistics’ Producer Price Index (PPI) for the purposes of “escalating” (i.e., adjusting for inflation) historical unit prices to the current month.

  The most recent purchase date displays first. Data can be sorted or filtered by using the 3 dots at the top of the column.

Purchase History			
CAGE	Last Date Of Purchase	Unit Price	Escalated Price
[illegible]	12/23/2021	$3,069.00	$3,360.42
[illegible]	06/17/2021	$3,515.00	$4,745.30
[illegible]	11/17/2020	$3,050.00	$5,315.63
[illegible]	10/07/2020	$3,104.29	$5,469.88
[illegible]	09/26/2019	$3,250.00	$6,247.43

1   25 items per page   1 - 5 of 5 items

Figure 46: Item/Price Risk Purchase History Table

- **Escalated Prices Graph:** Purchase history is displayed in a line graph below the table. The Purchase history graph illustrates the data in the Purchase History table and will reflect any changes made to this table. The graph shows the upper and lower boundary’s (the control limits) of the Predicted Range ±2 standard deviations and shows Historical Escalated Prices plotted over the expected range of prices. Hover over points for detail.

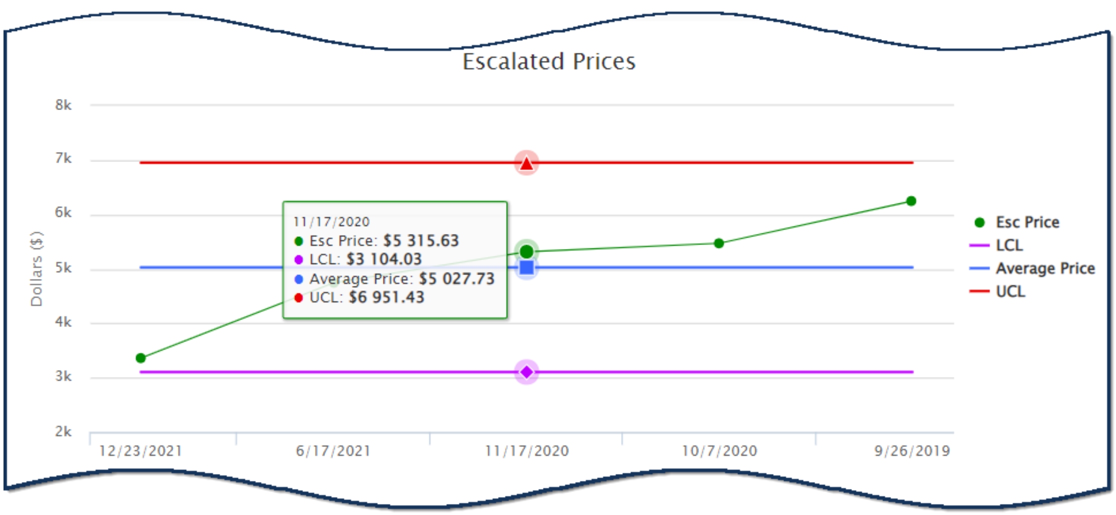


Figure 47: Item/Price Risk Escalated Prices Graph

- **Additional Info:** If the item has been manufactured under different CAGE and Part Number or NSN, if searching by Manufacture Part Number and CAGE, then it will display in the Additional Info section.

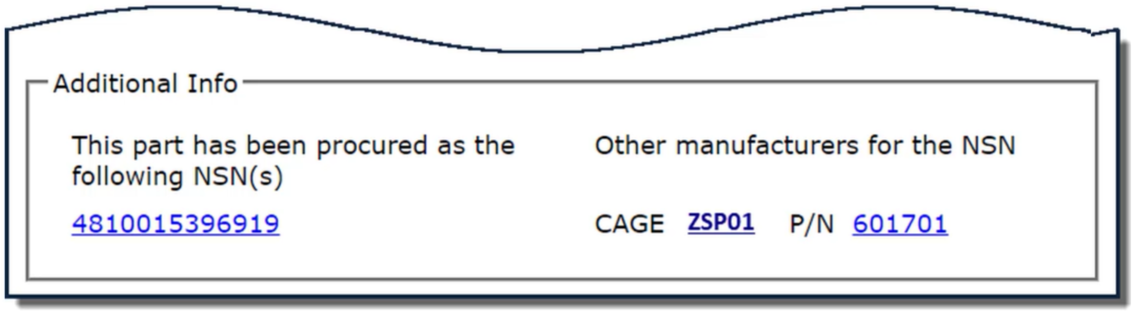


Figure 48: Item/Price Risk Additional Info

6.3 MARKET RESEARCH

Market Research is a combined report integrating the historical Item/Price Risk and Supplier Risk information with previous buys of a specific item.

To access Market Research:

- Select **Market Research** link from the Menu.
- Click the dropdown to choose to search for report information by National Stock Number (NSN), PSC & Part Number, Manufacturer CAGE and Part Number, Internet Blog Serial Number (IBSN), Universal Product Code (UPC), or GS1.
- Enter Material ID, click Search

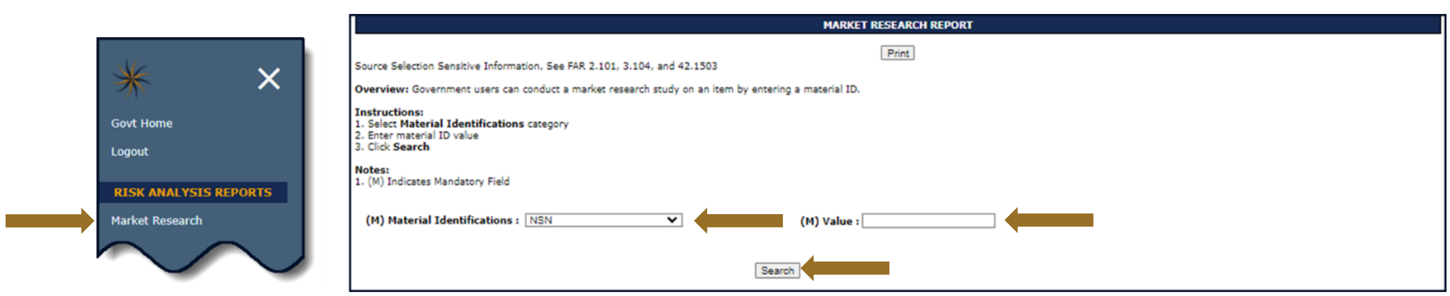


Figure 49: Market Research Request

- **Summary Information:** The top displays: the NIIN, Last Paid Price, the FSC or PSC, Last Paid CAGE, Average Price, and Last Paid Date. Item Risk data is displayed at the top of the report and is labeled High Risk Item in red font or Low Risk Item in green font. The Average Price is also highlighted by confidence:
  - Red indicates Low Confidence. Low confidence indicates that there are too few prices to establish confidence in the listed calculated average price.
  - Yellow indicates Medium Confidence. Medium Confidence indicates there is enough data to determine confidence in the calculated average price but the calculated average price contains some variation

- Green indicates High Confidence. High Confidence indicates there is enough data to determine confidence in the calculated average price and the calculated average price is within defined standard deviation parameters with little to no variation.

Figure 50: Item/Price Risk Summary

- **Reason(s):** If the item is a high risk item, at least one reason is displayed as well as suggested mitigation strategies.

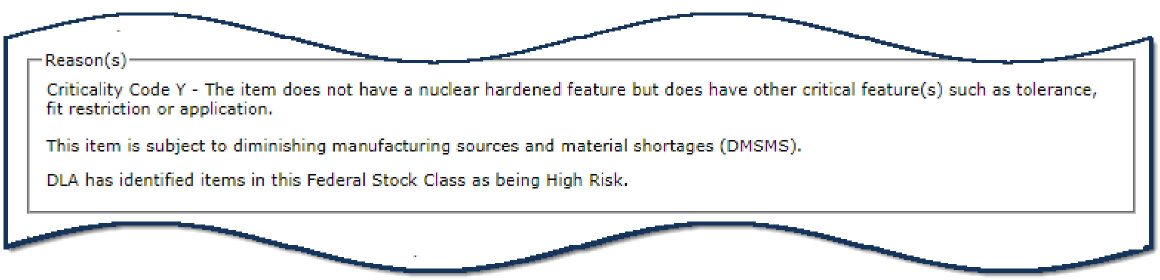


Figure 51: Market Research Reason(s)

- **Suggested Mitigations:** The Mitigations section is where services and agencies can display mitigation instructions to their contracting officials.

Suggested Mitigations

Anti-Counterfeiting
Clauses/Standards/Data Item Descriptions

FAR:	
52.209-2	First Article Approval-Contractor Testing
52.246-11	Higher-Level Contact Quality Requirement
52.246-15	Certificate of Conformance
DFAR:	
252.211-7003	Item Unique Identifications and Valuation
252.217-7026	Identification of Sources of Supply
252.225-7002	Qualifying Country Sources as Subcontractors
252.225-7048	Export-Controlled Items
252.246-7008	Sources of Electronic Parts (Aug 2016)
252.246-7007	Contractor Counterfeit Electronic Part Detection and Avoidance System
Standards:	
SAE AS5553	Counterfeit Electronic Parts; Avoidance, Detection, Mitigation, and Disposition
SAE AS6081	Fraudulent/Counterfeit Electronic Parts: Avoidance, Detection, Mitigation, and Disposition - Distributors Counterfeit Electronic Parts; Avoidance Protocol, Distributors
SAE AS6171	Test Methods Standard; General Requirements, Suspect/Counterfeit, Electrical, Electronic, and Electromechanical Parts
JESD31	General Rqmts for Distributors of Commercial & Military Semiconductor Devices
Data Item Description (DID):	
DI-MISC-81832	Counterfeit Prevention Plan
DI-QCIC-80125B	Government Industry Data Exchange Program (GIDEP) Alert/Safe-Alert
DI-PSSS-81656B	Bill of Materials (BOM) for Logistics and Supply Chain Risk Management

Figure 52: Market Research Suggested Mitigations

- **Purchase History Table:** The Purchase History lists the CAGE, Dates the item was Purchased, Unit Price, Average Price, Color Score, and the Average Price Comparison. Unit Price is the price paid of the item at the time of purchase. The Average Price column displays the calculated average price on the day the item was purchased and indicates price confidence by color.

  The First Date purchased has no average price confidence assigned since there is no information to determine confidence in that price because the item has not been purchased before.

  The most recent purchase date displays first. Data can be sorted or filtered by using the 3 dots at the top of the column.

If there is too much data to fit in these tables comfortably, page numbers will display below the table allowing the user to page through all the data, just click a page number or "Next")

Purchase History

Show 10 entries

CAGE Code	Date of Purchase	Unit Price	Average Price †	Average Price Comparison †
ZSP02	10/04/2022	$0.322	$0.3507	WITHIN RANGE
ZSP03	10/04/2022	$0.322	$0.3507	WITHIN RANGE
ZSP02	09/29/2022	$0.482	$0.3449	WITHIN RANGE
ZSP03	09/29/2022	$0.482	$0.3449	WITHIN RANGE
ZSP02	09/24/2022	$0.3912	$0.344	WITHIN RANGE
ZSP03	09/24/2022	$0.3912	$0.344	WITHIN RANGE
ZSP02	09/19/2022	$0.3222	$0.3444	WITHIN RANGE
ZSP03	09/19/2022	$0.3222	$0.3444	WITHIN RANGE
ZSP02	09/14/2022	$0.292	$0.3455	WITHIN RANGE
ZSP03	09/14/2022	$0.292	$0.3455	WITHIN RANGE

Showing 1 to 10 of 113 entries   First Previous 1 2 3 4 5 Next Last

(†) at time of purchase

Figure 53: Market Research Purchase History Table

- **Purchase History Graph:** Purchase history is displayed in a line graph below the table. The Purchase history graph illustrates the data in the Purchase History table and will reflect any changes made to this table. The graph shows the upper and lower boundary's (the control limits) of the Predicted Range ±2 standard deviations and shows Purchase History Prices plotted over the expected range of prices. Hover over points for detail. The Expected Range Control Limits are shown as follows:
  - UCL = Upper Control Limit = HPP + 2σ (**red**)
  - LCL = Lower Control Limit = HPP - 2σ (**purple**)
  - HPP - Historical Paid Price(s) (**blue**)
  - Entered Bids are displayed as **green** dots on the charts.
  - Selecting the legend items turns the lines on and off this is helpful if bids (**green**) are hidden by lines
  - Hover over points for detail

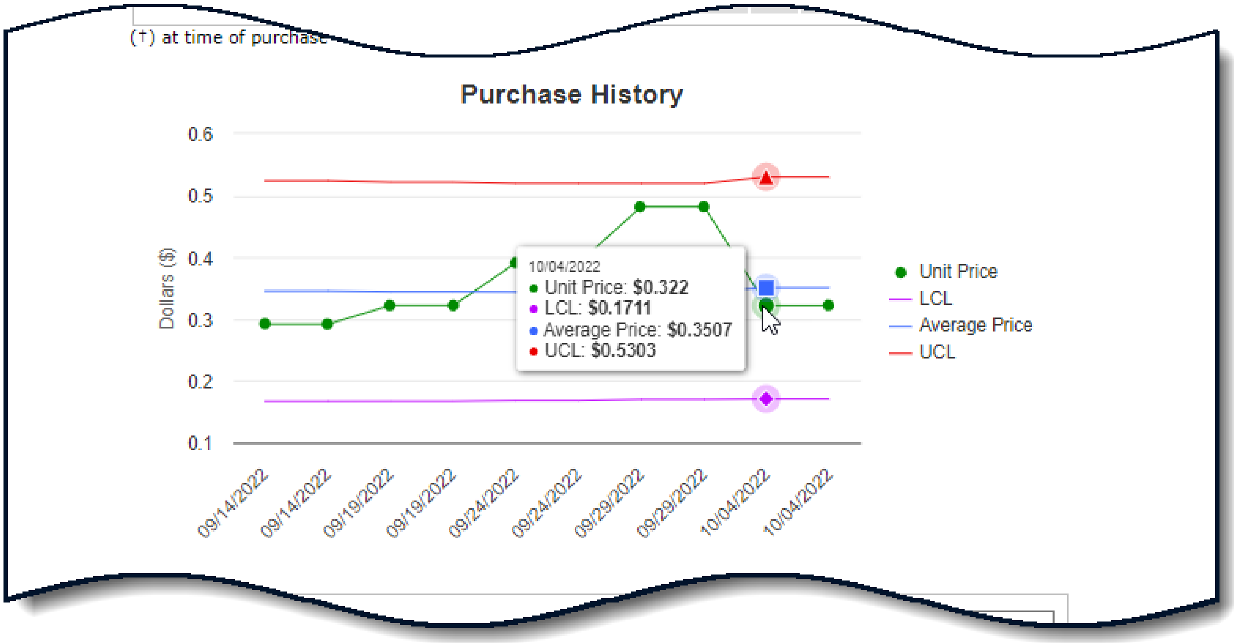


Figure 54: Market Research Purchase History Graph

- Supplier History Table: The table provides vendor information for the CAGEs identified in the Purchase History table.

    - Click the CAGE hyperlink to open address information beneath the table.

  The table also includes Company Name, Exclusion from SAM – YES or NO, and their SPRS scores.

  The FSC/PSC Delivery and FSC/PSC Quality are the same scores displayed in the Summary Report. An asterisk "*" here means there is no data in SPRS.

  The color Green indicates a contract with no information and Black indicates no contracts or scoring. The Supplier Risk Score is an overall performance score. Scores are ranked and colors assigned based on a standard distribution bell curve.

    - Click the hyperlinked Supplier Risk Score to open the Supplier Risk Report for each CAGE

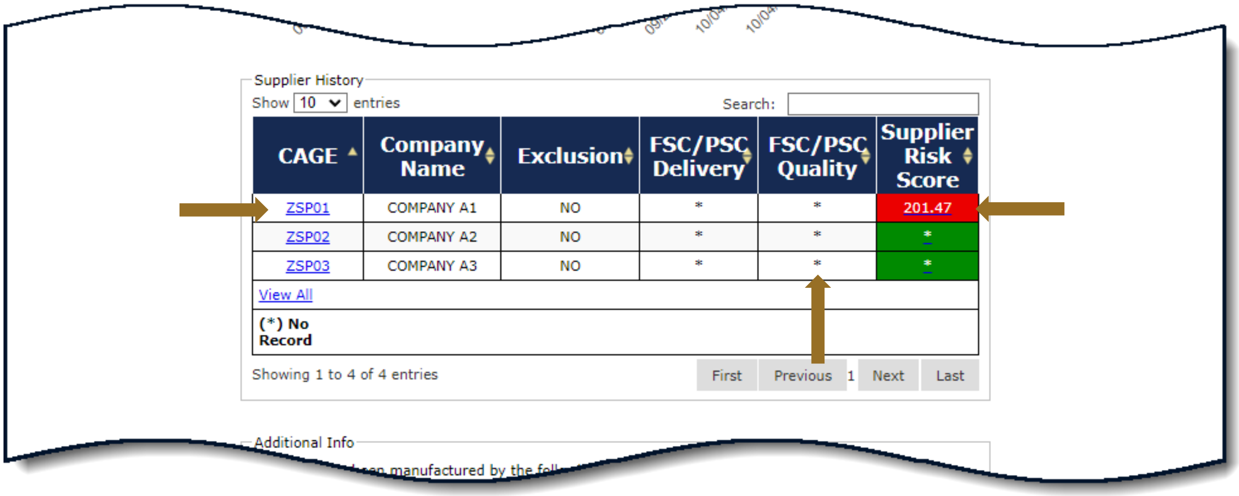


Figure 55: Market Research History Table

- **Additional Info:** If the item has been manufactured under different CAGE and Part Number or NSN, if searching by Manufacture Part Number and CAGE, then it will display in the Additional Info section.

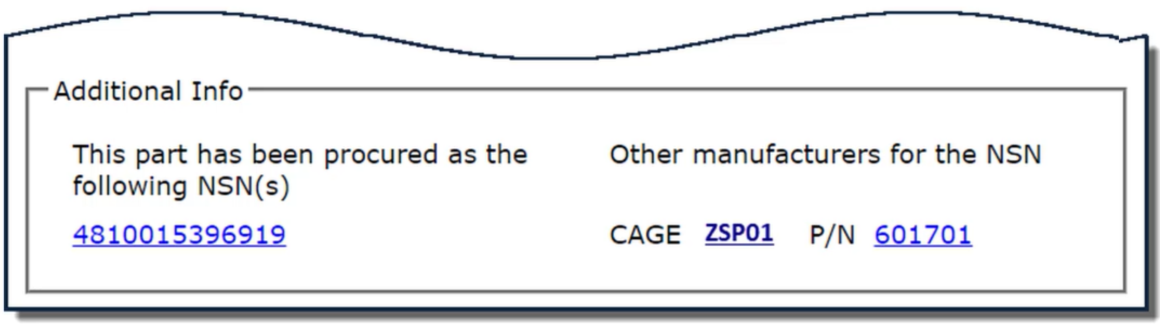


Figure 56: Market Research Additional Info

6.4 PROCUREMENT RISK ANALYSIS

SPRS provides a procurement risk assessment in three areas:

- **Price** – over-/under-bid risk based on previous buys since 2010, escalated for inflation
- **Item** – identified application or safety criticality; potential for counterfeiting, non-conforming material, diminishing sources of supply
- **Supplier** – a Standalone way to view detailed Supplier Risk. A composite score that considers each supplier's performance in the areas of product delivery and quality.

The Price Risk Assessment calculates the Historical Price (escalated for inflation) -- what an item should cost, based on previous buys. It is the average of all purchases reported since 2010, excluding certain extremely high prices (outliers), and is escalated by Bureau of Labor Statistics inflation indexes.

Bids are scored by their difference from Historical Price.

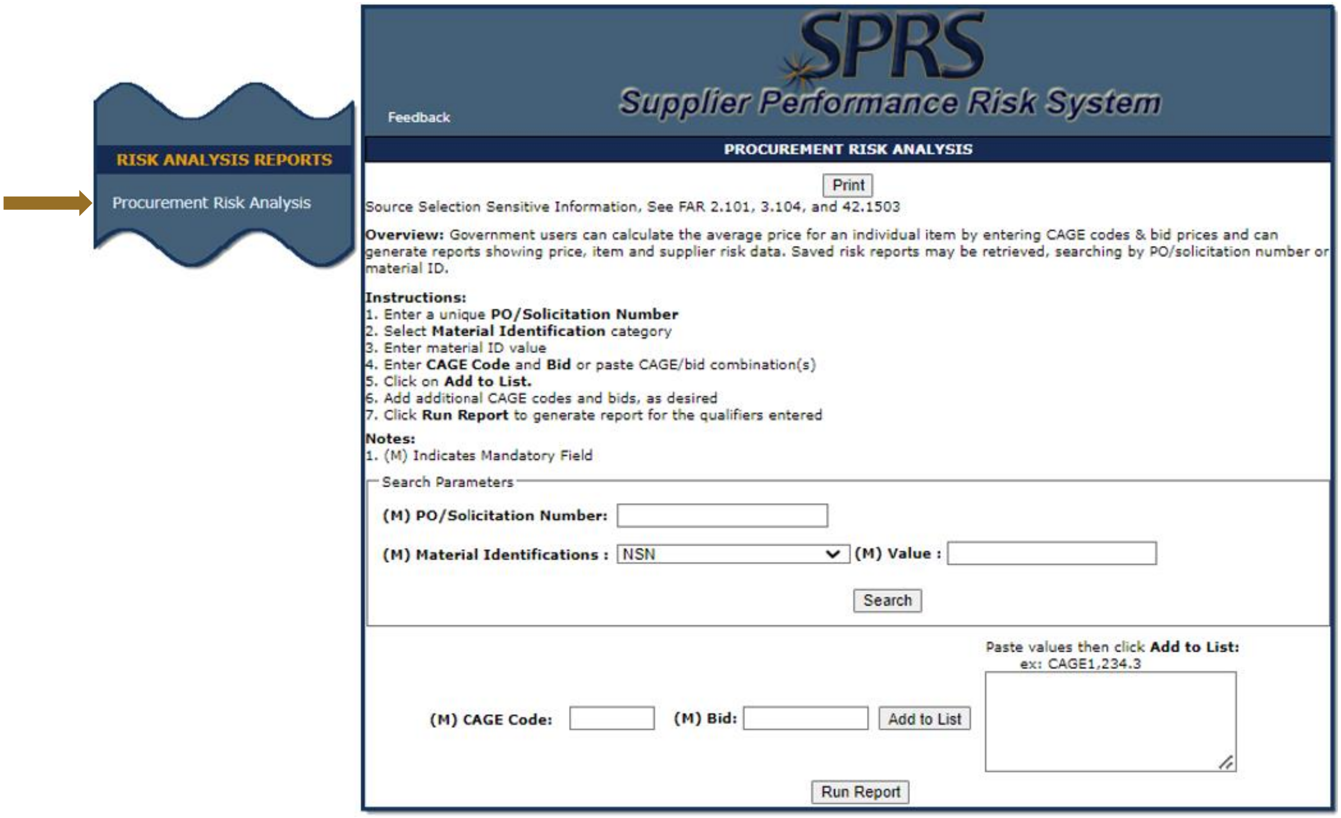


Figure 57: Procurement Risk Analysis Request

To Access Procurement Risk Analysis:

Select **Procurement Risk Analysis** link from the Menu.

- Enter a unique **PO/Solicitation Number**
- Select **Material Identification** category
- Enter material ID value
- Enter **CAGE Code** and **Bid** or paste CAGE/bid combination(s)
- Click on **Add to List**
- Add additional CAGE codes and bids, as desired
- Click Delete to remove a CAGE Code
- Click **Run Report** to generate report for the qualifiers entered

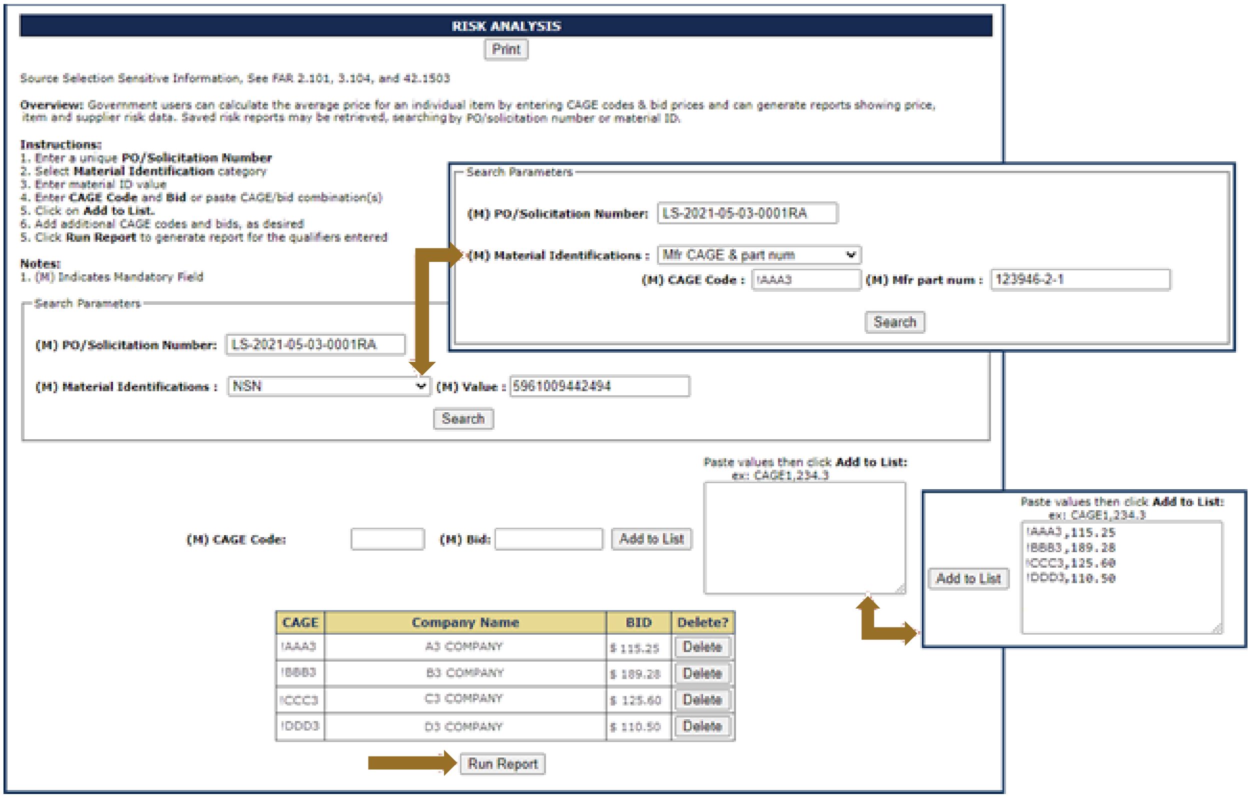


Figure 58: Procurement Risk Analysis Request Example

NOTE: The Purchase Order/Solicitation Number is a free form box. Utilize the unique Procurement Instrument Identifier (PIID) adding initials or date for multiple reports. **Reports are recorded and visible in the user’s Solicitation history only**.

Retrieving Previously Saved Risk Analysis:

- Select **Procurement Risk Analysis** link from the Menu.
- Enter existing PO/Solicitation number, click Search
- If a matching Risk Analysis record is found the following screen is displayed
- Click solicitation number to display printable/savable PDF

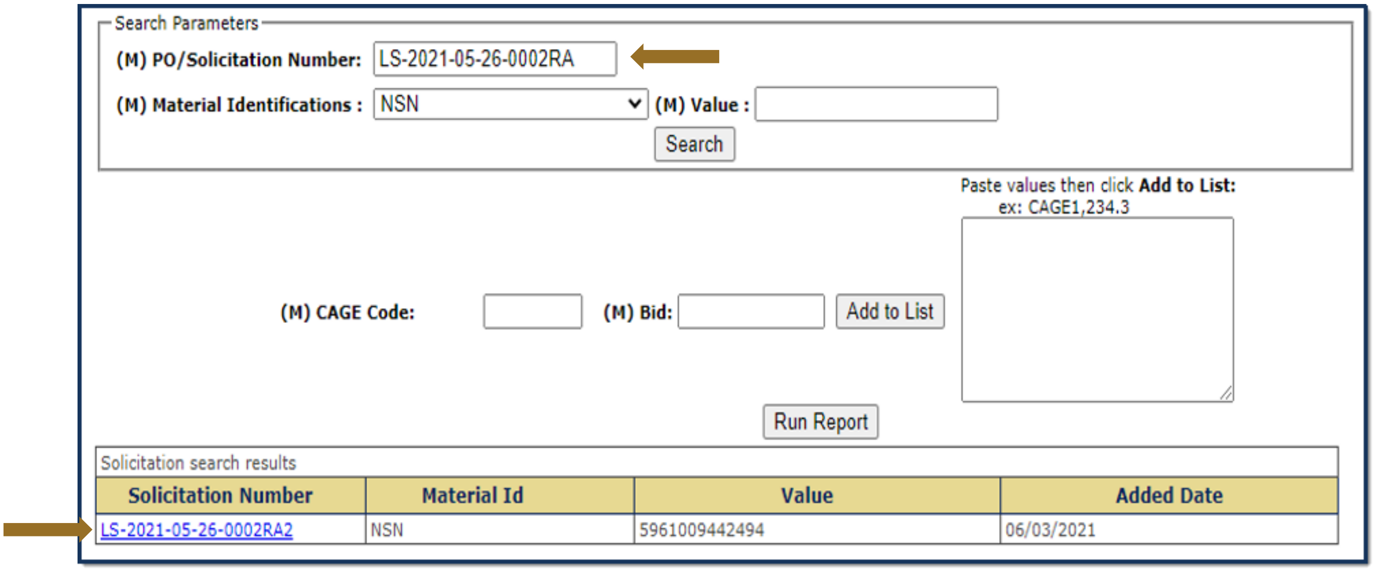


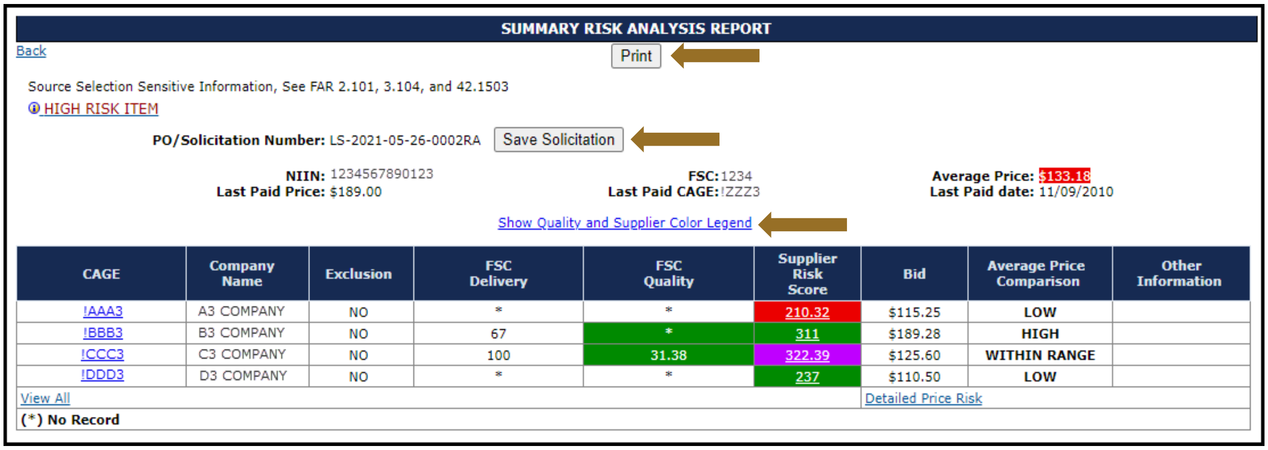
Figure 59: Procurement Risk Analysis Search Example

Summary Risk Analysis Report Layout:

- The Header provides an overview of the solicitation, an alert if the NSN is considered a High Risk Item, and the Average Price the last time the item was purchased.
- The first three columns contain Vendor information including CAGE, Company Name, and Exclusion Status. The next three columns contain the vendor's Delivery and Quality performance scores with in the supply code searched, as well as the vendors overall Supplier Risk Score. The last three columns compare the entered bid amount and where the bid falls in compared to the calculated Average Price.
- Click the Print button to Print this report

NOTE: Data available only for valid Material IDs

- Click the **Save Solicitation** button to save this Solicitation
- Click the **Show Quality and Supplier Color Legend** link to display the Color Legend



SUMMARY RISK ANALYSIS REPORT

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

HIGH RISK ITEM

PO/Solicitation Number: LS-2021-05-26-0002RA Save Solicitation

NIIN: 1234567890123 FSC: 1234 Average Price: $133.18
Last Paid Price: $189.00 Last Paid CAGE: !ZZZ3 Last Paid date: 11/09/2010

Show Quality and Supplier Color Legend

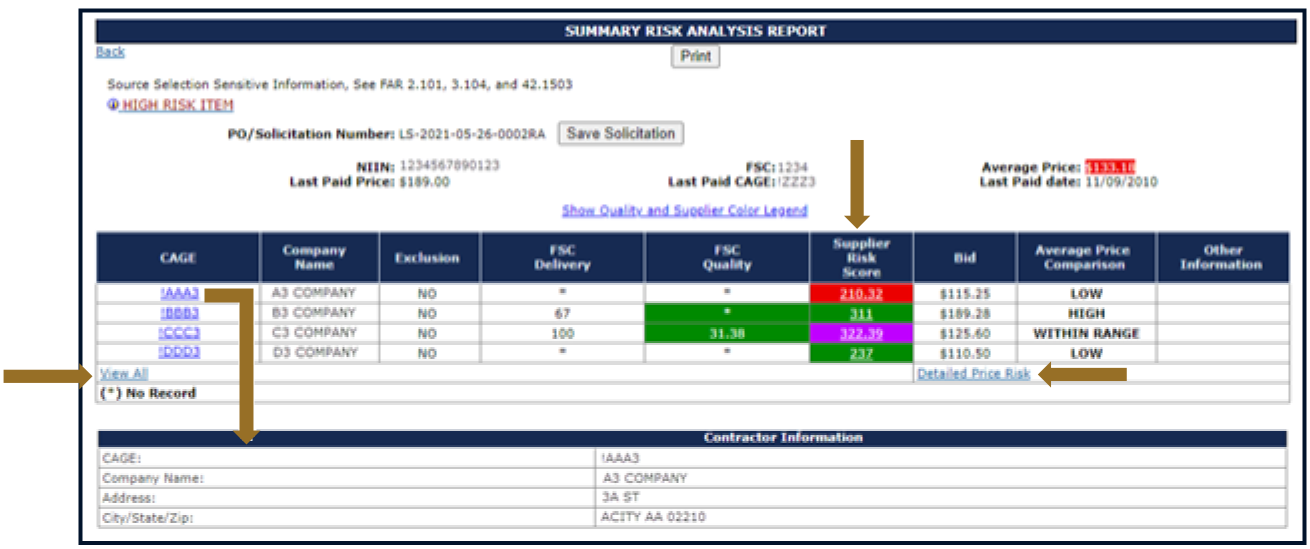
CAGE	Company Name	Exclusion	FSC Delivery	FSC Quality	Supplier Risk Score	Bid	Average Price Comparison	Other Information
!AAA3	A3 COMPANY	NO	*	*	210.32	$115.25	LOW	
!BBB3	B3 COMPANY	NO	67	*	311	$189.28	HIGH	
!CCC3	C3 COMPANY	NO	100	31.38	322.39	$125.60	WITHIN RANGE	
!DDD3	D3 COMPANY	NO	*	*	237	$110.50	LOW	

View All | Detailed Price Risk

(*) No Record

Figure 60: Risk Analysis Report

- Click any linked **CAGE Code** to view Vendor delivery and quality information
- Click a linked **Supplier Risk Score** to display the Detailed Supplier Risk Report
- Click the **View All** (left) to view Government Summary Reports
- Click the **Detailed Price Risk** (right) to view Quote & Purchase History (Detailed Risk Analysis Report)



SUMMARY RISK ANALYSIS REPORT

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

HIGH RISK ITEM

PO/Solicitation Number: LS-2021-05-26-0002RA Save Solicitation

NIIN: 1234567890123 FSC: 1234 Average Price: $133.18
Last Paid Price: $189.00 Last Paid CAGE: !ZZZ3 Last Paid date: 11/09/2010

Show Quality and Supplier Color Legend

CAGE	Company Name	Exclusion	FSC Delivery	FSC Quality	Supplier Risk Score	Bid	Average Price Comparison	Other Information
!AAA3	A3 COMPANY	NO	*	*	210.32	$115.25	LOW	
!BBB3	B3 COMPANY	NO	67	*	311	$189.28	HIGH	
!CCC3	C3 COMPANY	NO	100	31.38	322.39	$125.60	WITHIN RANGE	
!DDD3	D3 COMPANY	NO	*	*	237	$110.50	LOW	

View All | Detailed Price Risk

(*) No Record

Contractor Information	
CAGE:	!AAA3
Company Name:	A3 COMPANY
Address:	3A ST
City/State/Zip:	ACITY AA 02210

Figure 61: Risk Analysis Report Options

The Supplier Risk Report accessed from the Procurement Risk Analysis Report is different in two ways from the stand alone Supplier Risk Report. The difference impacts the supplier risk score and therefore potentially the associated color score. This Supplier Risk Report contains two additional Scored Factors, DLA QML/QPL and Price Risk Comparison.

- **DLA QML/QPL** – The DLA QML/QPL is the Defense Logistics Agency’s Qualified Manufacture List / Qualified Products List. If the CAGE is on DLA’s QML/QPL list, then +1 is added to the Supplier Risk Score.

- **Price Risk Comparison** – The Price Risk Comparison adds or subtracts +1 / -1 to the Supplier Risk Score if the bid is within range as calculated by using the average of all historical prices paid for that item since 2010 excluding extreme prices, outliers, and escalated by Bureau of Labor Statistics inflation indexes.

DETAILED SUPPLIER RISK REPORT

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

PO/Solicitation Number: 11-28-2022-ND

HIGH RISK ITEM

NIIN: 000052882 **FSC/PSC:** 5905 **Average Price:** $0.3508

Last Paid Price: $0.32 **Last Paid CAGE:** ZSP02 **Last Paid date:** 10/04/2022

Contractor Information	
CAGE:	ZSP01
Company Name:	COMPANY A1
Address:	A1 ROAD
City/State/Zip:	A1 CITY AA 11111
Exclusion:	No (SPRS Exclusion Request Date: 02/22/2023 07:59:52)
SAM Expiration Date:	
CAGE Status:	ACTIVE
CAGE Established Date:	11/03/1974
CAGE Updated Date:	07/26/2022
CAGE Expiration Date:	01/21/2027

Show Supplier Color Legend

Supplier Risk Score
RED (178.7)

Suspected Counterfeit
4 Record(s)

SPRS Supplier Risk Score

Click **here** to download Supplier Detail data

Click **here** to download **Negative** Quality and Delivery data

Click **here** to download **Positive** Quality and Delivery data

Scored Data - Expand Data Categories

Factor	Records	Score
Suspected Counterfeit	4	0
Quality Score Rankings	177	50
Overall Delivery Score	67	31
Contractor Performance Assessment Reporting System (CPARS)	0	0
Corrective Action Requests (CAR)	0	60
Corrective Action Plans (CAP)	0	0
DLA QML/QPL	0	0
Surveys	33	-16.18
Program Assessment Reports (PAR)	0	0
Government-Industry Data Exchange Program (GIDEP) (non-counterfeit)	9	2.88
Federal Awardee Performance and Integrity Information System (FAPIIS)	0	50
Price Risk Comparison	N/A	

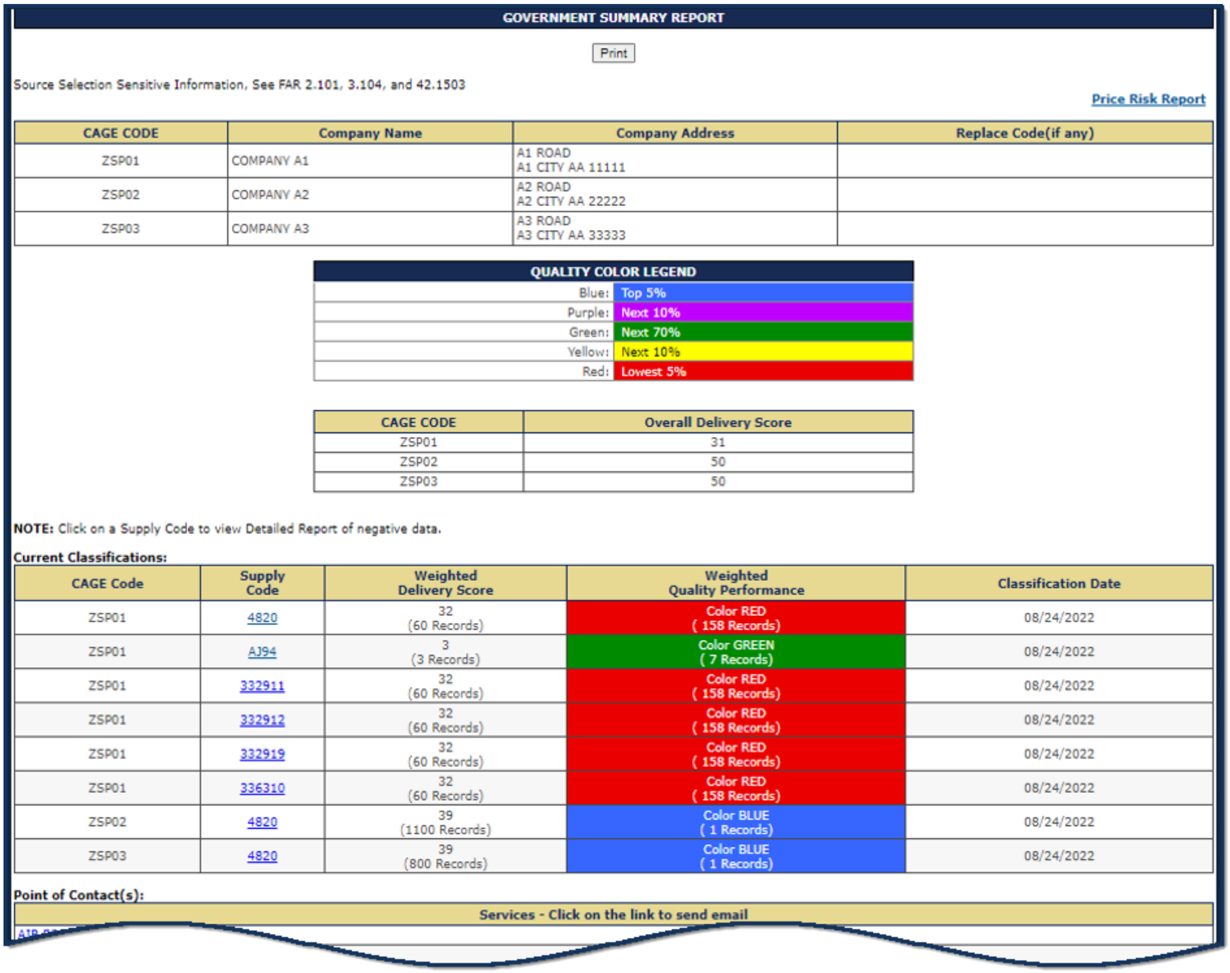
Info Only - Five (5) years of Scored and Unscored Data - Expand Data Categories

Source	Records
Diminishing Manufacturing Sources and Material Shortages (DMSMS)	0
Supply Discrepancy Reports (SDR)	34
Product Quality Deficiency Reports (PQDR)	28
Special Quality Data (SQD)	0
Supplier Audits and Assessments (SAA)	0
Material Inspection Records (MIR)	30
Material Test Reports (MTR)	13
Naval Bulletin	7
Product Lot / Verification Testing	14

Figure 62: Supplier Risk Report Layout

Government Summary Report:

- Accessing the government Summary report from the Risk Analysis Report will display Summary Report information for all CAGEs associated with the report.



GOVERNMENT SUMMARY REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Price Risk Report

CAGE CODE	Company Name	Company Address	Replace Code(if any)
ZSP01	COMPANY A1	A1 ROAD A1 CITY AA 11111	
ZSP02	COMPANY A2	A2 ROAD A2 CITY AA 22222	
ZSP03	COMPANY A3	A3 ROAD A3 CITY AA 33333	

QUALITY COLOR LEGEND	
Blue:	Top 5%
Purple:	Next 10%
Green:	Next 70%
Yellow:	Next 10%
Red:	Lowest 5%

CAGE CODE	Overall Delivery Score
ZSP01	31
ZSP02	50
ZSP03	50

NOTE: Click on a Supply Code to view Detailed Report of negative data.

Current Classifications:

CAGE Code	Supply Code	Weighted Delivery Score	Weighted Quality Performance	Classification Date
ZSP01	4820	32 (60 Records)	Color RED (158 Records)	08/24/2022
ZSP01	AJ94	3 (3 Records)	Color GREEN (7 Records)	08/24/2022
ZSP01	332911	32 (60 Records)	Color RED (158 Records)	08/24/2022
ZSP01	332912	32 (60 Records)	Color RED (158 Records)	08/24/2022
ZSP01	332919	32 (60 Records)	Color RED (158 Records)	08/24/2022
ZSP01	336310	32 (60 Records)	Color RED (158 Records)	08/24/2022
ZSP02	4820	39 (1100 Records)	Color BLUE (1 Records)	08/24/2022
ZSP03	4820	39 (800 Records)	Color BLUE (1 Records)	08/24/2022

Point of Contact(s):

Services - Click on the link to send email

Figure 63: Government Summary Report Layout

Detailed Price Risk Report:

- The header section of the Detailed Price Risk Report provides an overview of the solicitation, an alert if the NSN is considered a High Risk Item, and the Average Price the last time the item was purchased.

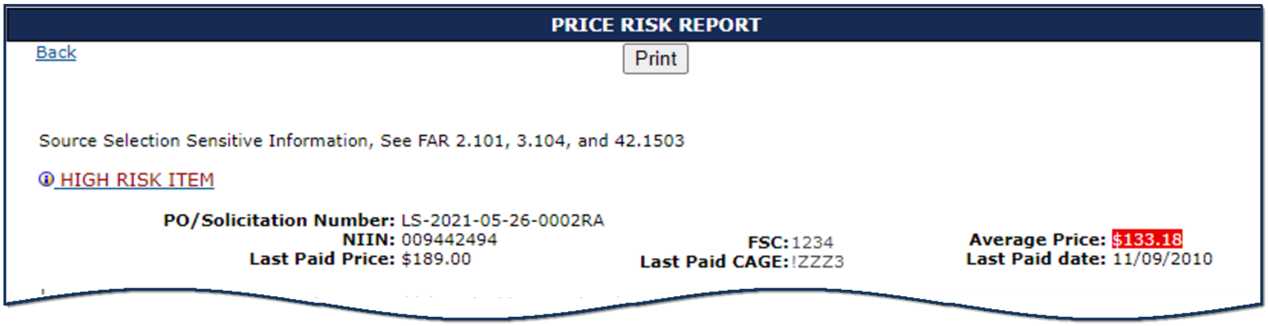


Figure 64: Price Risk Report from Risk Analysis Report

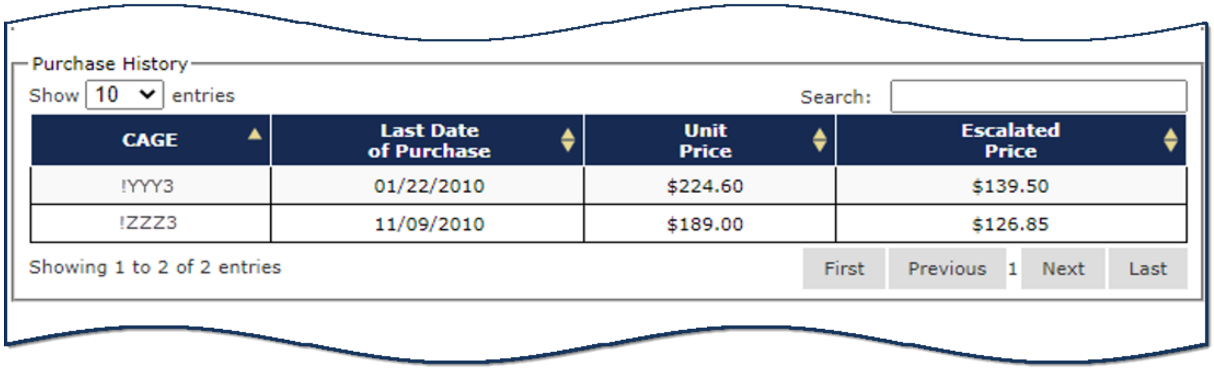
- **Bids**: The Bids table that contains the CAGEs, Company Names, and the bids entered when the report was generated. It also includes Price difference and Average Price Comparison. If there is too much data to fit in these tables comfortably, page numbers will display below the table allowing the user to page through all the data, just click a page number or “Next”.
    - The **Price Difference** is the difference between the bid price and the Average Price.
    - The **Average Price Comparison** indicates if the bid is considered low, within range, or high.

CAGE	Company Name	Quote Price	Price Difference	Average Price Comparison
!CCC3	C3 COMPANY	$125.60	$	WITHIN RANGE
!AAA3	A3 COMPANY	$115.25	$	LOW
!BBB3	B3 COMPANY	$189.28	$	HIGH
!DDD3	D3 COMPANY	$110.50	$	LOW

Figure 65: Procurement Risk Analysis Bids Table

- **Purchase History**: The Purchase History lists the CAGE, Dates the item was Purchased, Unit Price, and Escalated Price. Unit Price is the price paid of the item at the time of purchase. Escalated Price is the historical price paid adjusted for inflation to what the buyer would have paid if item was purchased today.

  The Escalated Price is determined by using the U.S. Bureau of Labor Statistics’ Producer Price Index (PPI) for the purposes of “escalating” (i.e., adjusting for inflation) historical unit prices to the current month.



Purchase History

Show 10 entries   Search:

CAGE	Last Date of Purchase	Unit Price	Escalated Price
!YYY3	01/22/2010	$224.60	$139.50
!ZZZ3	11/09/2010	$189.00	$126.85

Showing 1 to 2 of 2 entries   First Previous 1 Next Last

Figure 66: Procurement Risk Analysis Purchase History Table

- **Escalated Prices Graph:** Purchase history is displayed in a line graph below the table. The Purchase history graph illustrates the data in the Purchase History table and will reflect any changes made to this table. The graph shows the upper and lower boundary's (the control limits) of the Predicted Range ±2 standard deviations and shows Historical Escalated Prices plotted over the expected range of prices. Hover over points for detail. The Expected Range Control Limits are shown as follows:
    - UCL = Upper Control Limit = HPP + 2σ (**red**)
    - LCL = Lower Control Limit = HPP - 2σ (**purple**)
    - HPP - Historical Paid Price(s) (**blue**)
    - Entered Bids are displayed as **green** dots on the charts.
    - Selecting the legend items turns the lines on and off this is helpful if bids (**green**) are hidden by lines
    - Hover over points for detail

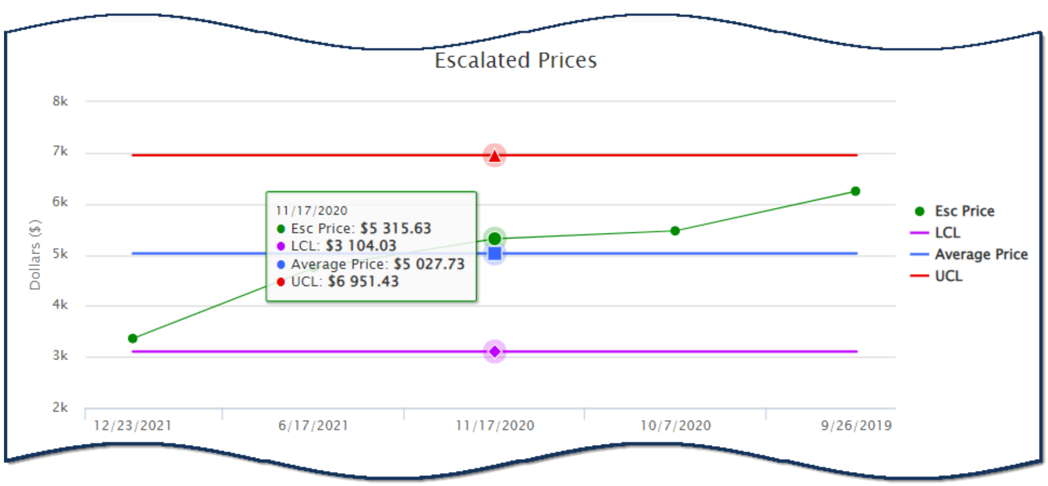
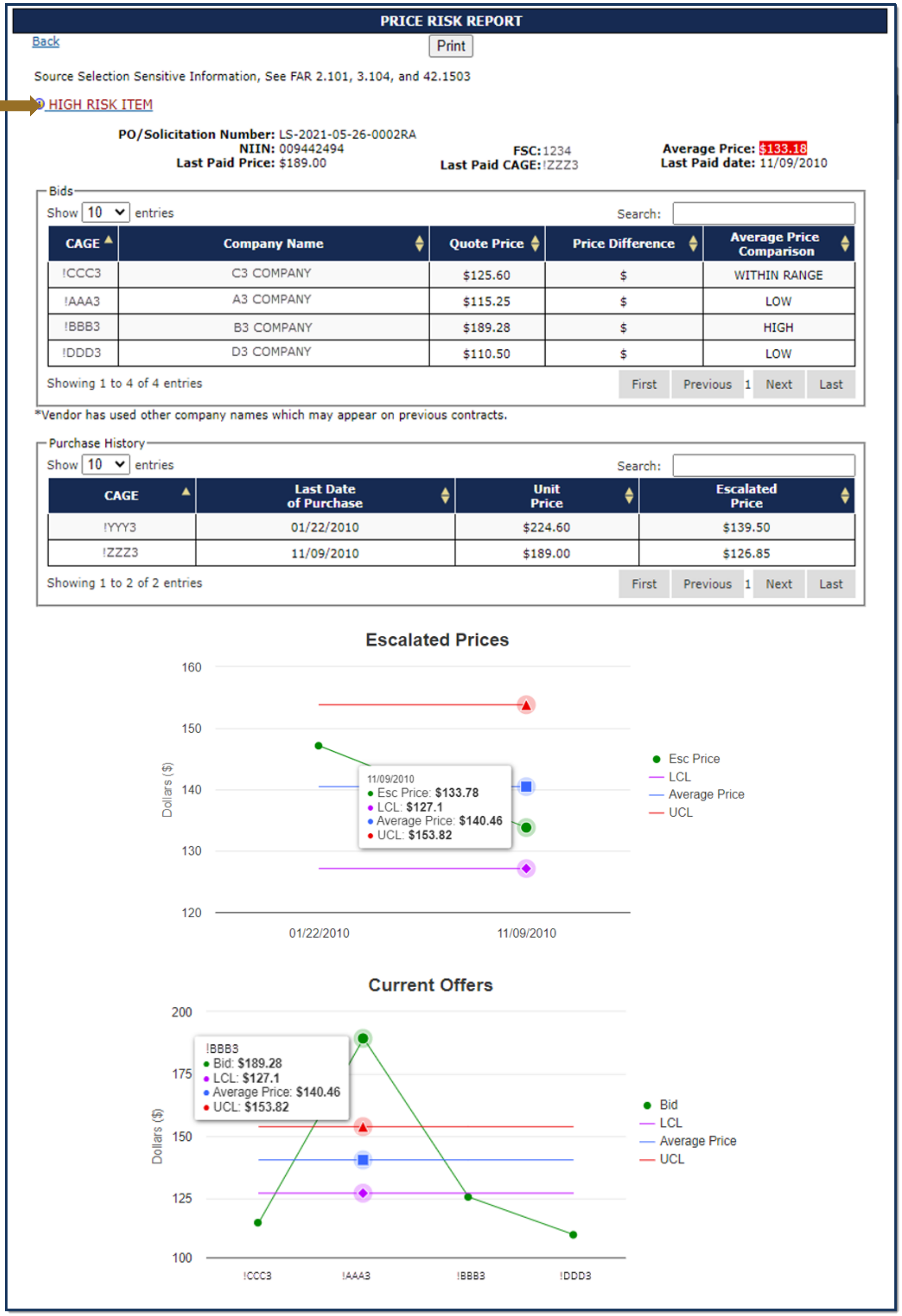


Figure 67: Escalated Prices Graph within Price Risk from Risk Analysis

- **Current Offers Graph:** The Current Offers graph illustrates the data from the Bids table and will reflect any changes made to this table.

Figure 68: Current Offers Graph within Price Risk from Risk Analysis

If the HIGH RISK ITEM alert is displayed in the Header, click to display the Agency-supplied risk detail.



PRICE RISK REPORT

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

HIGH RISK ITEM

PO/Solicitation Number: LS-2021-05-26-0002RA
NIIN: 009442494
Last Paid Price: $189.00

FSC: 1234
Last Paid CAGE: !ZZZ3

Average Price: $133.18
Last Paid date: 11/09/2010

Bids

Show 10 entries Search:

CAGE	Company Name	Quote Price	Price Difference	Average Price Comparison
!CCC3	C3 COMPANY	$125.60	$	WITHIN RANGE
!AAA3	A3 COMPANY	$115.25	$	LOW
!BBB3	B3 COMPANY	$189.28	$	HIGH
!DDD3	D3 COMPANY	$110.50	$	LOW

Showing 1 to 4 of 4 entries First Previous 1 Next Last

*Vendor has used other company names which may appear on previous contracts.

Purchase History

Show 10 entries Search:

CAGE	Last Date of Purchase	Unit Price	Escalated Price
!YYY3	01/22/2010	$224.60	$139.50
!ZZZ3	11/09/2010	$189.00	$126.85

Showing 1 to 2 of 2 entries First Previous 1 Next Last

Figure 69: Complete Price Risk Report from Risk Analysis Report Example

If the item is a high risk item, at least one reason is displayed as well as suggested mitigation strategies. The Mitigations section is where services and agencies can display mitigation instructions to their contracting officials.

DETAILED ITEM/PRICE RISK REPORT

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503
PO/Solicitation Number: LS-2021-05-26-0002RA

HIGH RISK ITEM

NIIN: 009442494 **FSC:** 1234 **Average Price:** $133.18
Last Paid Price: $189.00 **Last Paid CAGE:** !ZZZ3 **Last Paid date:** 11/09/2010

Reason(s)

Criticality Code Y - The item does not have a nuclear hardened feature but does have other critical feature(s) such as tolerance, fit restriction or application.

This item is subject to diminishing manufacturing sources and material shortages (DMSMS).

DLA has identified items in this Federal Stock Class as being High Risk.

Suggested Mitigations

Anti-Counterfeiting
Clauses/Standards/Data Item Descriptions

FAR:	
52.209-2	First Article Approval-Contractor Testing
52.246-11	Higher-Level Contact Quality Requirement
52.246-15	Certificate of Conformance
DFAR:	
252.211-7003	Item Unique Identifications and Valuation
252.217-7026	Identification of Sources of Supply
252.225-7002	Qualifying Country Sources as Subcontractors
252.225-7048	Export-Controlled Items
252.246-7008	Sources of Electronic Parts (Aug 2016)
252.246-7007	Contractor Counterfeit Electronic Part Detection and Avoidance System
Standards:	
SAE AS5553	Counterfeit Electronic Parts; Avoidance, Detection, Mitigation, and Disposition
SAE AS6081	Fraudulent/Counterfeit Electronic Parts: Avoidance, Detection, Mitigation, and Disposition - Distributors Counterfeit Electronic Parts; Avoidance Protocol, Distributors
SAE AS6171	Test Methods Standard; General Requirements, Suspect/Counterfeit, Electrical, Electronic, and Electromechanical Parts
JESD31	General Rqmts for Distributors of Commercial & Military Semiconductor Devices
Data Item Description (DID):	
DI-MISC-81832	Counterfeit Prevention Plan
DI-QCIC-80125B	Government Industry Data Exchange Program (GIDEP) Alert/Safe-Alert
DI-PSSS-81656B	Bill of Materials (BOM) for Logistics and Supply Chain Risk Management

Figure 70: High Risk Item in Detailed Item/Price Risk Report from Risk Analysis Report

6.5 SUPPLIER SURVEILLANCE

Supplier Surveillance is a tool for users to focus on vendors have supplied particular items. Given a National Stock Number or NSN, the report will return all CAGEs that supplied the item. Users can filter the report based on Supplier Risk Scores (e.g., only show vendors of a particular item with a RED color score).

To access the Supplier Surveillance:

Select **Supplier Surveillance** link from the Menu.

- Enter the National Stock Number (NSN) and/or select a Supplier Risk Score color.
- Use Control (Ctrl) and click to select or deselect multiple Supplier Risk Score colors.
- Click Search to generate a report for the qualifiers entered.

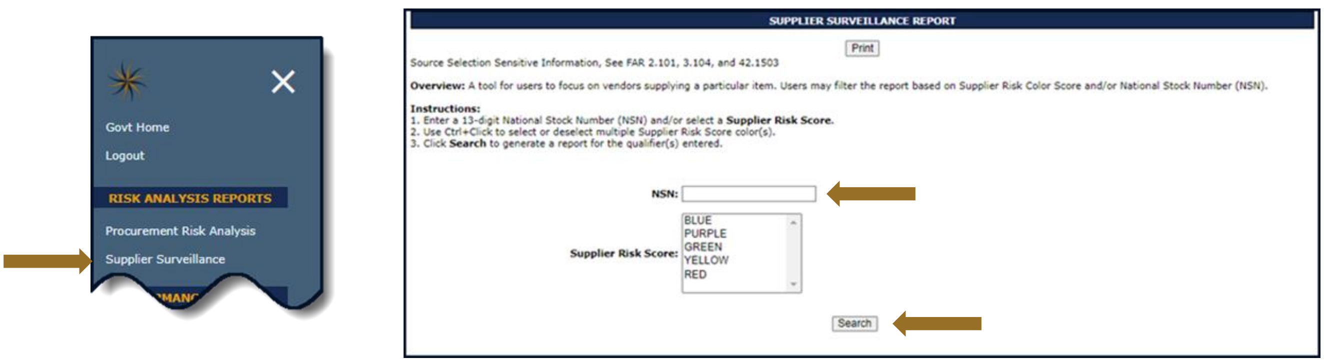


Figure 71: Supplier Surveillance Request

- The Supplier Surveillance Report is displayed. Click the Print button to print this report. When searching by NSN, the top displays: the NIIN, the FSC/PSC and if the NSN searched is considered a High Risk Item.
- The NIIN and FSC/PSC are hyperlinked to the associated NSN's Detailed Item/Price Risk Report. Average price is based on all unique prices since January 2010, adjusted for inflation and number of times the item was purchased.
- Click Export to Excel to export the data. Use the search function to filter by specific CAGEs, key words in a company name, or by Supplier Risk Score. Clicking on the column header can sort the report ascending or descending, for example this feature may be useful if the user is trying to sort by lowest to highest Supplier Risk Scores.

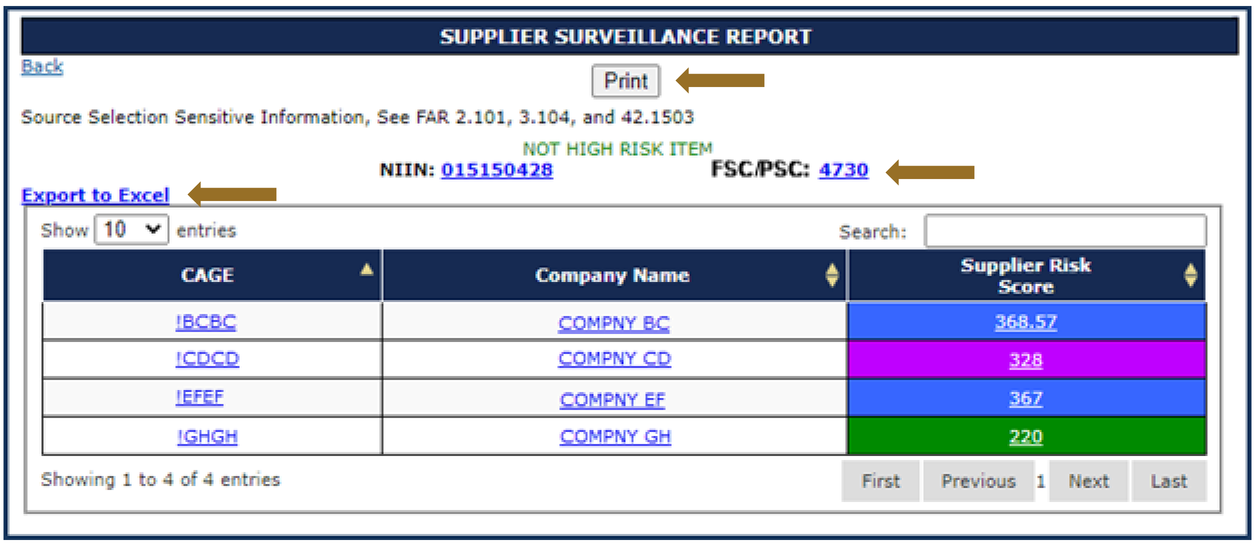


Figure 72: Supplier Surveillance Results

7. PERFORMANCE REPORTS

SPRS gathers, processes, and displays data about the performance of suppliers.

7.1 SUMMARY REPORT

The Summary Report allows Government users to search for vendor information using either UEI number or CAGE code(s). The report displays all or specific Supply Code classification(s) associated with the CAGE.

To access Summary Report:

Select **Summary Report** link from the Menu.

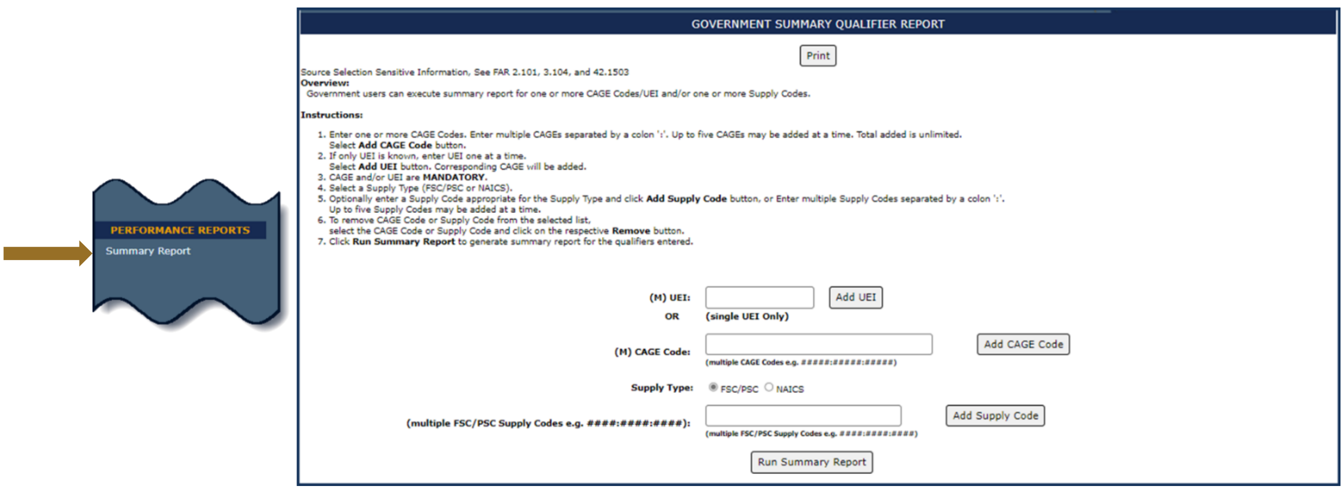


Figure 73: Summary Report Request

Multiple search fields are available to obtain a Summary Report.

- Enter a valid UEI and click the Add UEI button

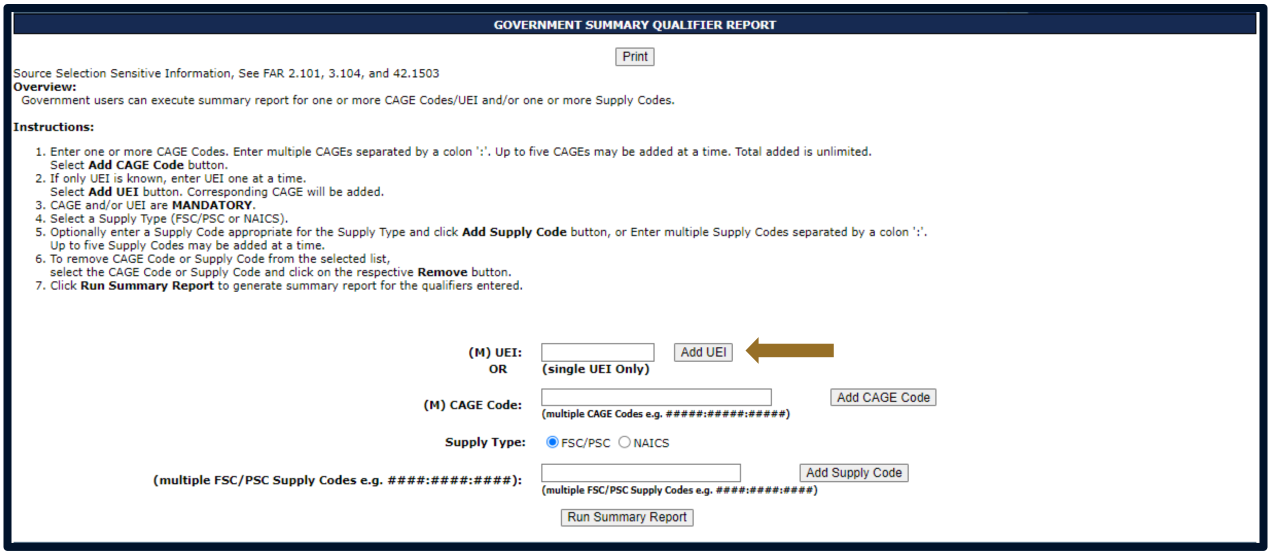


Figure 74: Summary Report Request, entering UEI

- The corresponding CAGE Code for that UEI will appear in a List of selected CAGE Code(s) box

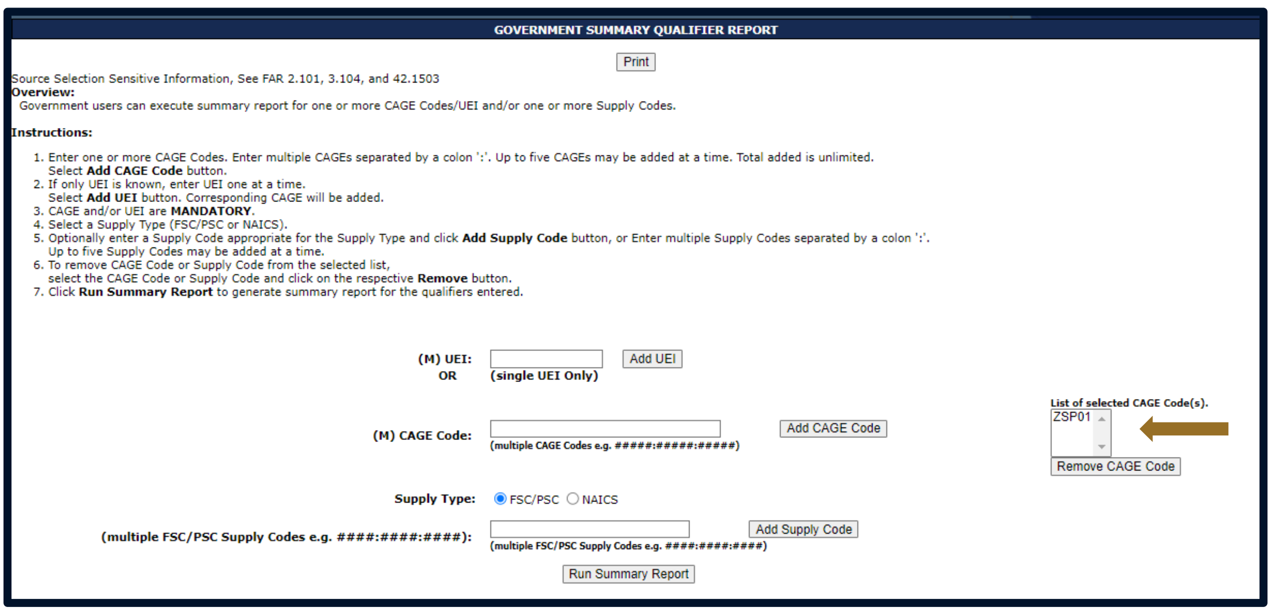


Figure 75: Summary Report Request, List of selected CAGE Codes Box

- Enter a CAGE code and click the Add CAGE Code button
- Enter multiple CAGE codes colon delimited

NOTE: The Add CAGE button also ***checks the Excluded Parties List. No CAGE on the EPL may be added.***

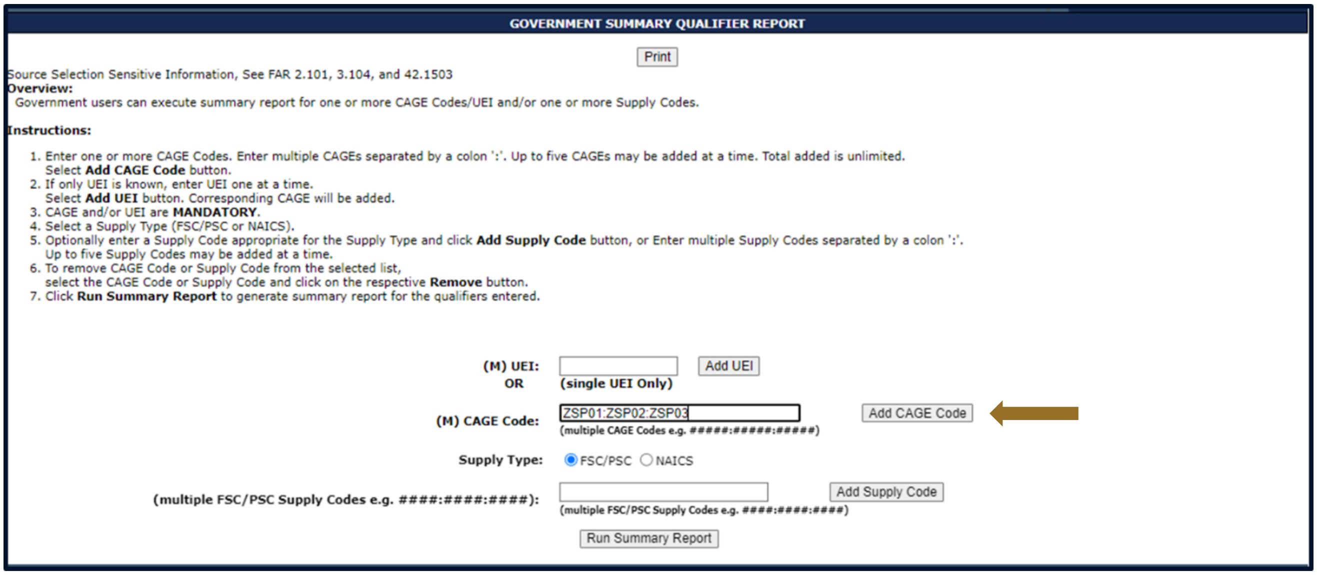


Figure 76: Summary Report Request, entering multiple CAGE Codes

- The List of selected CAGE Code(s) box will appear with the newly added CAGE code

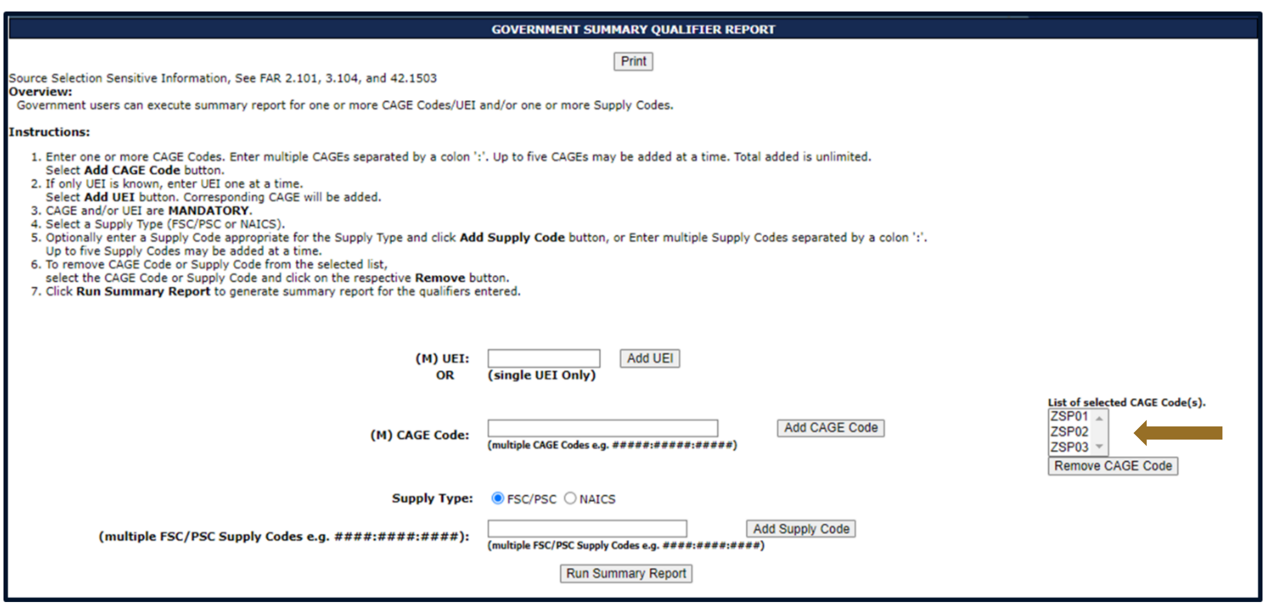


Figure 77: Summary Report Request, entering UEI

- To display reports for a specific FSC/PSC, enter the FSC/PSC code and click the Add Supply Code button. A List of selected Supply Code(s) box will appear
- Multiple Supply Codes must be colon delimited

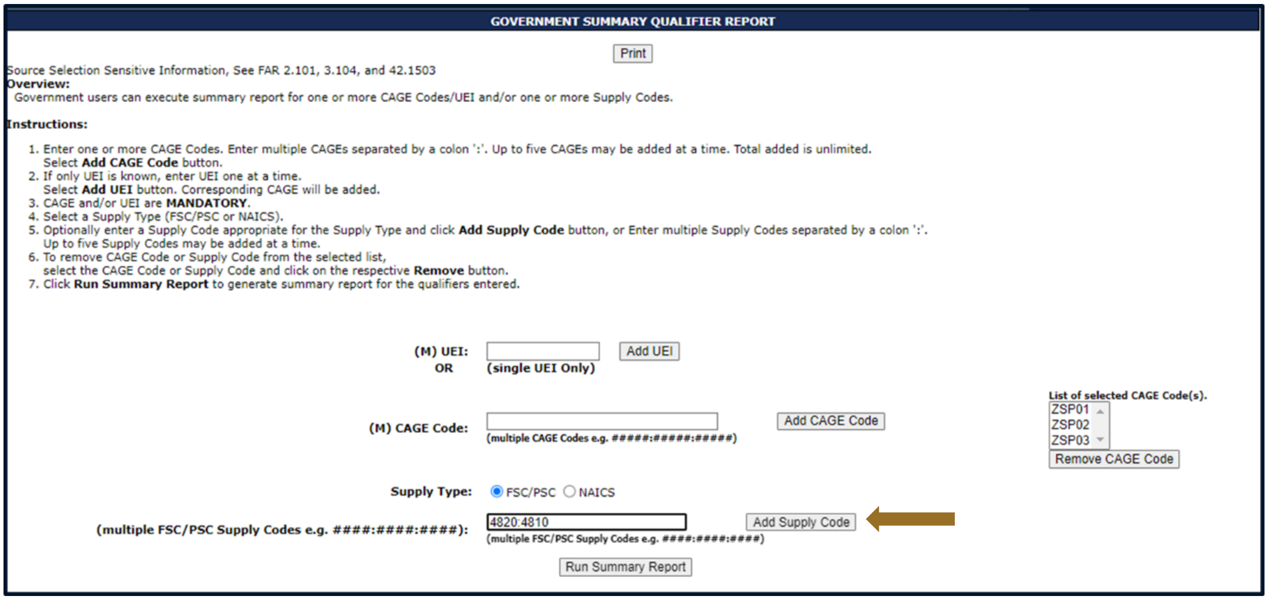


Figure 78: Summary Report Request, entering multiple FSC/PSC Codes

- To remove a CAGE Code, select the CAGE(s) to be removed and click the Remove CAGE Code button
- To display records for a specific NAICS, select the NAICS radio button and enter a NAICS as described above for FSC/PSC Supply Code
- Click Run Summary Report button to run the Report for the entered criteria

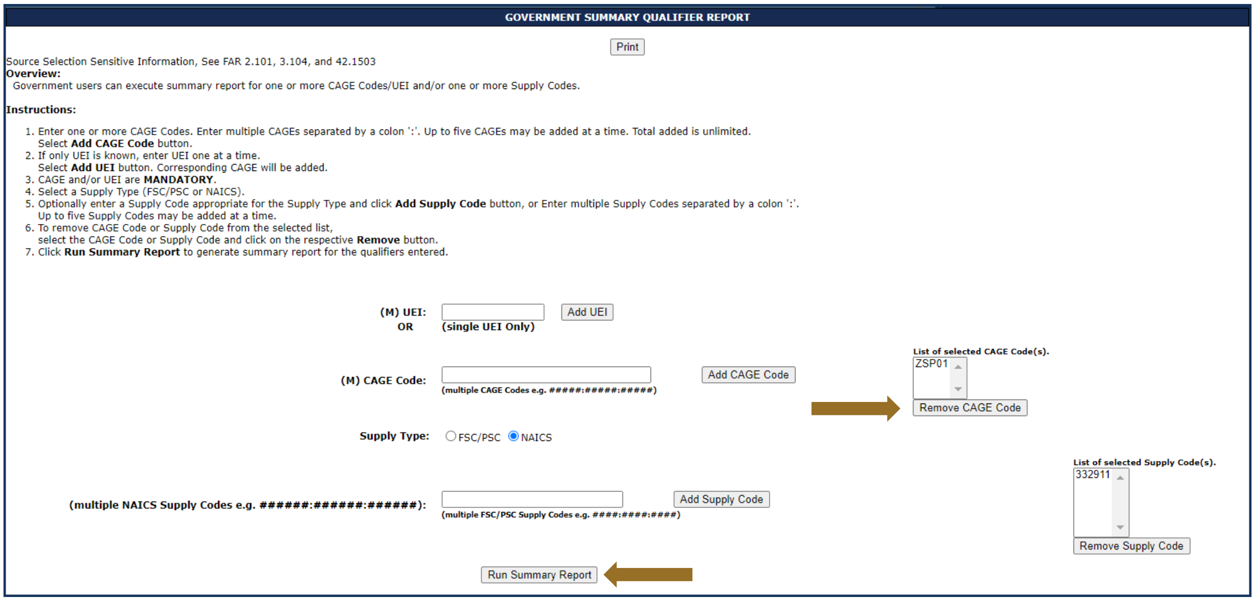
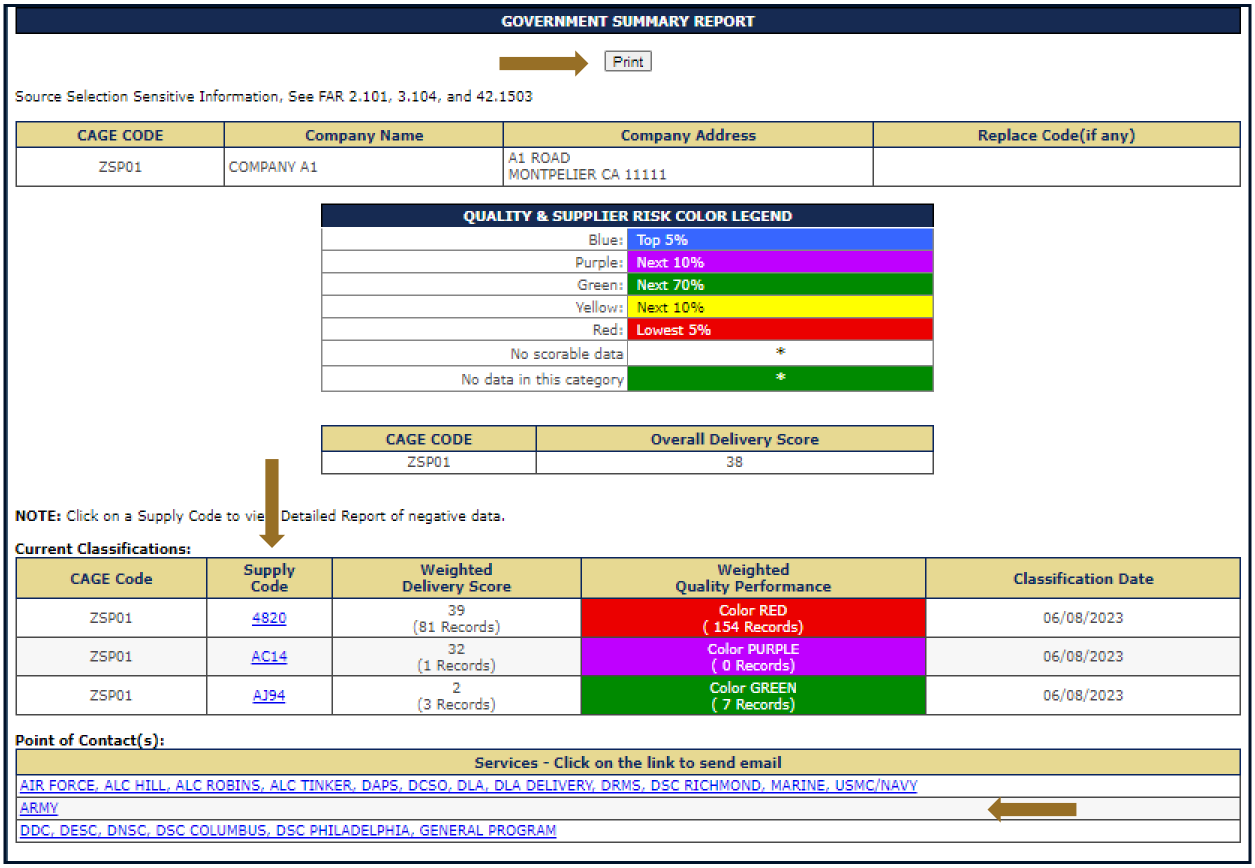


Figure 79: Summary Report Request, Summary Report Request with NAICS Code

Summary Report Landing Page

The report opens with CAGE and address information at the top of the page, the Quality and Supplier Risk Color legend, Overall Delivery Score(s), Current Classifications with the Weighted Delivery Score, Weighted Quality Performance color, the number of records used in scoring for each, and a list of contacts for additional information about the records in the report.

- Within the Current Classification list, select any **Supply Code** to view the Detailed Report for that CAGE/Supply Code combination
- Click the Print button to print a screenshot of the overview report
- Click any Point of Contact link to create an email



GOVERNMENT SUMMARY REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

CAGE CODE	Company Name	Company Address	Replace Code(if any)
ZSP01	COMPANY A1	A1 ROAD MONTPELIER CA 11111	

QUALITY & SUPPLIER RISK COLOR LEGEND	
Blue:	Top 5%
Purple:	Next 10%
Green:	Next 70%
Yellow:	Next 10%
Red:	Lowest 5%
No scorable data	*
No data in this category	*

CAGE CODE	Overall Delivery Score
ZSP01	38

NOTE: Click on a Supply Code to view Detailed Report of negative data.

Current Classifications:

CAGE Code	Supply Code	Weighted Delivery Score	Weighted Quality Performance	Classification Date
ZSP01	4820	39 (81 Records)	Color RED (154 Records)	06/08/2023
ZSP01	AC14	32 (1 Records)	Color PURPLE (0 Records)	06/08/2023
ZSP01	AJ94	2 (3 Records)	Color GREEN (7 Records)	06/08/2023

Point of Contact(s):

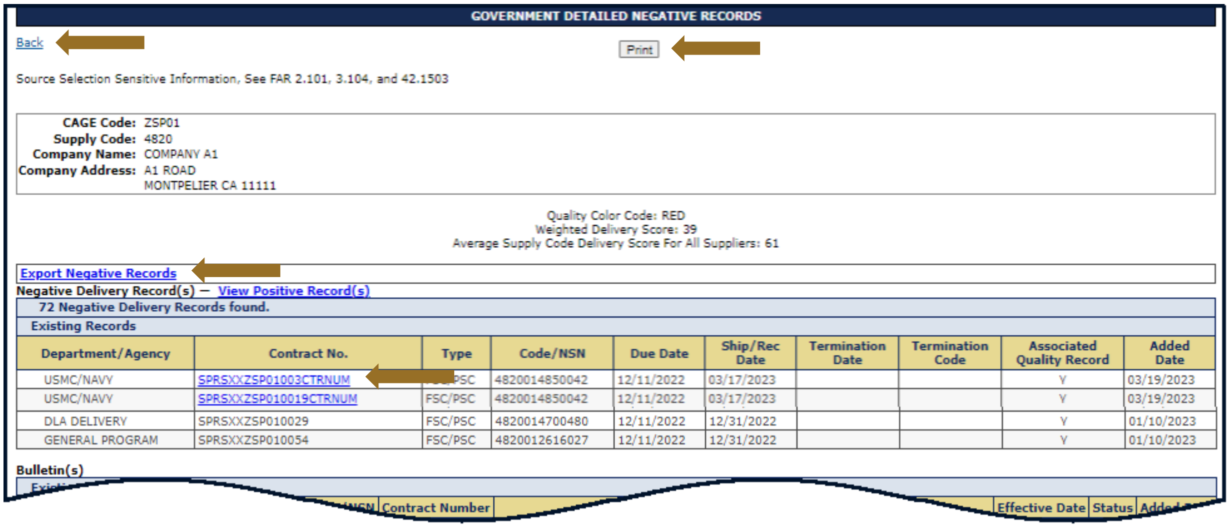
Services - Click on the link to send email
AIR FORCE, ALC HILL, ALC ROBINS, ALC TINKER, DAPS, DCSO, DLA, DLA DELIVERY, DRMS, DSC RICHMOND, MARINE, USMC/NAVY
ARMY
DDC, DESC, DNSC, DSC COLUMBUS, DSC PHILADELPHIA, GENERAL PROGRAM

Figure 80: Summary Report Landing Page

Government Detailed Reports

The Government Detailed reports contain all the performance records scored for the selected classification (CAGE/Supply Code combination). The landing page displays the Negative Records, Quality Color Code, Weighted Delivery Score for that classification, and the Average Supply Code Delivery Score for all Suppliers.

- Click the Export Negative Records link to export the negative and positive records for that classification to an Excel document
- Click the Print button at the top of the page to print the report
- Click linked data elements to see the Challenge history for that record
- Click the Back button at the top left of the report to return to the Summary Report landing page



GOVERNMENT DETAILED NEGATIVE RECORDS

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

CAGE Code: ZSP01
Supply Code: 4820
Company Name: COMPANY A1
Company Address: A1 ROAD
MONTPELIER CA 11111

Quality Color Code: RED
Weighted Delivery Score: 39
Average Supply Code Delivery Score For All Suppliers: 61

Export Negative Records

Negative Delivery Record(s) — View Positive Record(s)

72 Negative Delivery Records found.

Existing Records

Department/Agency	Contract No.	Type	Code/NSN	Due Date	Ship/Rec Date	Termination Date	Termination Code	Associated Quality Record	Added Date
USMC/NAVY	SPRSXXZSP01003CTRNUM	PSC	4820014850042	12/11/2022	03/17/2023			Y	03/19/2023
USMC/NAVY	SPRSXXZSP010019CTRNUM	FSC/PSC	4820014850042	12/11/2022	03/17/2023			Y	03/19/2023
DLA DELIVERY	SPRSXXZSP010029	FSC/PSC	4820014700480	12/11/2022	12/31/2022			Y	01/10/2023
GENERAL PROGRAM	SPRSXXZSP010054	FSC/PSC	4820012616027	12/11/2022	12/31/2022			Y	01/10/2023

Bulletin(s)

Contract Number | Effective Date | Status

Figure 81: Detailed Report (Summary Report FSC/PSC)

- Click the View Positive Record(s) link to view that report

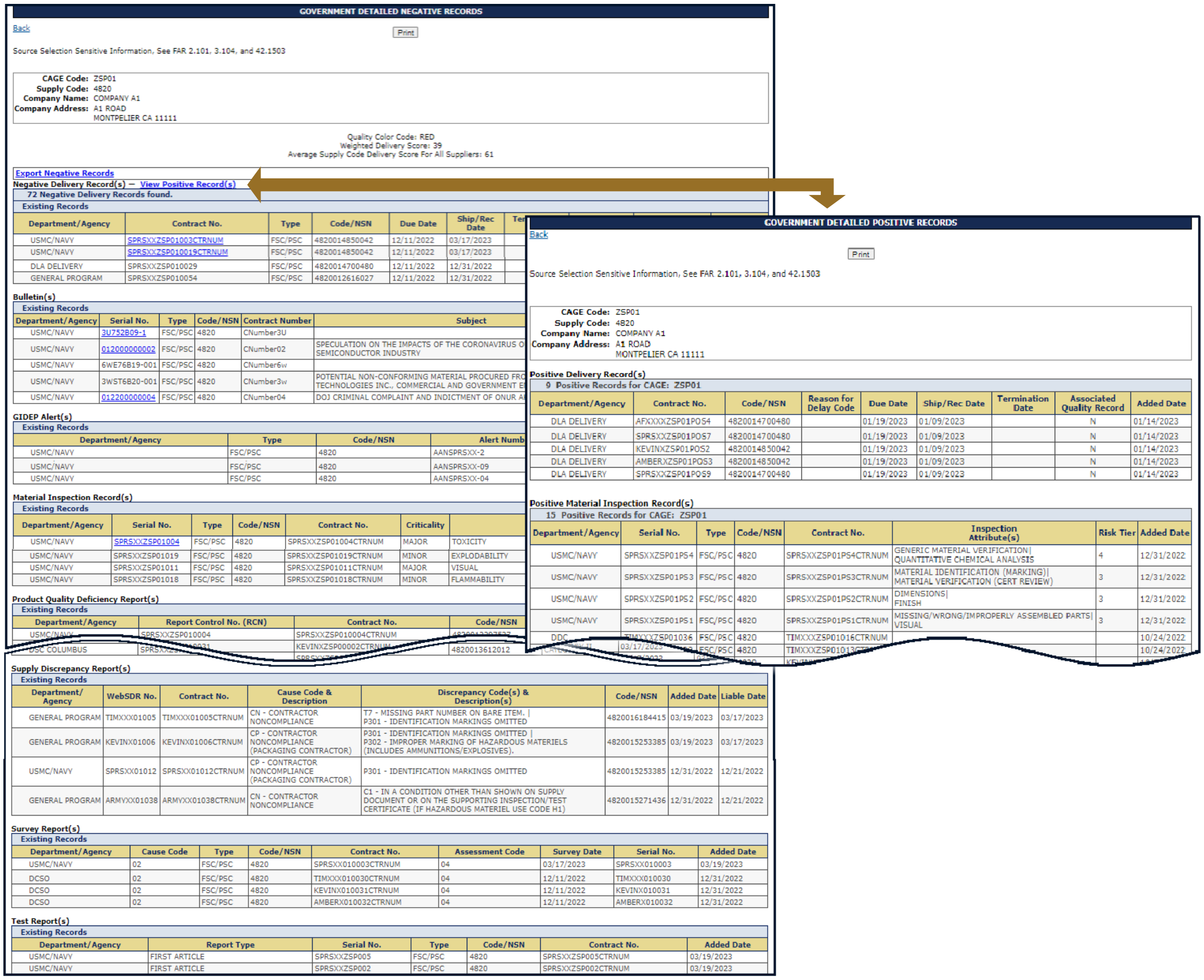


Figure 82: Detailed Report (Summary Report FSC/PSC)

7.2 SOLICITATION INQUIRY

Solicitation Inquiry information is available for search in two forms, Standard Assessment and Best Value Assessment.

To access Solicitation Inquiry:

Select **Solicitation Inquiry** link from the Menu.

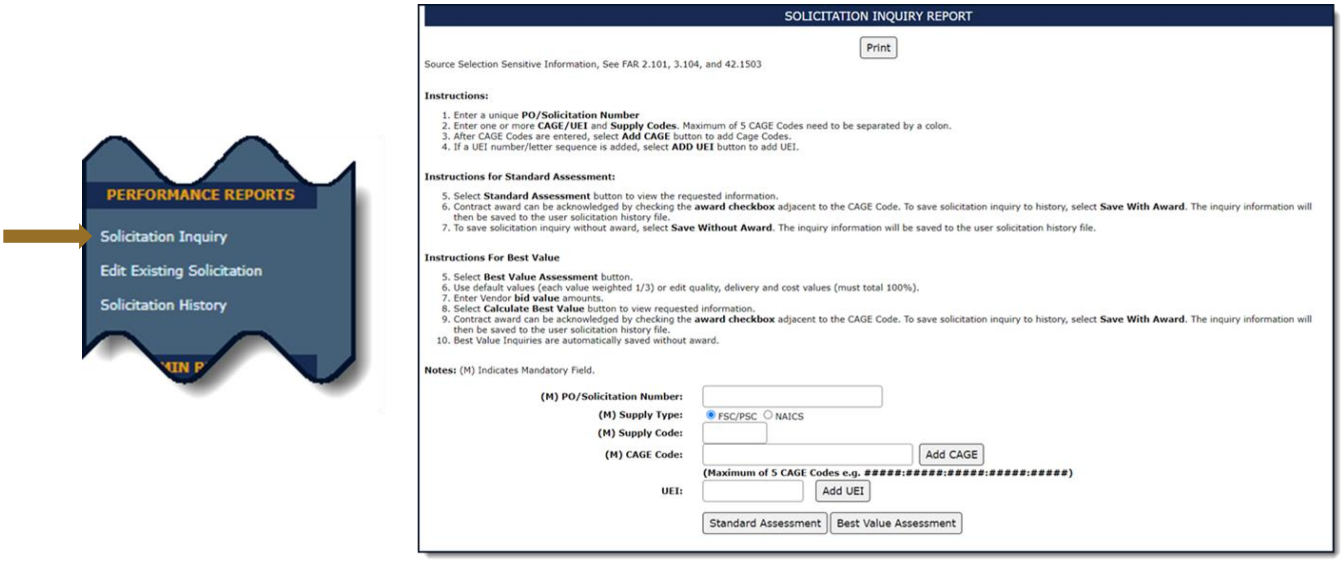


Figure 83: Solicitation Inquiry Request

- Enter Purchase Order/Solicitation Number, check supply type (FSC/PSC or NAICS), enter FSC/PSC or NAICS and CAGE code(s)

NOTE: The Purchase Order/Solicitation Number is a free form box. Utilize the unique Procurement Instrument Identifier (PIID) adding initials or date for multiple reports. **Reports are recorded and visible in the user’s Solicitation history only.**

- Enter a CAGE code and click the Add CAGE button. A box will appear with the newly added CAGE code. Enter multiple CAGE codes (up to 5) by separating with a colon (:)

NOTE: The Add CAGE button also ***checks the Excluded Parties List. No CAGE on the EPL may be added***

- After adding CAGE code(s), a new button will appear, **Remove CAGE Code**
- To remove/delete a CAGE Code, click/highlight the CAGE and select the Remove CAGE Code button
- Run the inquiry. Options include Standard Assessment or Best Value

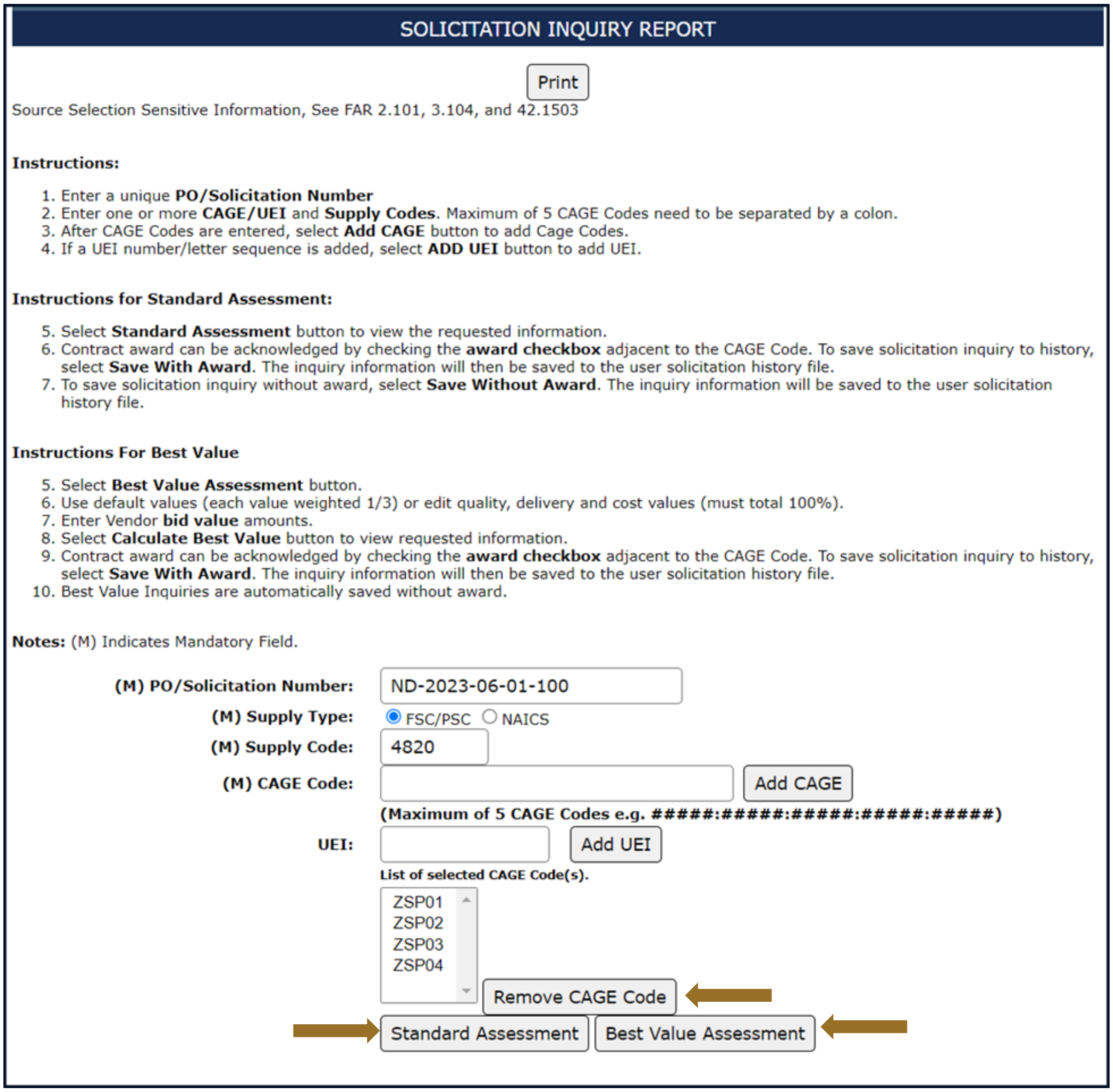


Figure 84: Solicitation Inquiry Request

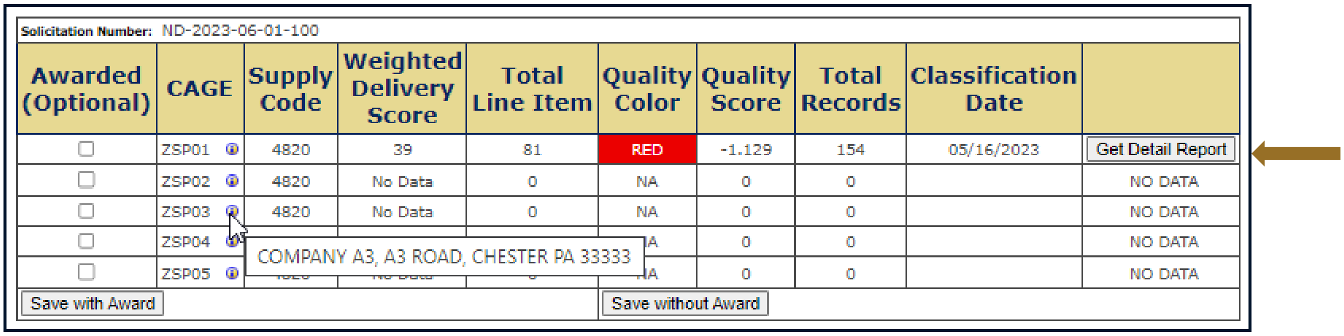
Standard Assessment

A standard assessment allows the contracting officer to compare multiple CAGEs.

- Select the Standard Assessment button to run the Solicitation Inquiry

NOTE: Place pointer over the ⓘ symbol to view a popup containing vendor’s name and address.

- Click Get Detail Report adjacent to any line displayed on the report to view the Detail Report. A separate browser window will open and display the - Detailed Report for that CAGE and FSC/PSC



Solicitation Number: ND-2023-06-01-100

Awarded (Optional)	CAGE	Supply Code	Weighted Delivery Score	Total Line Item	Quality Color	Quality Score	Total Records	Classification Date	
☐	ZSP01	4820	39	81	RED	-1.129	154	05/16/2023	Get Detail Report
☐	ZSP02	4820	No Data	0	NA	0	0		NO DATA
☐	ZSP03	4820	No Data	0	NA	0	0		NO DATA
☐	ZSP04				NA	0	0		NO DATA
☐	ZSP05				NA	0	0		NO DATA

Save with Award | Save without Award

COMPANY A3, A3 ROAD, CHESTER PA 33333

Figure 85: Solicitation Inquiry Detail (Standard Assessment)

- Click the **Print** button to print this view
- Click the View Positive Records to view all Positive Records for the CAGE and FSC/PSC

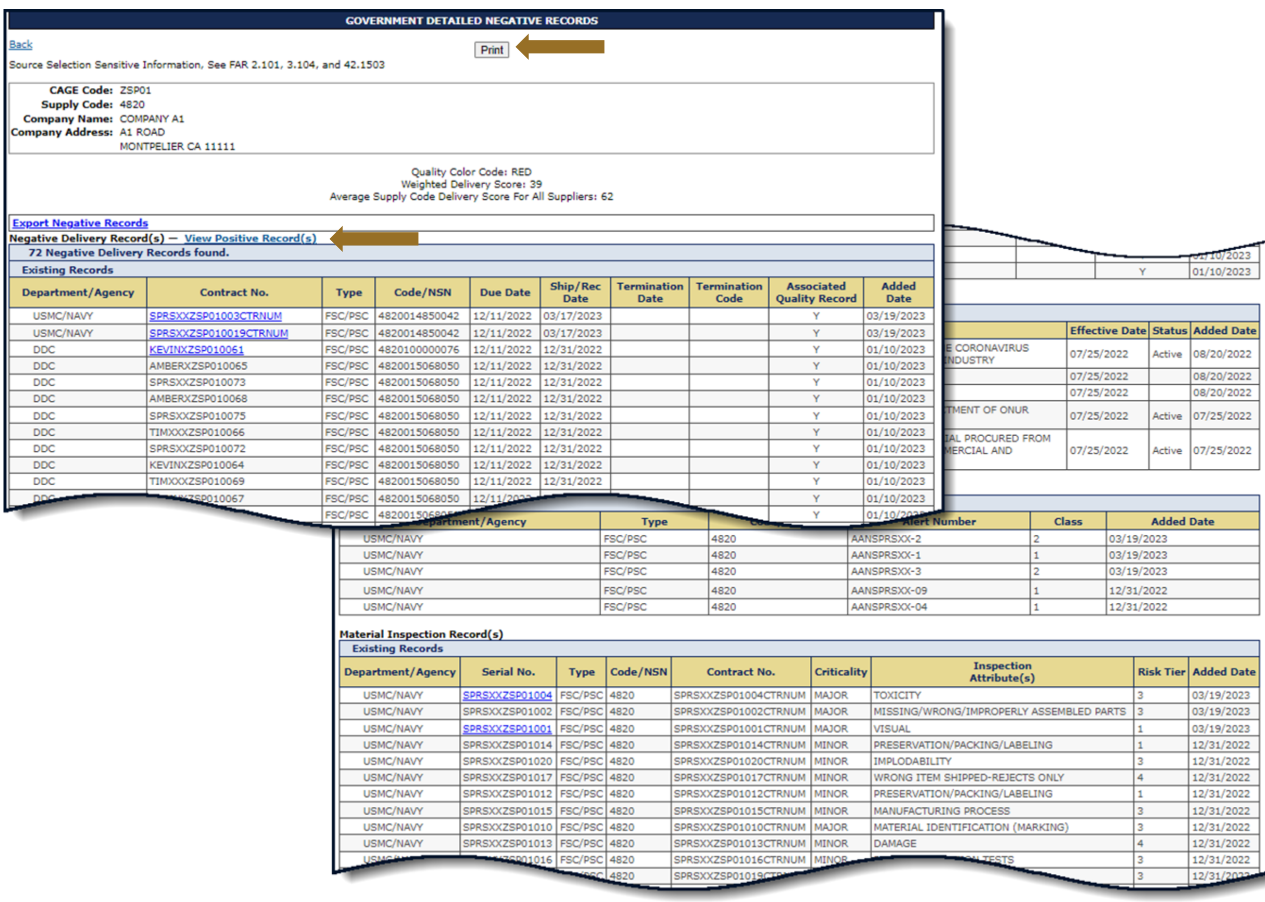
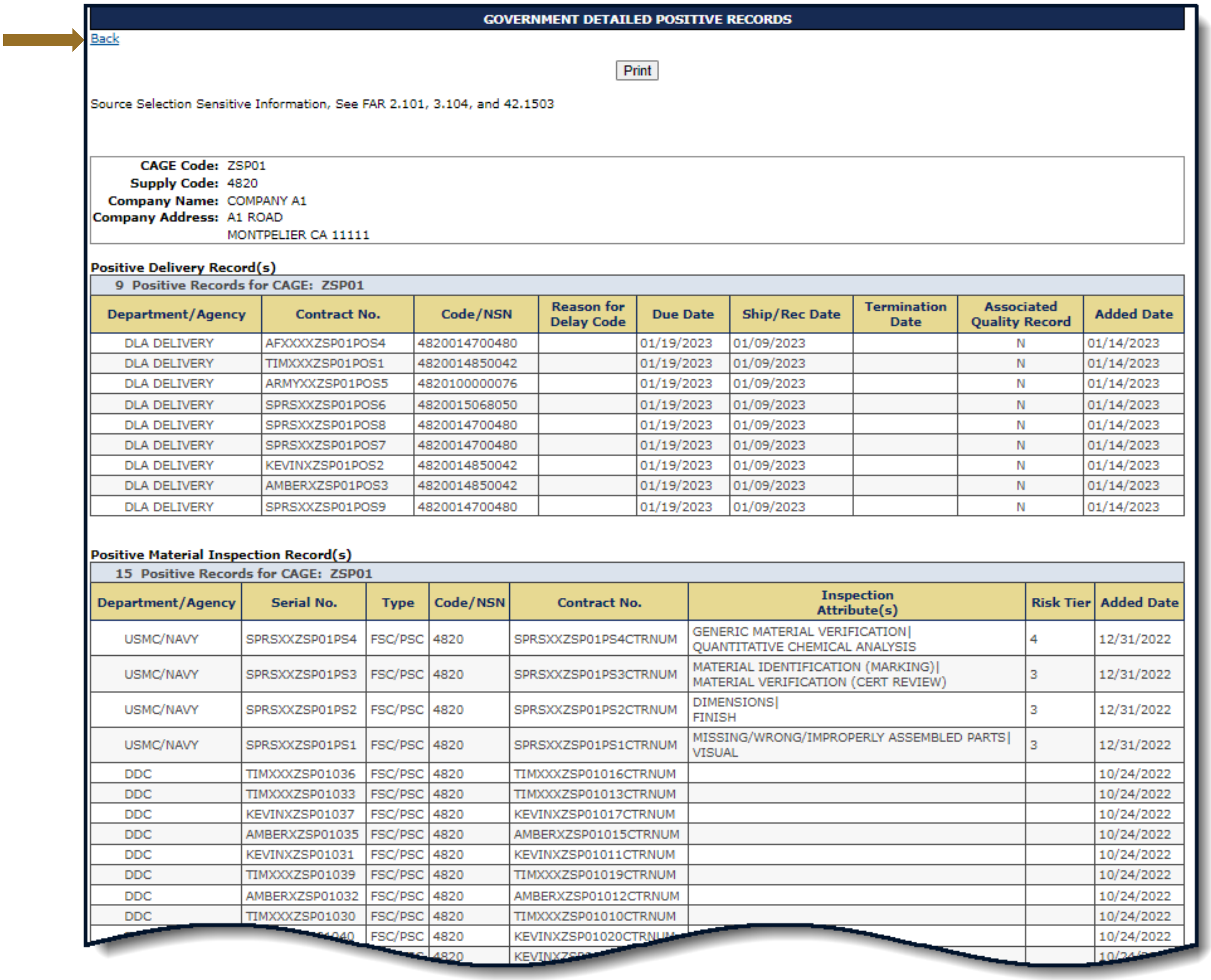


Figure 86: Detail Report Negative Records

NOTE: The Detail Report shows only Negative Records.

- Click the **Back** link to return to the Negative Records list



GOVERNMENT DETAILED POSITIVE RECORDS

Back

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

CAGE Code: ZSP01
Supply Code: 4820
Company Name: COMPANY A1
Company Address: A1 ROAD
MONTPELIER CA 11111

Positive Delivery Record(s)

9 Positive Records for CAGE: ZSP01

Department/Agency	Contract No.	Code/NSN	Reason for Delay Code	Due Date	Ship/Rec Date	Termination Date	Associated Quality Record	Added Date
DLA DELIVERY	AFXXXXZSP01POS4	4820014700480		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	TIMXXXZSP01POS1	4820014850042		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	ARMYXXZSP01POS5	4820100000076		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	SPRSXXZSP01POS6	4820015068050		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	SPRSXXZSP01POS8	4820014700480		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	SPRSXXZSP01POS7	4820014700480		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	KEVINXZSP01POS2	4820014850042		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	AMBERXZSP01POS3	4820014850042		01/19/2023	01/09/2023		N	01/14/2023
DLA DELIVERY	SPRSXXZSP01POS9	4820014700480		01/19/2023	01/09/2023		N	01/14/2023

Positive Material Inspection Record(s)

15 Positive Records for CAGE: ZSP01

Department/Agency	Serial No.	Type	Code/NSN	Contract No.	Inspection Attribute(s)	Risk Tier	Added Date
USMC/NAVY	SPRSXXZSP01PS4	FSC/PSC	4820	SPRSXXZSP01PS4CTRNUM	GENERIC MATERIAL VERIFICATION| QUANTITATIVE CHEMICAL ANALYSIS	4	12/31/2022
USMC/NAVY	SPRSXXZSP01PS3	FSC/PSC	4820	SPRSXXZSP01PS3CTRNUM	MATERIAL IDENTIFICATION (MARKING)| MATERIAL VERIFICATION (CERT REVIEW)	3	12/31/2022
USMC/NAVY	SPRSXXZSP01PS2	FSC/PSC	4820	SPRSXXZSP01PS2CTRNUM	DIMENSIONS| FINISH	3	12/31/2022
USMC/NAVY	SPRSXXZSP01PS1	FSC/PSC	4820	SPRSXXZSP01PS1CTRNUM	MISSING/WRONG/IMPROPERLY ASSEMBLED PARTS| VISUAL	3	12/31/2022
DDC	TIMXXXZSP01036	FSC/PSC	4820	TIMXXXZSP01016CTRNUM			10/24/2022
DDC	TIMXXXZSP01033	FSC/PSC	4820	TIMXXXZSP01013CTRNUM			10/24/2022
DDC	KEVINXZSP01037	FSC/PSC	4820	KEVINXZSP01017CTRNUM			10/24/2022
DDC	AMBERXZSP01035	FSC/PSC	4820	AMBERXZSP01015CTRNUM			10/24/2022
DDC	KEVINXZSP01031	FSC/PSC	4820	KEVINXZSP01011CTRNUM			10/24/2022
DDC	TIMXXXZSP01039	FSC/PSC	4820	TIMXXXZSP01019CTRNUM			10/24/2022
DDC	AMBERXZSP01032	FSC/PSC	4820	AMBERXZSP01012CTRNUM			10/24/2022
DDC	TIMXXXZSP01030	FSC/PSC	4820	TIMXXXZSP01010CTRNUM			10/24/2022
	[illegible]01040	FSC/PSC	4820	KEVINXZSP01020CTRN[illegible]			10/24/2022
		[illegible]	4820	KEVINXZ[illegible]			10/24/[illegible]

Figure 87: Detail Report Positive Records

- Click the "X" to close this separate browser window and return to the Solicitation Inquiry Report Detail screen ***(See** Error! Reference source not found.**)***
- If either Save with Award or Save without Award is selected the following screen will be generated

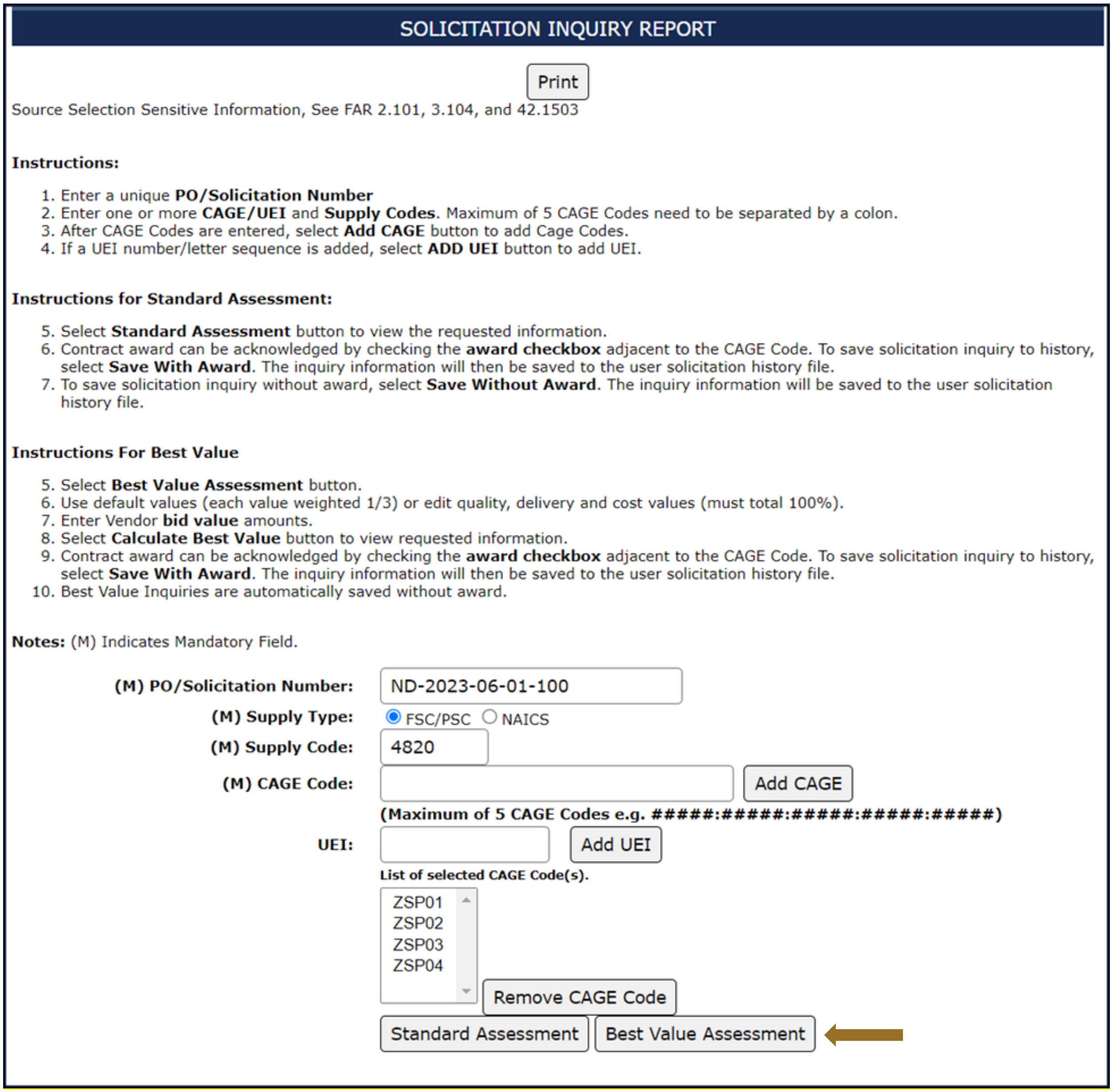
Figure 88: Standard Assessment Saved Successfully

Best Value Assessment:

Best Value Assessment ranks perspective vendors based on Cost, Delivery and Quality.

NOTE: The following screens contain fields for FSC/PSC Supply Codes. These can be either FSC/PSC or NAICS based on selection below

- Enter Purchase Order/Solicitation Number, check supply type (FSC/PSC or NAICS), enter FSC/PSC or NAICS and CAGE code(s) as described above (***See Solicitation Inquiry-Standard Assessment)***
- Click the Best Value Assessment button



SOLICITATION INQUIRY REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. Enter a unique **PO/Solicitation Number**
2. Enter one or more **CAGE/UEI** and **Supply Codes**. Maximum of 5 CAGE Codes need to be separated by a colon.
3. After CAGE Codes are entered, select **Add CAGE** button to add Cage Codes.
4. If a UEI number/letter sequence is added, select **ADD UEI** button to add UEI.

Instructions for Standard Assessment:

5. Select **Standard Assessment** button to view the requested information.
6. Contract award can be acknowledged by checking the **award checkbox** adjacent to the CAGE Code. To save solicitation inquiry to history, select **Save With Award**. The inquiry information will then be saved to the user solicitation history file.
7. To save solicitation inquiry without award, select **Save Without Award**. The inquiry information will be saved to the user solicitation history file.

Instructions For Best Value

5. Select **Best Value Assessment** button.
6. Use default values (each value weighted 1/3) or edit quality, delivery and cost values (must total 100%).
7. Enter Vendor **bid value** amounts.
8. Select **Calculate Best Value** button to view requested information.
9. Contract award can be acknowledged by checking the **award checkbox** adjacent to the CAGE Code. To save solicitation inquiry to history, select **Save With Award**. The inquiry information will then be saved to the user solicitation history file.
10. Best Value Inquiries are automatically saved without award.

Notes: (M) Indicates Mandatory Field.

(M) PO/Solicitation Number: ND-2023-06-01-100

(M) Supply Type: FSC/PSC NAICS

(M) Supply Code: 4820

(M) CAGE Code: Add CAGE

(Maximum of 5 CAGE Codes e.g. #####:#####:#####:#####:#####)

UEI: Add UEI

List of selected CAGE Code(s).

ZSP01
ZSP02
ZSP03
ZSP04

Remove CAGE Code

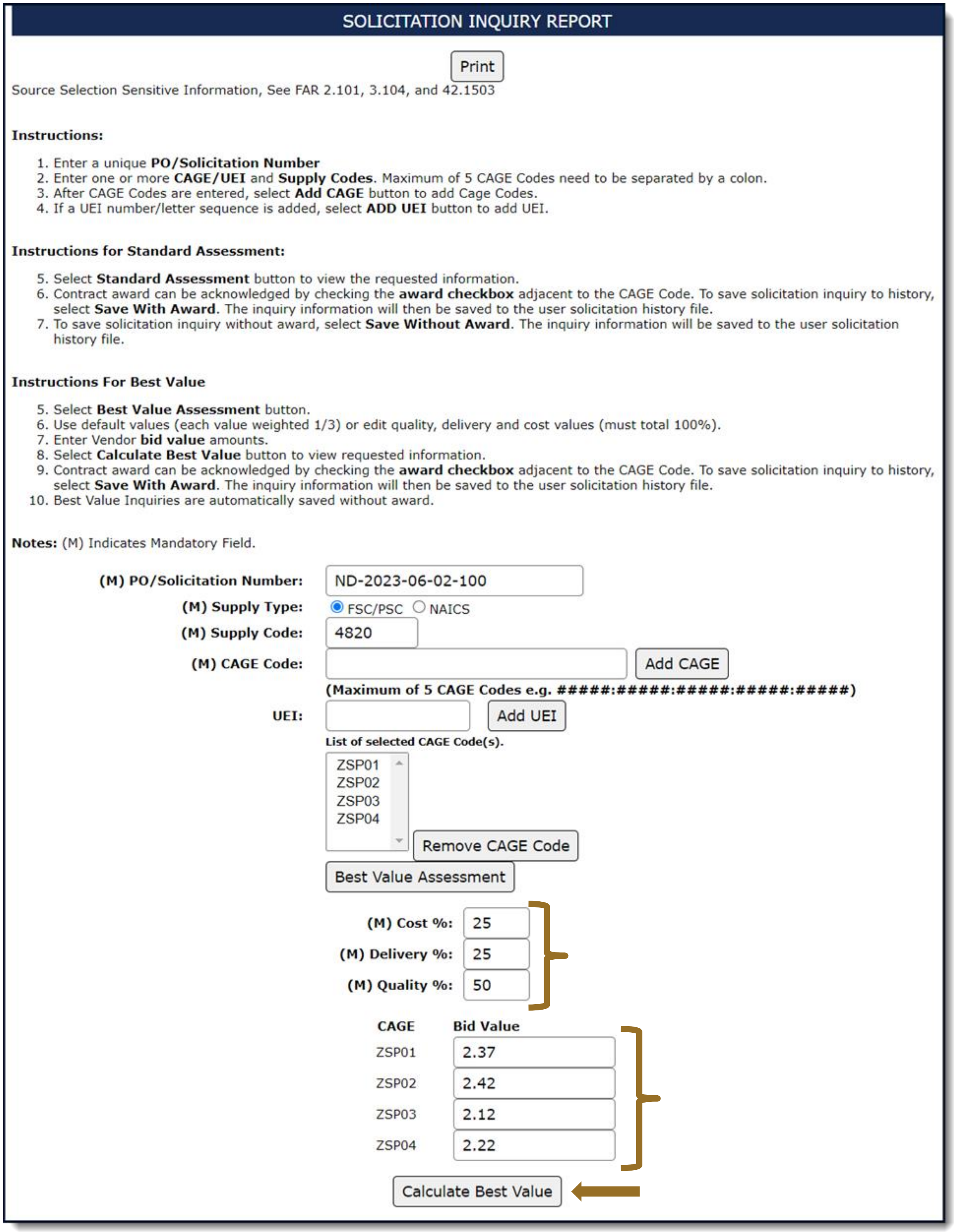
Standard Assessment | Best Value Assessment

Figure 89: Solicitation Inquiry Window (Best Value Assessment)

- User is required to weight categories (Cost, Delivery, Quality) according to importance, **total percentage must equal 100**

And

- Enter the bid values for each CAGE
- Click Calculate Best Value; Vendors are ranked in ‘Best Value’ order



SOLICITATION INQUIRY REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. Enter a unique **PO/Solicitation Number**
2. Enter one or more **CAGE/UEI** and **Supply Codes**. Maximum of 5 CAGE Codes need to be separated by a colon.
3. After CAGE Codes are entered, select **Add CAGE** button to add Cage Codes.
4. If a UEI number/letter sequence is added, select **ADD UEI** button to add UEI.

Instructions for Standard Assessment:

5. Select **Standard Assessment** button to view the requested information.
6. Contract award can be acknowledged by checking the **award checkbox** adjacent to the CAGE Code. To save solicitation inquiry to history, select **Save With Award**. The inquiry information will then be saved to the user solicitation history file.
7. To save solicitation inquiry without award, select **Save Without Award**. The inquiry information will be saved to the user solicitation history file.

Instructions For Best Value

5. Select **Best Value Assessment** button.
6. Use default values (each value weighted 1/3) or edit quality, delivery and cost values (must total 100%).
7. Enter Vendor **bid value** amounts.
8. Select **Calculate Best Value** button to view requested information.
9. Contract award can be acknowledged by checking the **award checkbox** adjacent to the CAGE Code. To save solicitation inquiry to history, select **Save With Award**. The inquiry information will then be saved to the user solicitation history file.
10. Best Value Inquiries are automatically saved without award.

Notes: (M) Indicates Mandatory Field.

(M) PO/Solicitation Number: ND-2023-06-02-100

(M) Supply Type: FSC/PSC NAICS

(M) Supply Code: 4820

(M) CAGE Code: Add CAGE

(Maximum of 5 CAGE Codes e.g. #####:#####:#####:#####:#####)

UEI: Add UEI

List of selected CAGE Code(s).

ZSP01
ZSP02
ZSP03
ZSP04

Remove CAGE Code

Best Value Assessment

(M) Cost %: 25

(M) Delivery %: 25

(M) Quality %: 50

CAGE	Bid Value
ZSP01	2.37
ZSP02	2.42
ZSP03	2.12
ZSP04	2.22

Calculate Best Value

Figure 90: Solicitation Inquiry (Calculate Best Value), entering weights & values

- Check the appropriate box adjacent to the CAGE (vendor) to record Award,
- Click Save with Award or Back (no save)

- If Save with Award is selected the Saved Successfully screen will be displayed ***(See Figure 88: Standard Assessment Saved Successfully)***

(M) PO/Solicitation Number: **ND-2023-06-02-100**

CAGE	Supply Code	Bid Price	Weighted Delivery Score	Quality Color	
ZSP01	4820	$ 2.37	40	BLUE	Get Detail Report
ZSP02	4820	$ 2.42	0	NA	Get Detail Report
ZSP03	4820	$ 2.12	0	NA	Get Detail Report
ZSP04	4820	$ 2.22	0	NA	Get Detail Report

Cost %	Delivery %	Quality %
25	25	50

CAGE	Bid Price	Best Offer	Award
ZSP01	$ 2.37	1	☐
ZSP02	$ 2.42	*	☐
ZSP03	$ 2.12	*	☐
ZSP04	$ 2.22	*	☐

Save with Award   Back

Figure 91: Solicitation Inquiry Detail (Calculate Best Value) Best Offer Ranking

When either the Save with Award or Back buttons are selected, the information will be stored in the solicitation history table and can be retrieved at a later date using the **Solicitation History Report**. The last column of this table can be checked to indicate which contractor was selected for the award. The first column in that report may be checked to indicate which contractor received the award.

Edit Existing Solicitation

Allows user to edit an existing Standard Solicitation or edit the award disposition of a Best Value Solicitation.

To edit an Existing Standard Solicitation:

Select **Edit Existing Solicitation** link from the Menu.

- Enter PO/Solicitation Number, click **Get Report**

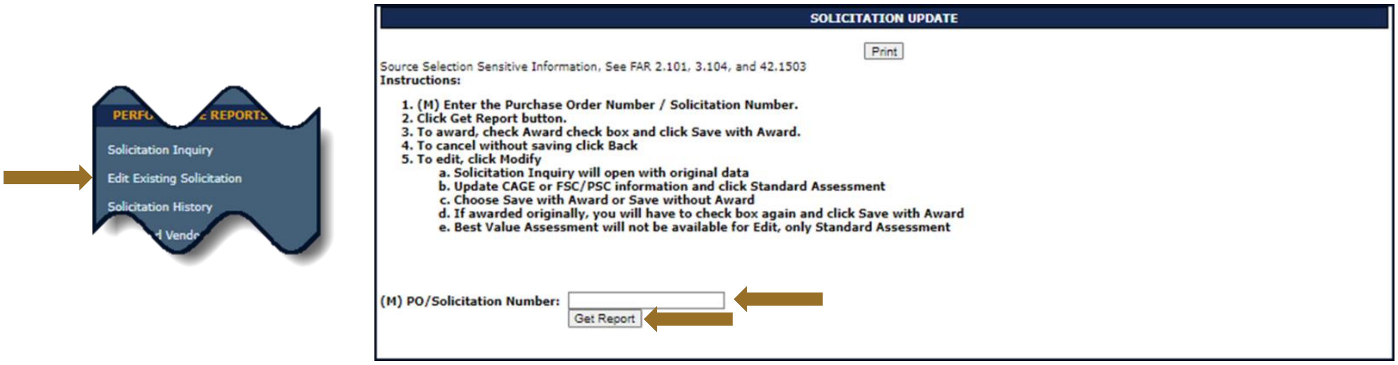


Figure 92: Solicitation Update (Standard Assessment)

- Check the box for the corresponding Awardee to Save with Award
- Click Save with Award

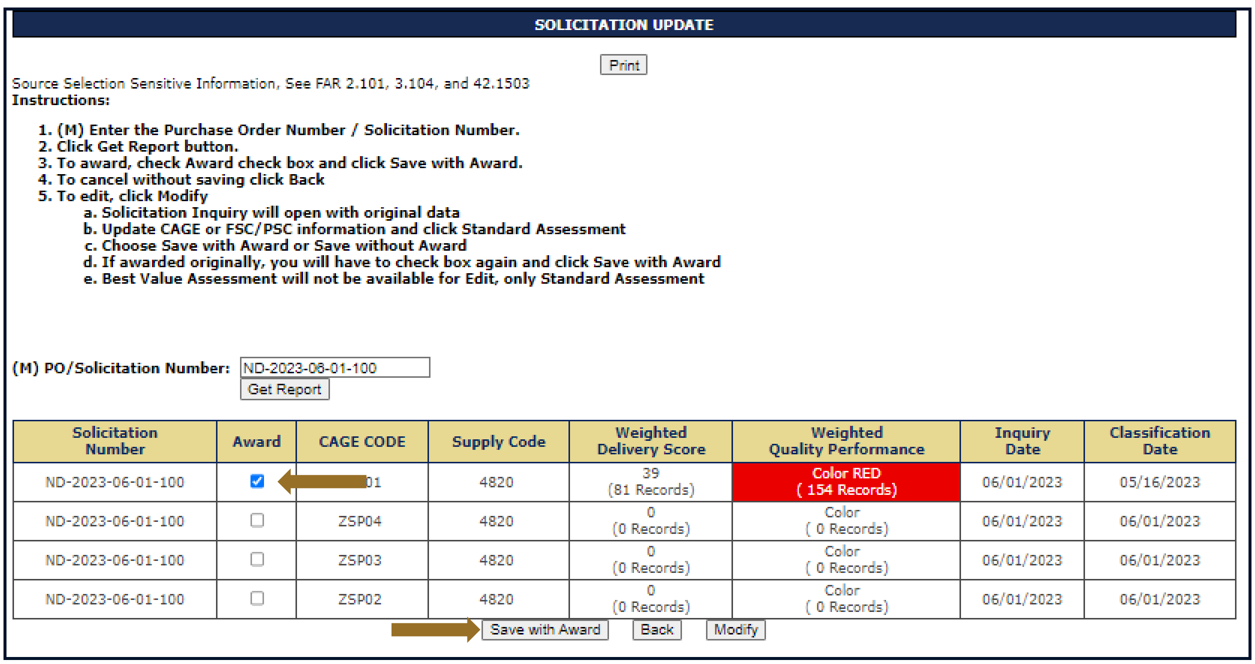


Figure 93: Solicitation Update Example (Award Standard)

- To edit, click Modify

SOLICITATION UPDATE

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. **(M) Enter the Purchase Order Number / Solicitation Number.**
2. **Click Get Report button.**
3. **To award, check Award check box and click Save with Award.**
4. **To cancel without saving click Back**
5. **To edit, click Modify**
   a. **Solicitation Inquiry will open with original data**
   b. **Update CAGE or FSC/PSC information and click Standard Assessment**
   c. **Choose Save with Award or Save without Award**
   d. **If awarded originally, you will have to check box again and click Save with Award**
   e. **Best Value Assessment will not be available for Edit, only Standard Assessment**

(M) PO/Solicitation Number: ND-2023-06-01-100

Get Report

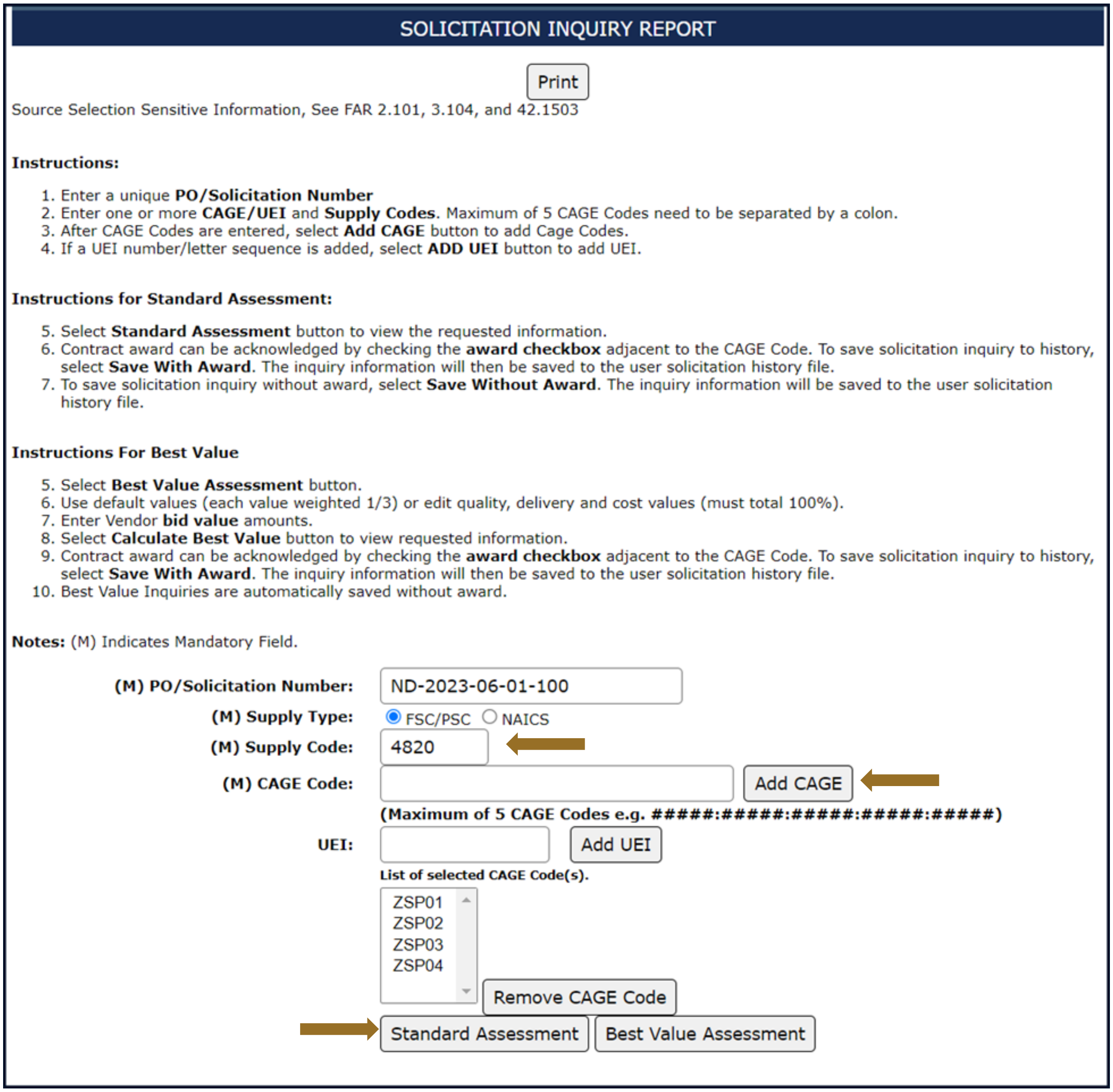
Solicitation Number	Award	CAGE CODE	Supply Code	Weighted Delivery Score	Weighted Quality Performance	Inquiry Date	Classification Date
ND-2023-06-01-100	☐	ZSP01	4820	39 (81 Records)	Color RED (154 Records)	06/01/2023	05/16/2023
ND-2023-06-01-100	☐	ZSP04	4820	0 (0 Records)	Color (0 Records)	06/01/2023	06/01/2023
ND-2023-06-01-100	☐	ZSP03	4820	0 (0 Records)	Color (0 Records)	06/01/2023	06/01/2023
ND-2023-06-01-100	☐	ZSP02	4820	0 (0 Records)	Color (0 Records)	06/01/2023	06/01/2023

Save with Award | Back | Modify

Figure 94: Solicitation Update Example (Modify Standard)

- Solicitation Inquiry opens with original data
- Update CAGE or FSC/PSC information and click Standard Assessment ***(See Figure 95: Solicitation Update Modify Example (Standard))***

- Select Standard Assessment to run the new Solicitation Inquiry



SOLICITATION INQUIRY REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. Enter a unique **PO/Solicitation Number**
2. Enter one or more **CAGE/UEI** and **Supply Codes**. Maximum of 5 CAGE Codes need to be separated by a colon.
3. After CAGE Codes are entered, select **Add CAGE** button to add Cage Codes.
4. If a UEI number/letter sequence is added, select **ADD UEI** button to add UEI.

Instructions for Standard Assessment:

5. Select **Standard Assessment** button to view the requested information.
6. Contract award can be acknowledged by checking the **award checkbox** adjacent to the CAGE Code. To save solicitation inquiry to history, select **Save With Award**. The inquiry information will then be saved to the user solicitation history file.
7. To save solicitation inquiry without award, select **Save Without Award**. The inquiry information will be saved to the user solicitation history file.

Instructions For Best Value

5. Select **Best Value Assessment** button.
6. Use default values (each value weighted 1/3) or edit quality, delivery and cost values (must total 100%).
7. Enter Vendor **bid value** amounts.
8. Select **Calculate Best Value** button to view requested information.
9. Contract award can be acknowledged by checking the **award checkbox** adjacent to the CAGE Code. To save solicitation inquiry to history, select **Save With Award**. The inquiry information will then be saved to the user solicitation history file.
10. Best Value Inquiries are automatically saved without award.

Notes: (M) Indicates Mandatory Field.

(M) PO/Solicitation Number: ND-2023-06-01-100

(M) Supply Type: FSC/PSC NAICS

(M) Supply Code: 4820

(M) CAGE Code: Add CAGE

(Maximum of 5 CAGE Codes e.g. #####:#####:#####:#####:#####)

UEI: Add UEI

List of selected CAGE Code(s).

ZSP01
ZSP02
ZSP03
ZSP04

Remove CAGE Code

Standard Assessment | Best Value Assessment

Figure 95: Solicitation Update Modify Example (Standard)

- Choose Save with Award or Save without Award
- If awarded originally, user will have to check box again and click Save with Award

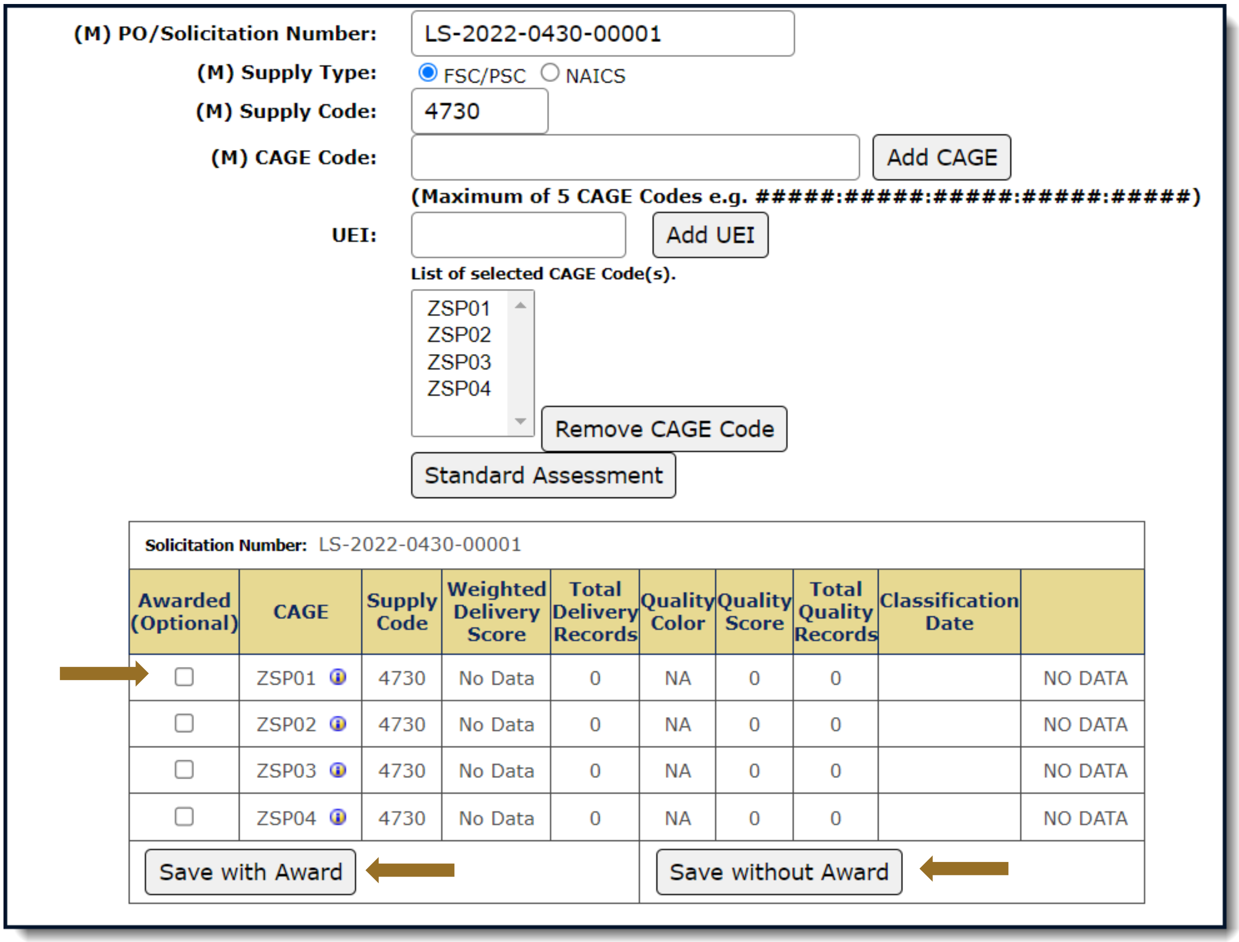


Figure 96: Solicitation Update Modify Example (Standard) Save

EDIT EXISTING BEST VALUE SOLICITATION

NOTE: Only **Award disposition** may be updated for Best Value Assessments. Standard Assessments may be edited. (Add/Remove CAGE Code, Change/Add Supply Code.)

To edit an Existing Solicitation:

Select **Edit Existing Solicitation** link from the Menu.

- Enter Purchase Order or Solicitation Number, click **Get Report**

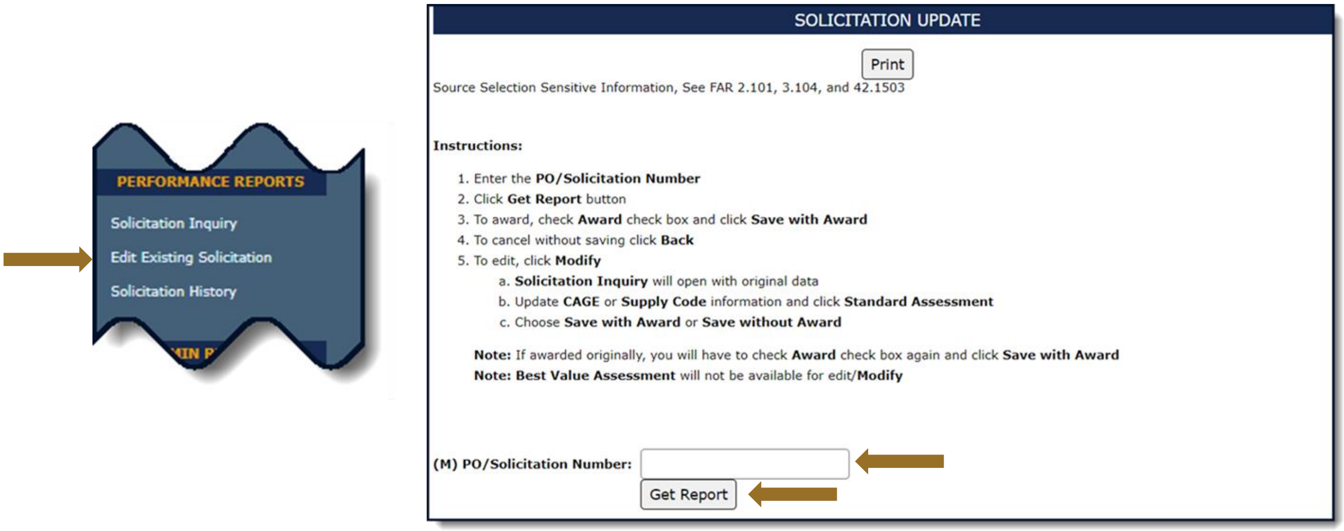
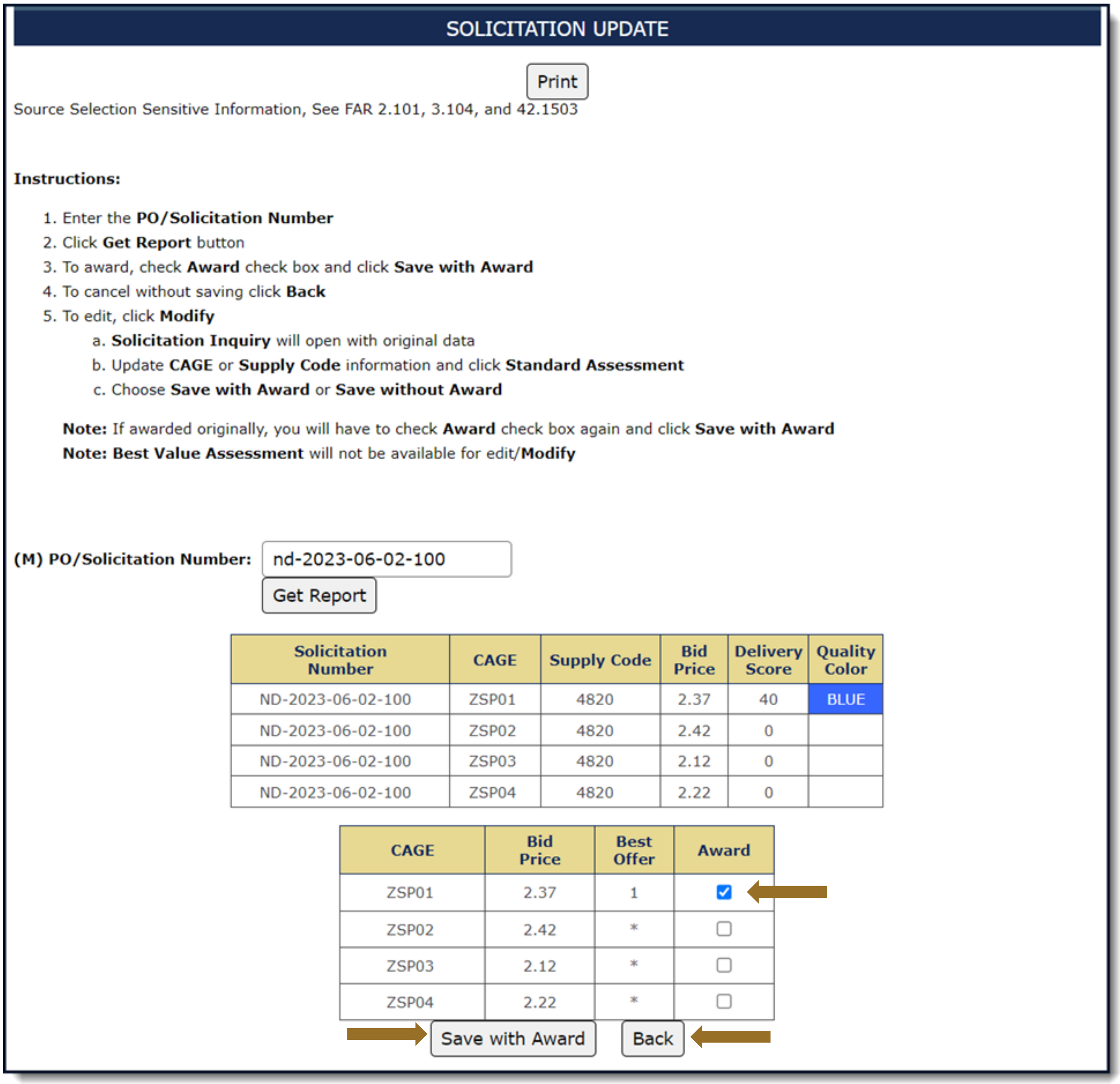


Figure 97: Solicitation Update (Best Value)

- To record award for a particular vendor, check appropriate Award box and click Save with Award (this does not advise the vendor that they were selected)
- To cancel without saving, click Back



SOLICITATION UPDATE

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. Enter the **PO/Solicitation Number**
2. Click **Get Report** button
3. To award, check **Award** check box and click **Save with Award**
4. To cancel without saving click **Back**
5. To edit, click **Modify**
    a. **Solicitation Inquiry** will open with original data
    b. Update **CAGE** or **Supply Code** information and click **Standard Assessment**
    c. Choose **Save with Award** or **Save without Award**

Note: If awarded originally, you will have to check **Award** check box again and click **Save with Award**
Note: Best Value Assessment will not be available for edit/**Modify**

(M) PO/Solicitation Number: nd-2023-06-02-100

Get Report

Solicitation Number	CAGE	Supply Code	Bid Price	Delivery Score	Quality Color
ND-2023-06-02-100	ZSP01	4820	2.37	40	BLUE
ND-2023-06-02-100	ZSP02	4820	2.42	0	
ND-2023-06-02-100	ZSP03	4820	2.12	0	
ND-2023-06-02-100	ZSP04	4820	2.22	0	

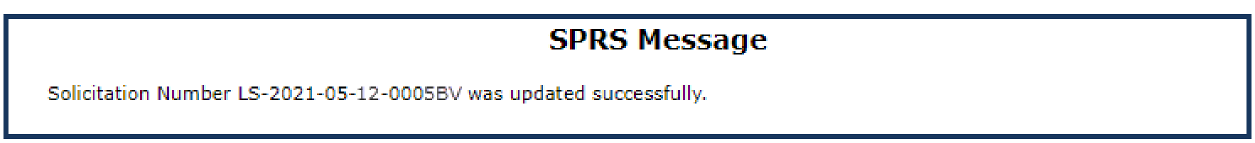
CAGE	Bid Price	Best Offer	Award
ZSP01	2.37	1	☑
ZSP02	2.42	*	☐
ZSP03	2.12	*	☐
ZSP04	2.22	*	☐

Save with Award Back

Figure 98: Solicitation Update Example (Best Vaue)

NOTE: This View only appears when a Best Value Solicitation was closed without pressing the Save with Award button.

- If Save with Award is selected the updated successfully screen will be displayed



SPRS Message

Solicitation Number LS-2021-05-12-0005BV was updated successfully.

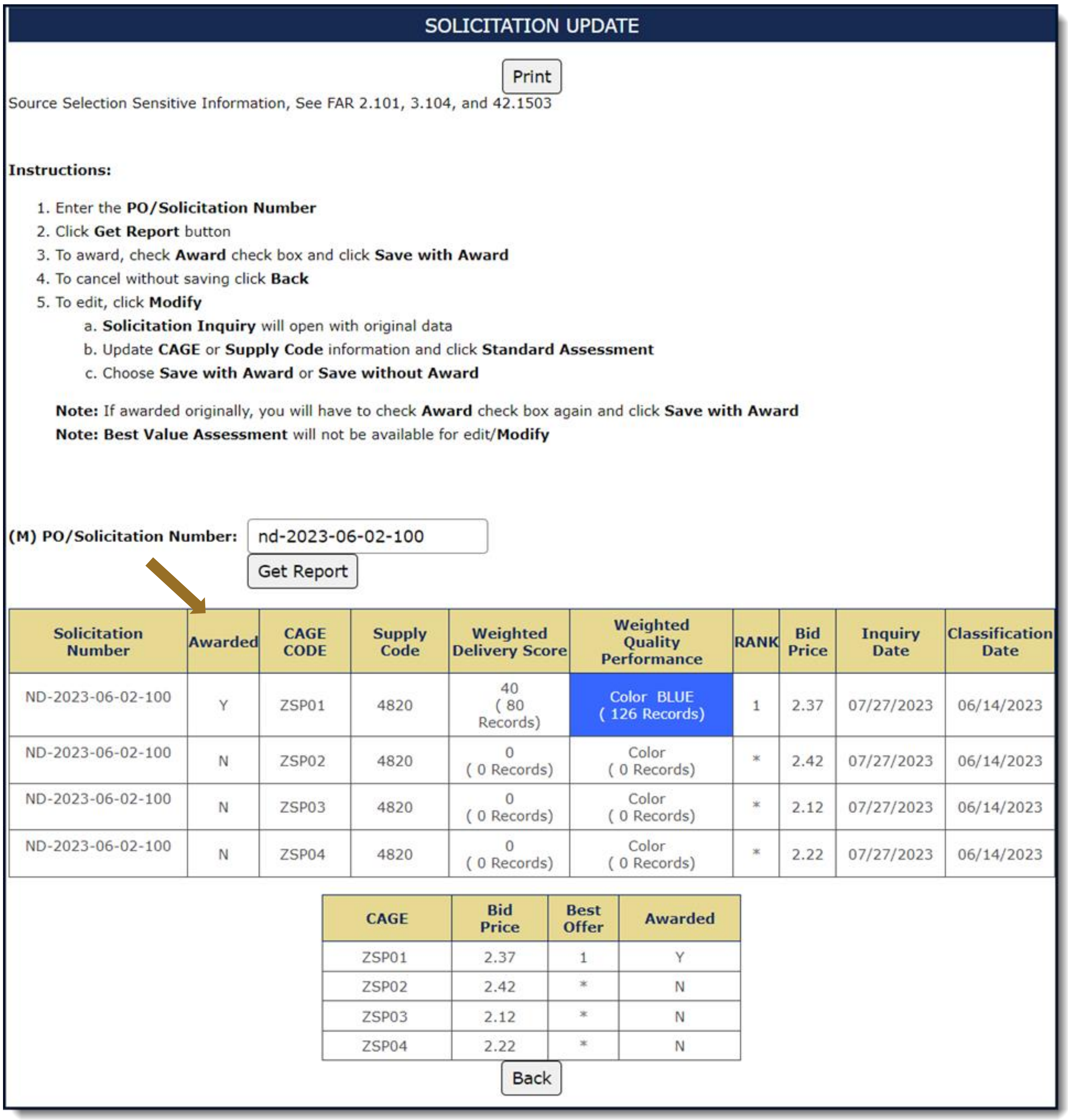
Figure 99: Solicitation Update Successful

- If Solicitation was previously awarded Edit is not available
- Awarded Column:

  Y = Yes, CAGE Awarded

  N = No, CAGE Not Awarded

  U = Solicitation Number Unawarded (no CAGEs awarded at time of History Report)



SOLICITATION UPDATE

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. Enter the **PO/Solicitation Number**
2. Click **Get Report** button
3. To award, check **Award** check box and click **Save with Award**
4. To cancel without saving click **Back**
5. To edit, click **Modify**
   a. **Solicitation Inquiry** will open with original data
   b. Update **CAGE** or **Supply Code** information and click **Standard Assessment**
   c. Choose **Save with Award** or **Save without Award**

Note: If awarded originally, you will have to check **Award** check box again and click **Save with Award**
Note: Best Value Assessment will not be available for edit/**Modify**

(M) PO/Solicitation Number: nd-2023-06-02-100

Get Report

Solicitation Number	Awarded	CAGE CODE	Supply Code	Weighted Delivery Score	Weighted Quality Performance	RANK	Bid Price	Inquiry Date	Classification Date
ND-2023-06-02-100	Y	ZSP01	4820	40 (80 Records)	Color BLUE (126 Records)	1	2.37	07/27/2023	06/14/2023
ND-2023-06-02-100	N	ZSP02	4820	0 (0 Records)	Color (0 Records)	*	2.42	07/27/2023	06/14/2023
ND-2023-06-02-100	N	ZSP03	4820	0 (0 Records)	Color (0 Records)	*	2.12	07/27/2023	06/14/2023
ND-2023-06-02-100	N	ZSP04	4820	0 (0 Records)	Color (0 Records)	*	2.22	07/27/2023	06/14/2023

CAGE	Bid Price	Best Offer	Awarded
ZSP01	2.37	1	Y
ZSP02	2.42	*	N
ZSP03	2.12	*	N
ZSP04	2.22	*	N

Back

Figure 100: Previously Awarded Solicitation (No Edit) Example (Best Value)

7.3 SOLICITATION HISTORY

Solicitation History allows the user to look up the results of past solicitation inquiries.

To access Solicitation History:

Select **Solicitation History** link from the Menu***.***

- Any/all of the following may be entered to obtain History:
    - Purchase Order/Solicitation Number (partial data will retrieve more information, i.e. enter T to retrieve all records that have a Purchase Order/Solicitation Number beginning with the letter T).
    - CAGE
    - UEI
- Click the Get Report button. All Solicitations matching search criteria are displayed

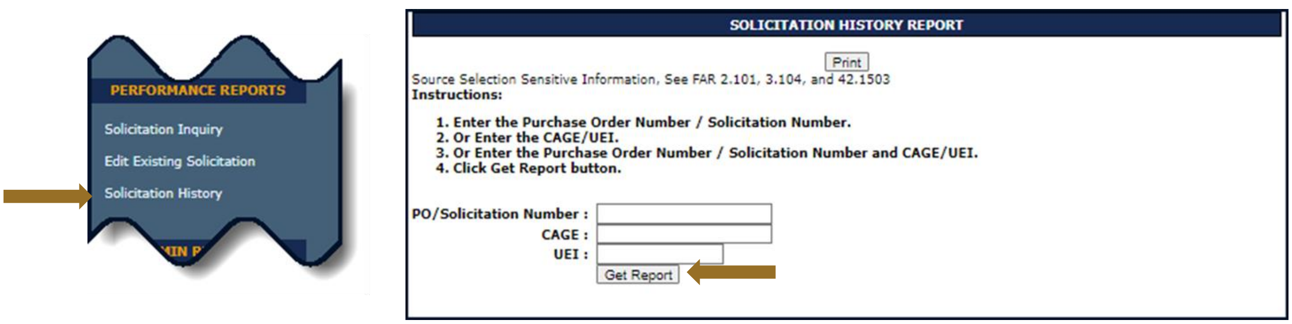
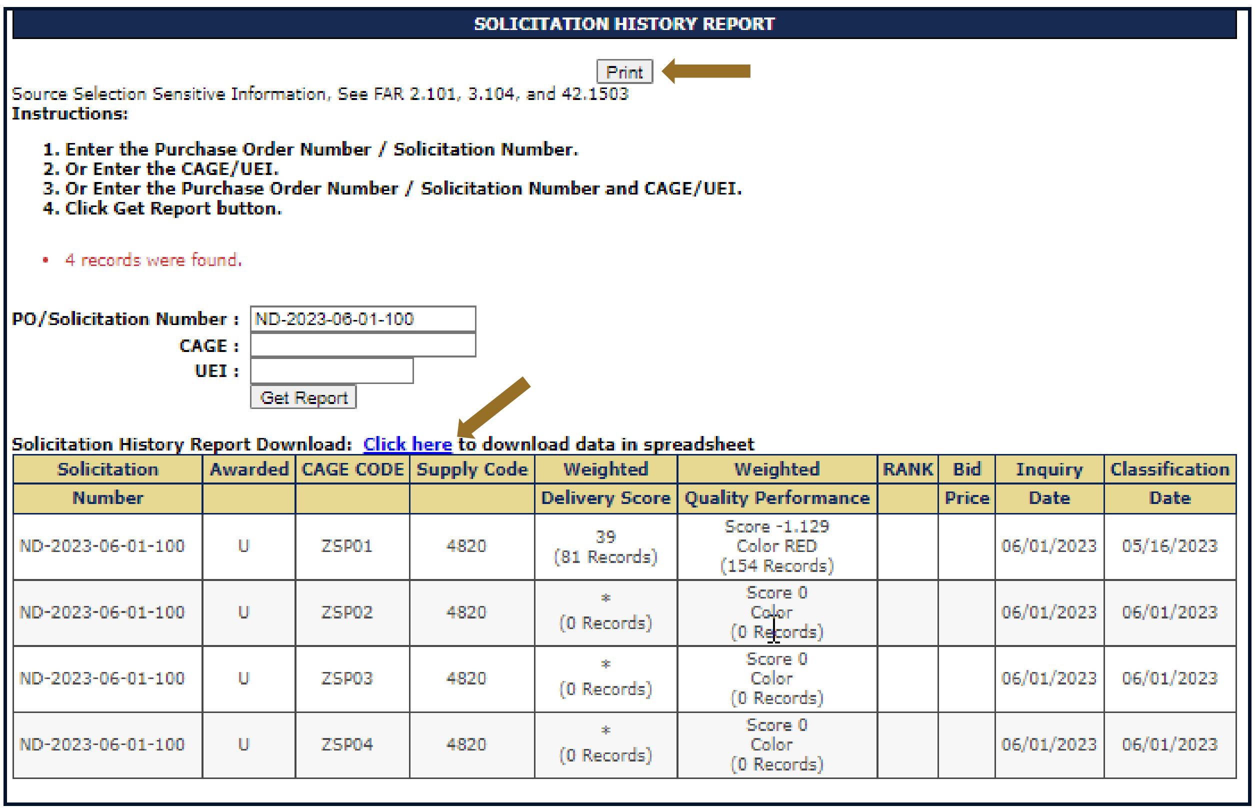


Figure 101: Solicitation History Request

NOTE: The report displays the history captured when solicitation information was entered. The information includes every CAGE queried at the time the Solicitation Inquiry was saved.

- Select **Click here** to export the report.
- Awarded Column:
  Y = Yes, CAGE Awarded
  N = No, CAGE Not Awarded
  U = Solicitation Number is Unawarded (no CAGEs awarded at time of History Report)
- Click the **Print** button to print this view



SOLICITATION HISTORY REPORT

Print

Source Selection Sensitive Information, See FAR 2.101, 3.104, and 42.1503

Instructions:

1. **Enter the Purchase Order Number / Solicitation Number.**
2. **Or Enter the CAGE/UEI.**
3. **Or Enter the Purchase Order Number / Solicitation Number and CAGE/UEI.**
4. **Click Get Report button.**

- 4 records were found.

PO/Solicitation Number : ND-2023-06-01-100
CAGE :
UEI :
Get Report

Solicitation History Report Download: Click here to download data in spreadsheet

Solicitation Number	Awarded	CAGE CODE	Supply Code	Weighted Delivery Score	Weighted Quality Performance	RANK	Bid Price	Inquiry Date	Classification Date
ND-2023-06-01-100	U	ZSP01	4820	39 (81 Records)	Score -1.129 Color RED (154 Records)			06/01/2023	05/16/2023
ND-2023-06-01-100	U	ZSP02	4820	* (0 Records)	Score 0 Color (0 Records)			06/01/2023	06/01/2023
ND-2023-06-01-100	U	ZSP03	4820	* (0 Records)	Score 0 Color (0 Records)			06/01/2023	06/01/2023
ND-2023-06-01-100	U	ZSP04	4820	* (0 Records)	Score 0 Color (0 Records)			06/01/2023	06/01/2023

Figure 102: Solicitation History Detail Example

7.4 ENHANCED VENDOR PROFILE

SPRS Enhanced Vendor Profile is detailed, up-to-date supplier profile information on all current DoD vendors supported by CAGE DLA, FPDS-NG and other Agency Specific, and Commercial data sources. Contract data on the vendor searched can be broken up by government Fiscal Year (FY) or Calendar Year (CY). All reports that follow will be broken down based on that initial selection. Contract data on the vendor searched can be broken up by government Fiscal Year (FY) or Calendar Year (CY), and all the reports that follow are broken down based on that initial selection. There are two basic kinds of metrics within EVP: CAGE breakdowns and Hierarchy breakdowns. CAGE breakdowns and metrics are based on the current CAGE code that has been selected or searched. The Hierarchy breakdowns are calculated based on the CAGE code selected entire corporate CAGE hierarchy. The EVP module in SPRS is divided up by tabs. Descriptions of the types of information found in each tab are listed below.

To access the Enhanced Vendor Profile:

Select **Enhanced Vendor Profile** link from the Menu.

- Enter a CAGE Code OR Company Name
- Select Fiscal or Calendar Year
- Click Search

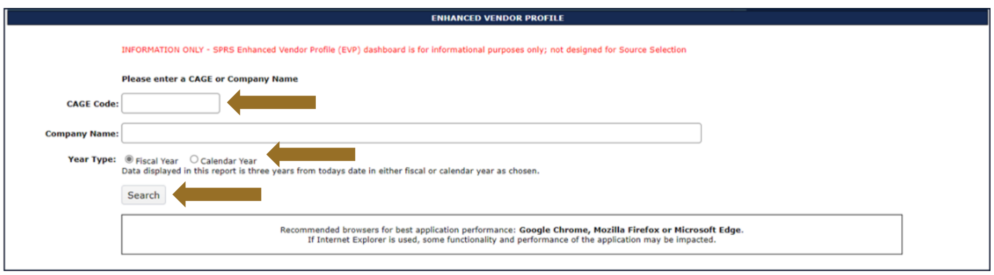


Figure 103: Enhanced Vendor Profile Request

- The information is displayed
- Information displaying in Enhanced Vendor Profile report is for informational and research purposes only, cannot be used as criteria in source selection
- Click each tab to display data
- The Home tab of Enhanced Vendor Profile provides:
    - CAGE code registration and UEI information
    - A Defense based news feed, with recent contract activity
    - SPRS Supplier Risk Score (if available) and Exclusion Status
    - Additional Company Insights
    - Can click expand all button to view/navigate to any CAGE code in the vendor's corporate hierarchy tree
    - Variety of vendor performance metrics
        - Sole source% - percentage of DoD contracts from FPDS that are sole source

- High risk item % - percentage of delivered NSNs by CAGE code that have been flagged to include high risk items or critical safety items

- Hierarchy Count- count of vendors in this CAGE code's corporate hierarchy
- US Location % - percentage of vendors located in the United States for the Searched CAGE corporate Hierarchy
- On-Time Delivery %- Percentage of Contract Line Items (CLIN) delivered on time for this vendor in last 3 years
- Average Days Late- Average number of days late a vendor delivers
- Pending Deliveries Count- Number of Scheduled/Pending deliveries for this vendor in current FY or CY
- Material to Service Contract %- percentage of Material to Service Contracts this vendor provides the government from FDPS

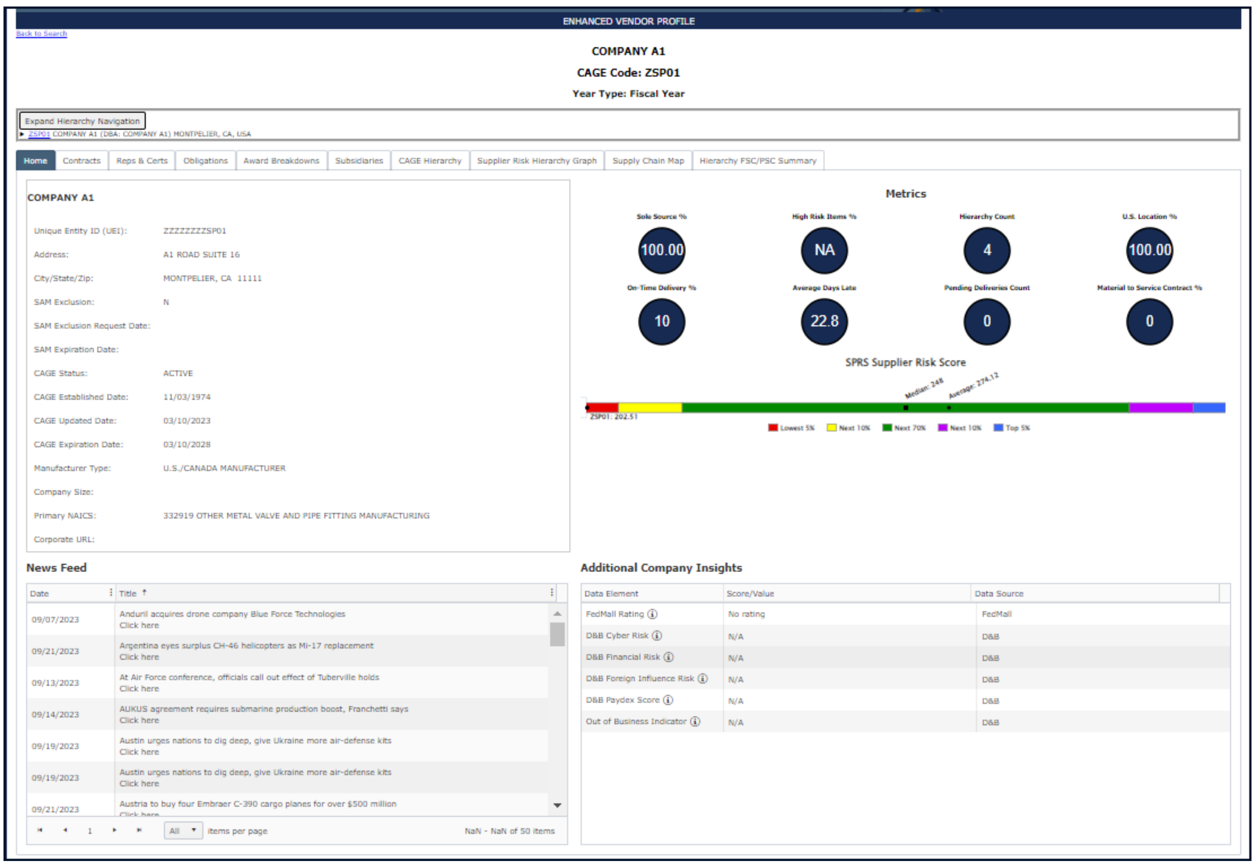
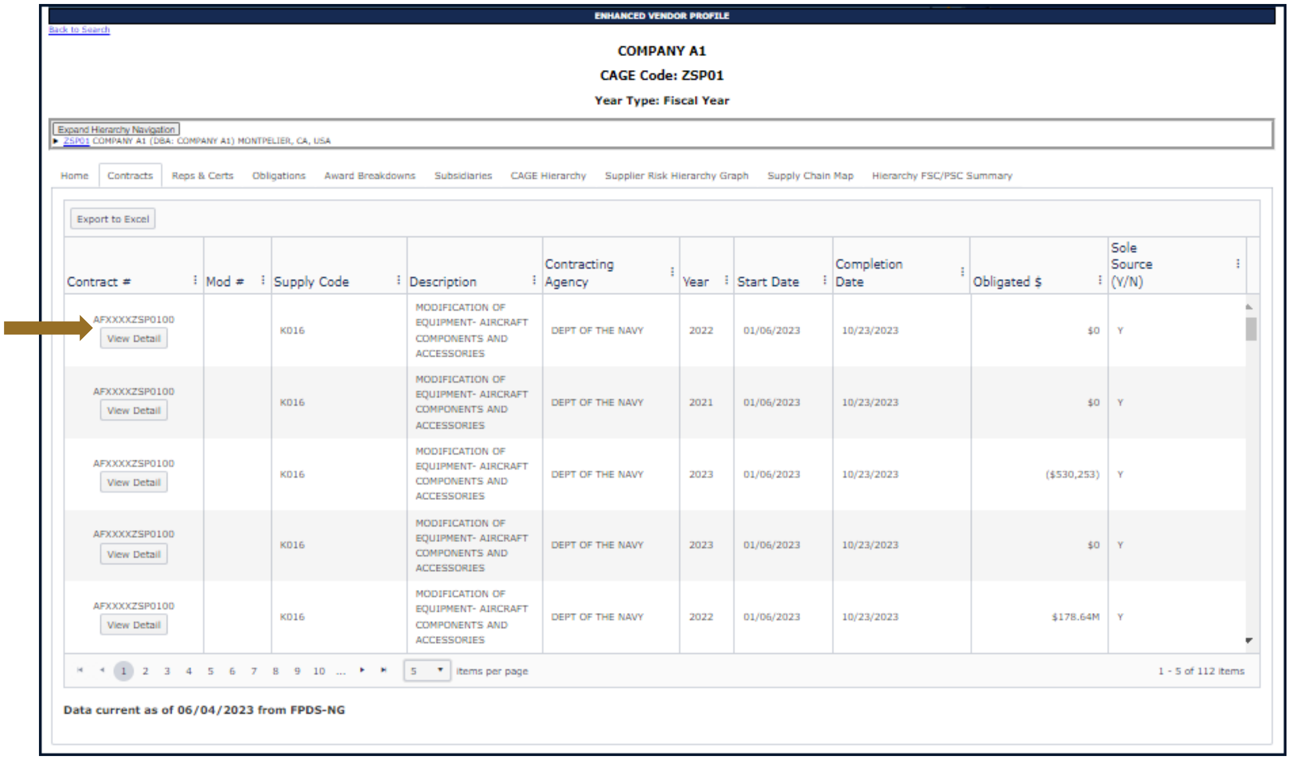


Figure 104: Enhanced Vendor Profile Home Tab

- The next tab is a Contracts Summary

- User can sort by any column by clicking the column header
- User can export entire table to excel for easier data manipulation
- Click on View Detail Button to view contract detail from FPDS Search – new tab will open displaying FPDS search



Contract #	Mod #	Supply Code	Description	Contracting Agency	Year	Start Date	Completion Date	Obligated $	Sole Source (Y/N)
AFXXXXZSP0100		K016	MODIFICATION OF EQUIPMENT- AIRCRAFT COMPONENTS AND ACCESSORIES	DEPT OF THE NAVY	2022	01/06/2023	10/23/2023	$0	Y
AFXXXXZSP0100		K016	MODIFICATION OF EQUIPMENT- AIRCRAFT COMPONENTS AND ACCESSORIES	DEPT OF THE NAVY	2021	01/06/2023	10/23/2023	$0	Y
AFXXXXZSP0100		K016	MODIFICATION OF EQUIPMENT- AIRCRAFT COMPONENTS AND ACCESSORIES	DEPT OF THE NAVY	2023	01/06/2023	10/23/2023	($530,253)	Y
AFXXXXZSP0100		K016	MODIFICATION OF EQUIPMENT- AIRCRAFT COMPONENTS AND ACCESSORIES	DEPT OF THE NAVY	2023	01/06/2023	10/23/2023	$0	Y
AFXXXXZSP0100		K016	MODIFICATION OF EQUIPMENT- AIRCRAFT COMPONENTS AND ACCESSORIES	DEPT OF THE NAVY	2022	01/06/2023	10/23/2023	$178.64M	Y

Figure 105: Enhanced Vendor Profile Contracts Tab

- The next tab is Reps & Certs
- Click the Section 889 link to display Section 889 information

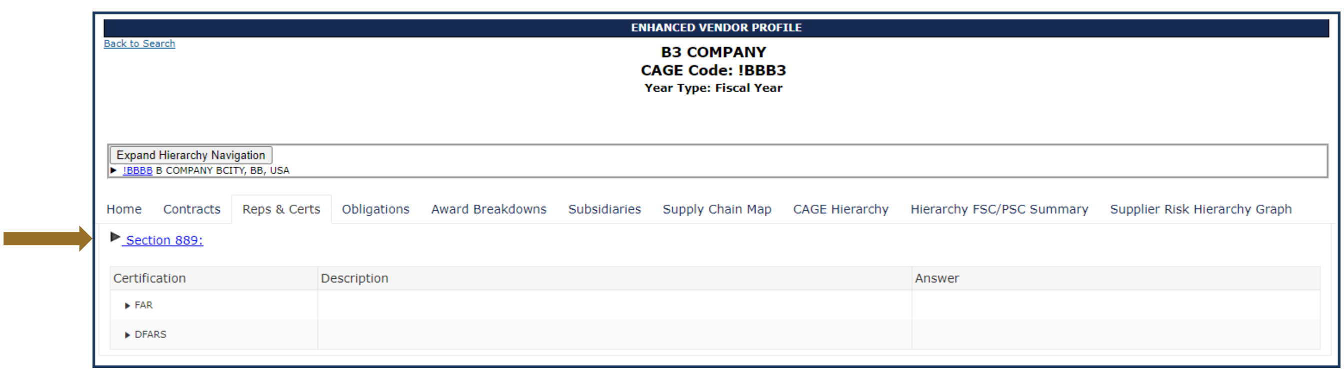


Figure 106: Enhanced Vendor Profile Reps & Certs Tab

- Click the FAR and/or DFAR arrow(s) to display regulations – this data originates from the System for Award Management (SAM)

Figure 107: Enhanced Vendor Profile Reps & Certs Tab (Section 889)

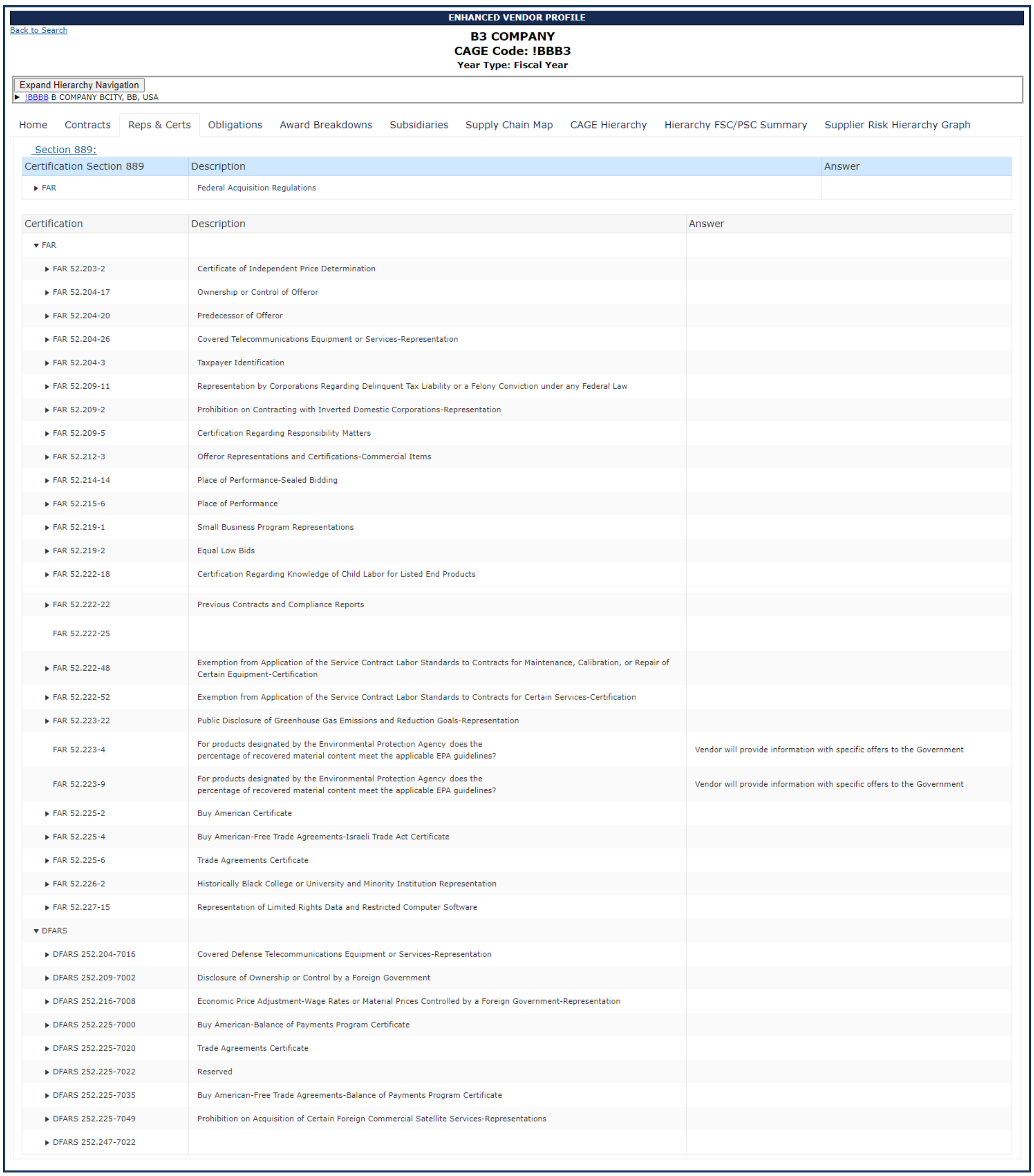


Figure 108: Enhanced Vendor Profile Reps & Certs Tab (Section 889) Regulations

- Next is the Obligations Tab - DoD Obligations by Sector
    - Graphical representation of obligated dollars, broken down by sector. The sectors are grouped by FSC/PSC Category and FSC/PSC Subcategory. All data in this graph and those that follow contain last three years of contract data.

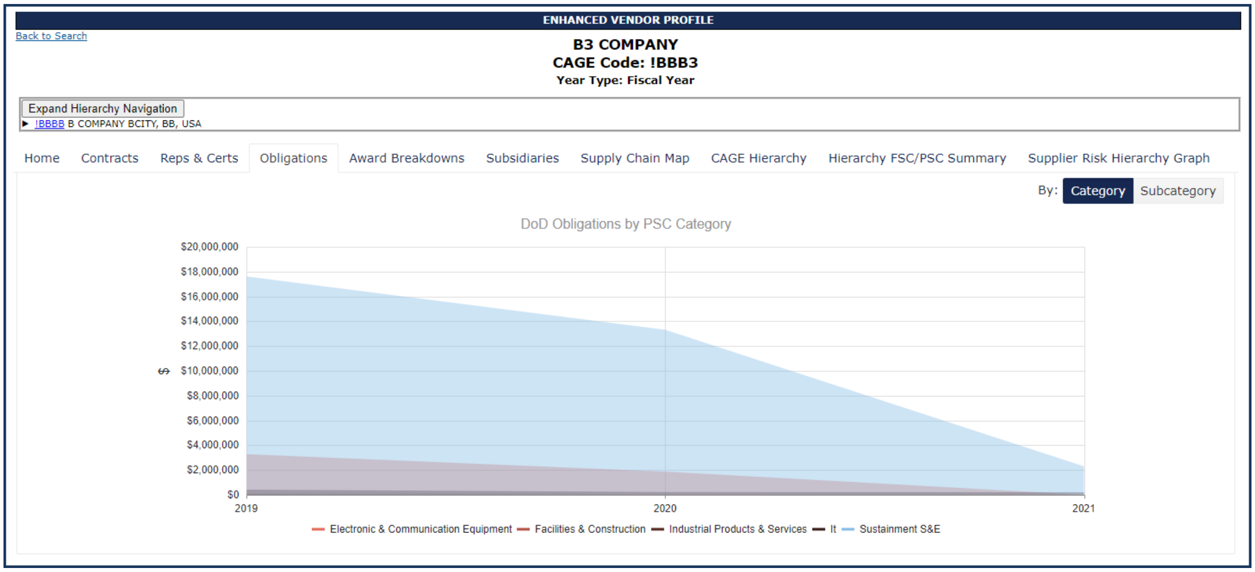


Figure 109: Enhanced Vendor Profile Obligations Tab

- The next tab is Award Breakdowns – a breakdown of awards by DoD agency, NAICS code, & FSC/PSC Category
    - Breakdown of obligation dollars of the searched CAGE code. Graphs can be displayed with data for the last 3 years or a single year 'snapshot'. Award Breakdown graphs included are agency, NAICS and/or FSC/PSC code.

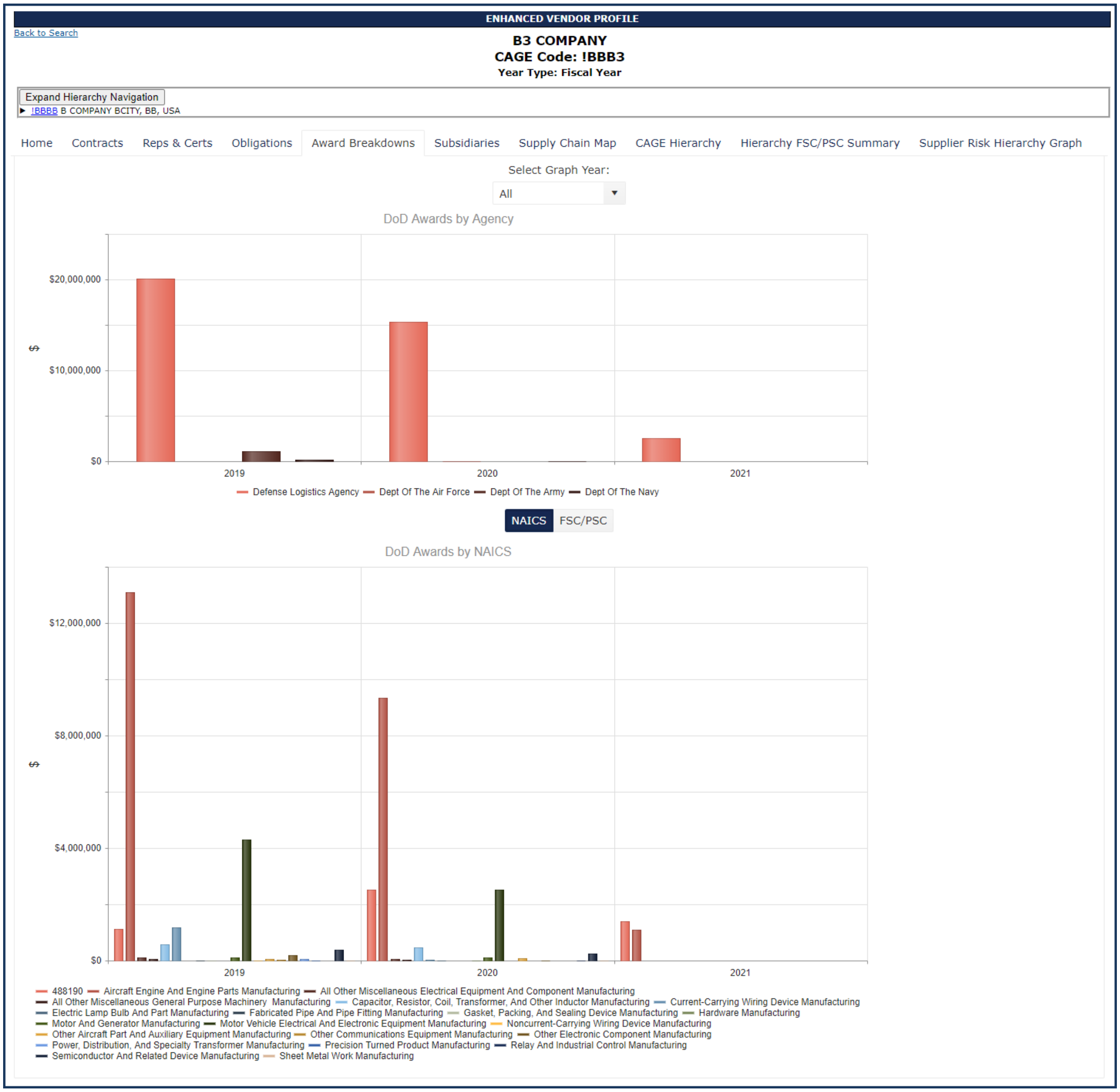


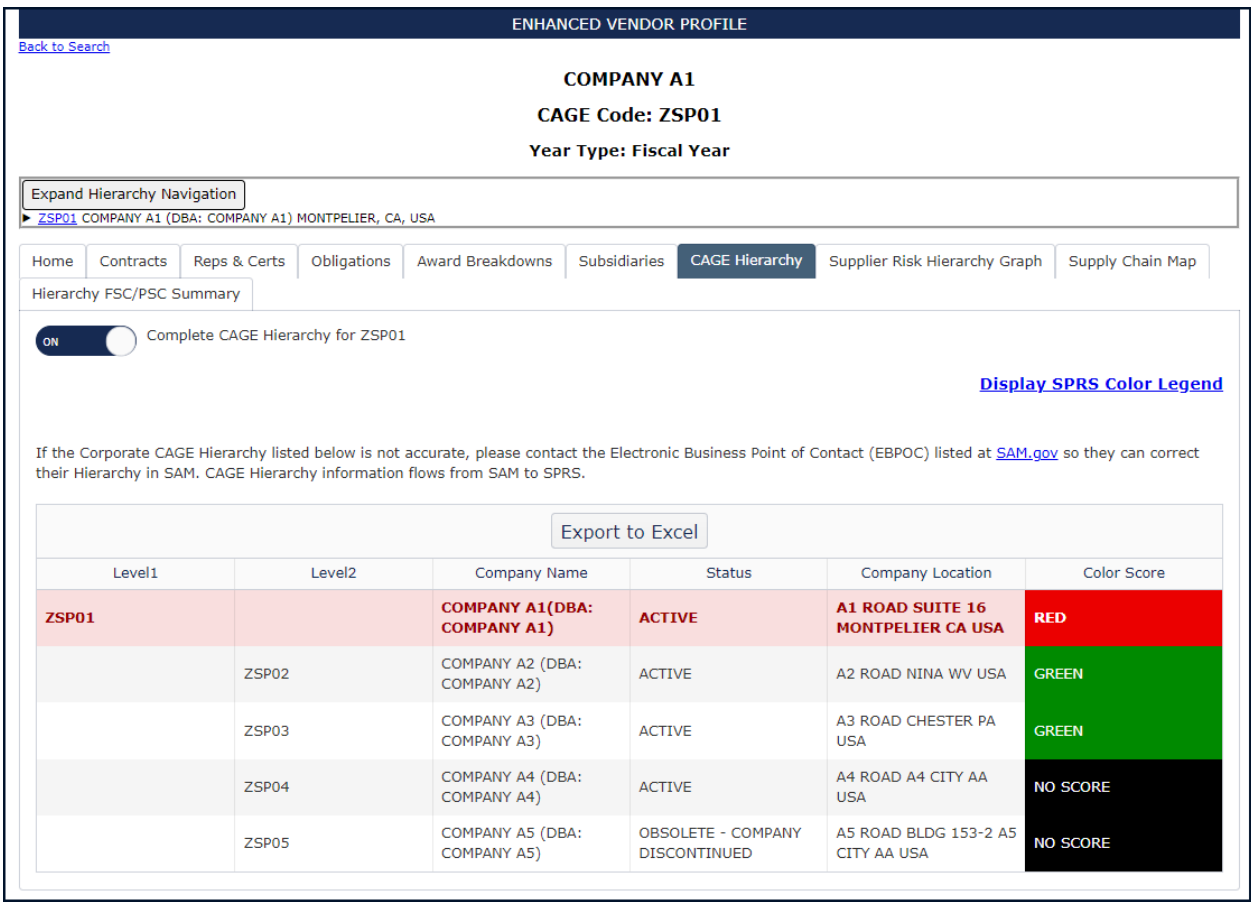
Figure 110: Enhanced Vendor Profile Award Breakdowns

- The next tab is Subsidiaries
    - Summary of the subsidiaries of the CAGE hierarchy for this vendor. Table summarizes CAGE information and obligated dollars.

Alias Name	UEI	CAGE CODE	DOD OBLIGATED $
COMPANY A3	ZZZZZZZZSP03	ZSP03	$2.30M
COMPANY A2	ZZZZZZZZSP02	ZSP02	$11.61M

Figure 111: Enhanced Vendor Profile Subsidiaries

- The next tab is CAGE Hierarchy - red highlight is an indicator of the CAGE code searched
    - SPRS Supplier Risk Score color is listed in right most column of this table
    - Click “Export to Excel” to export this entire CAGE Hierarchy to excel format



Level1	Level2	Company Name	Status	Company Location	Color Score
ZSP01		COMPANY A1(DBA: COMPANY A1)	ACTIVE	A1 ROAD SUITE 16 MONTPELIER CA USA	RED
	ZSP02	COMPANY A2 (DBA: COMPANY A2)	ACTIVE	A2 ROAD NINA WV USA	GREEN
	ZSP03	COMPANY A3 (DBA: COMPANY A3)	ACTIVE	A3 ROAD CHESTER PA USA	GREEN
	ZSP04	COMPANY A4 (DBA: COMPANY A4)	ACTIVE	A4 ROAD A4 CITY AA USA	NO SCORE
	ZSP05	COMPANY A5 (DBA: COMPANY A5)	OBSOLETE - COMPANY DISCONTINUED	A5 ROAD BLDG 153-2 A5 CITY AA USA	NO SCORE

Figure 112: Enhanced Vendor Profile CAGE Hierarchy

- The next tab is the Supplier Risk Hierarchy Graph

- Breakdown of this CAGE code's entire CAGE Hierarchy into SPRS supplier risk color scores depicted graphically
- If there are too many "No Score" companies in the hierarchy, the checkbox in the upper right can be toggled on.

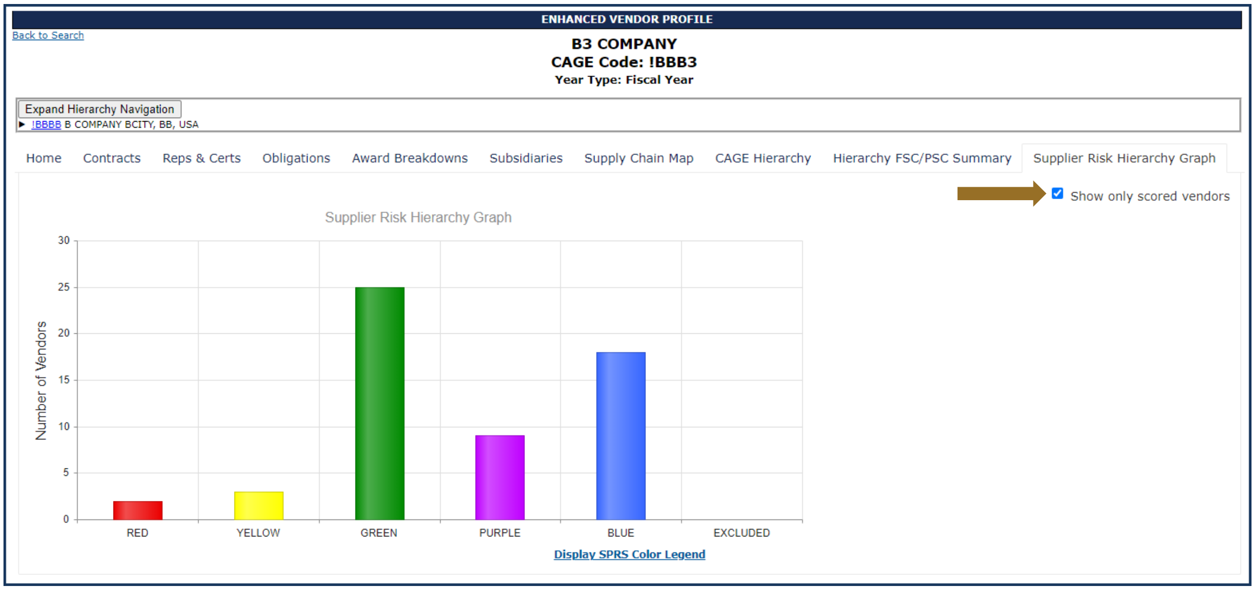
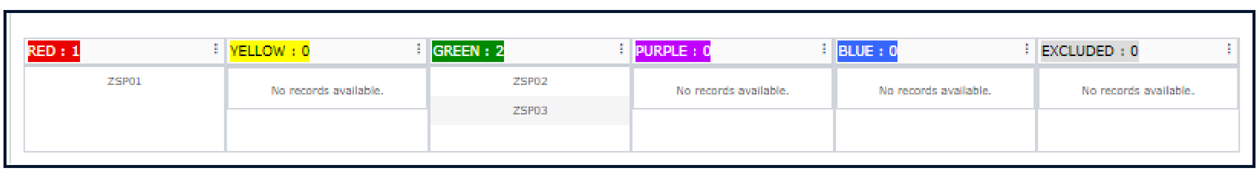


Figure 113: Hierarchy Color Distribution

- Under the SPRS color legend, counts how many CAGE codes appear in each color band can be found



RED : 1	YELLOW : 0	GREEN : 2	PURPLE : 0	BLUE : 0	EXCLUDED : 0
ZSP01	No records available.	ZSP02	No records available.	No records available.	No records available.
		ZSP03			

Figure 114: Hierarchy Color Distribution Counts

- The next tab is Supply Chain Map –geographical display of CAGE Hierarchy
  - User can zoom in/out using the mouse scroll bar
  - Target symbol is the searched CAGE code
  - Star symbol is Highest Level Owner (HLO) of this CAGE hierarchy
  - Filter by levels within the CAGE Hierarchy by using the CAGE Tiers Filter
  - Filter by direction within CAGE Hierarchy by using CAGE Hierarchy Filter
    - Example: If the searched company is second tier company, selecting Downstream Hierarchy Filter displays all of the subsidiaries to the searched CAGE. While selecting the

Upstream Hierarchy Filter, user will see the geographical connections to the HLO.

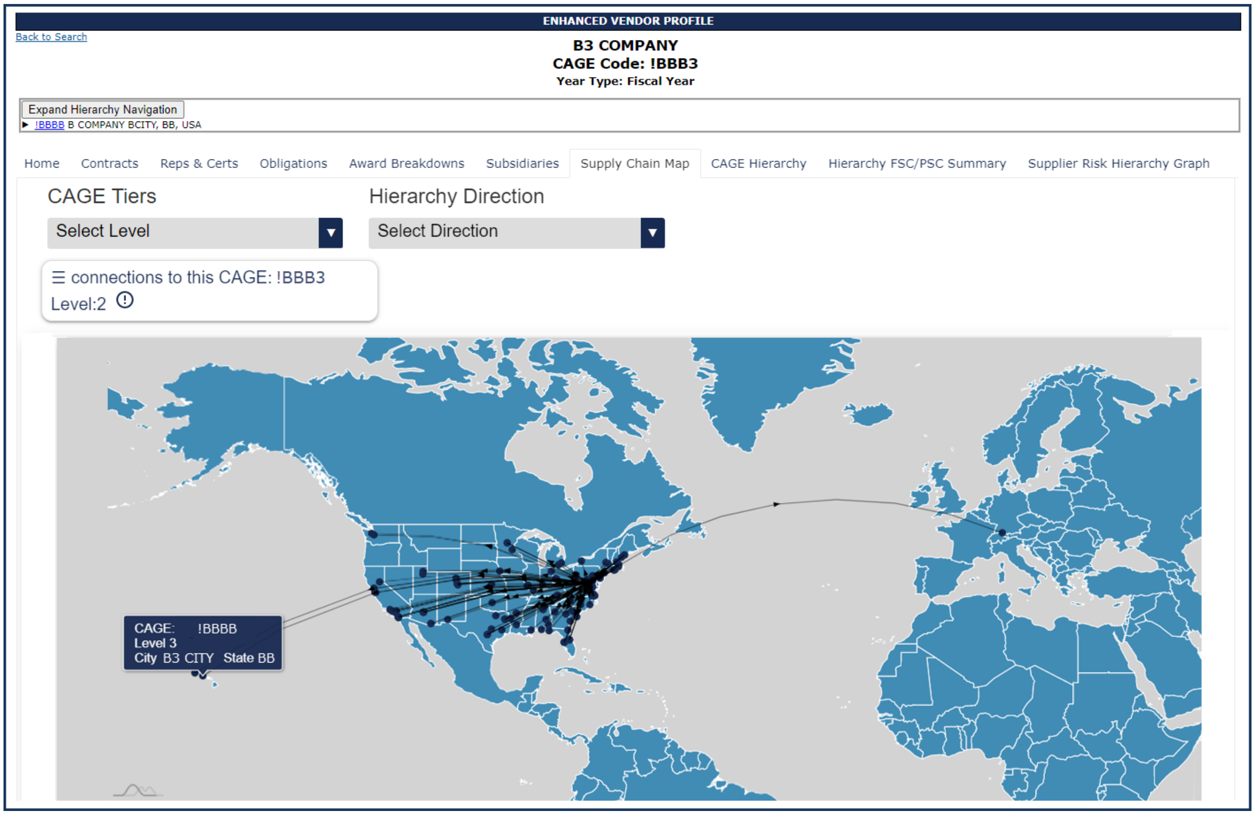
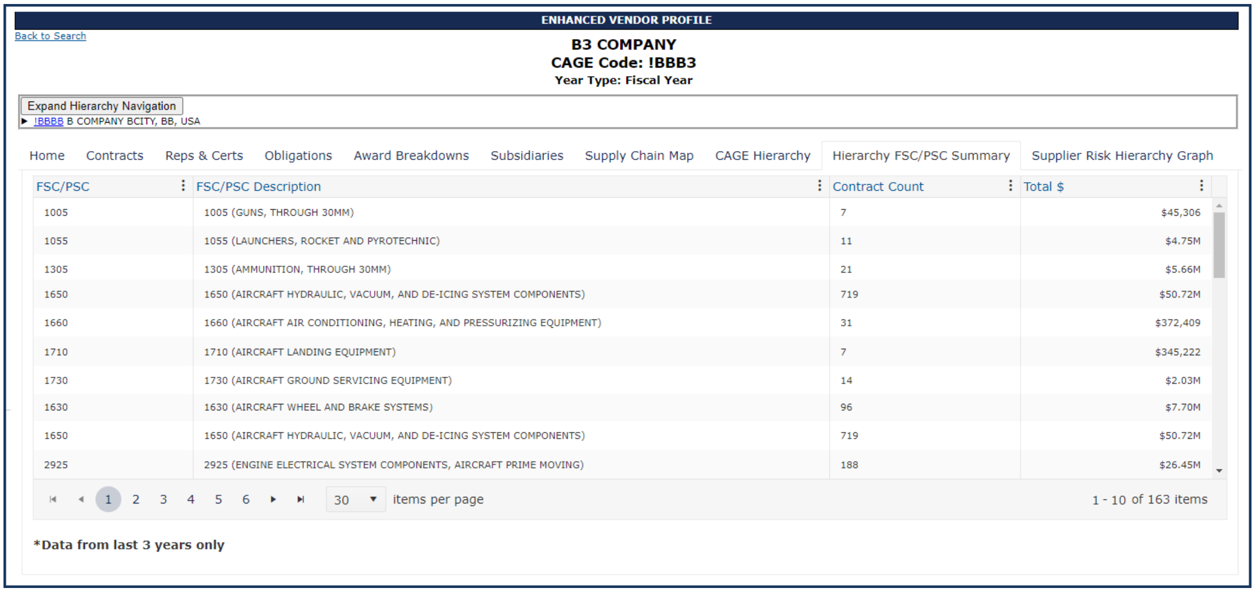


Figure 115: Enhanced Vendor Profile Supply Chain Map

- The last tab is Hierarchy FSC/PSC Summary
  - Aggregated breakdown of contract counts and dollars by FSC/PSCs for the entire CAGE Hierarchy including the searched CAGE
  - Click column header to sort by contract count or obligated $


ENHANCED VENDOR PROFILE

Back to Search

B3 COMPANY
CAGE Code: !BBB3
Year Type: Fiscal Year

Expand Hierarchy Navigation
▶ !BBBB B COMPANY BCITY, BB, USA

Home | Contracts | Reps & Certs | Obligations | Award Breakdowns | Subsidiaries | Supply Chain Map | CAGE Hierarchy | Hierarchy FSC/PSC Summary | Supplier Risk Hierarchy Graph

FSC/PSC	FSC/PSC Description	Contract Count	Total $
1005	1005 (GUNS, THROUGH 30MM)	7	$45,306
1055	1055 (LAUNCHERS, ROCKET AND PYROTECHNIC)	11	$4.75M
1305	1305 (AMMUNITION, THROUGH 30MM)	21	$5.66M
1650	1650 (AIRCRAFT HYDRAULIC, VACUUM, AND DE-ICING SYSTEM COMPONENTS)	719	$50.72M
1660	1660 (AIRCRAFT AIR CONDITIONING, HEATING, AND PRESSURIZING EQUIPMENT)	31	$372,409
1710	1710 (AIRCRAFT LANDING EQUIPMENT)	7	$345,222
1730	1730 (AIRCRAFT GROUND SERVICING EQUIPMENT)	14	$2.03M
1630	1630 (AIRCRAFT WHEEL AND BRAKE SYSTEMS)	96	$7.70M
1650	1650 (AIRCRAFT HYDRAULIC, VACUUM, AND DE-ICING SYSTEM COMPONENTS)	719	$50.72M
2925	2925 (ENGINE ELECTRICAL SYSTEM COMPONENTS, AIRCRAFT PRIME MOVING)	188	$26.45M

1 2 3 4 5 6 | 30 items per page | 1 - 10 of 163 items

*Data from last 3 years only

Figure 116: Enhanced Vendor Profile Hierarchy FSC/PSC Summary

Quick Access: Anyone with a government PKI certificate may click the Enhanced Vendor Profile link in the Menu on the SPRS web page to view. Limited functionality coming in from quick access.

7.5 DETAIL REPORT POS/NEG RECORDS

The Detail Report Pos/Neg Records retrieves all the positive or negative records for a particular CAGE or specified CAGE/Supply Code combination. The records displayed are the same as those found in the Summary Report but grouped together in two reports.

To access the Detail Report Pos/Neg Records:

Select **Detail Report Pos/Neg Records** link from the Menu.

- Select the Supply Code radio button to search for a specific FSC/PSC or NAICS, or leave blank to view all records
- Enter the CAGE to be searched
- Enter Supply Code (optional)

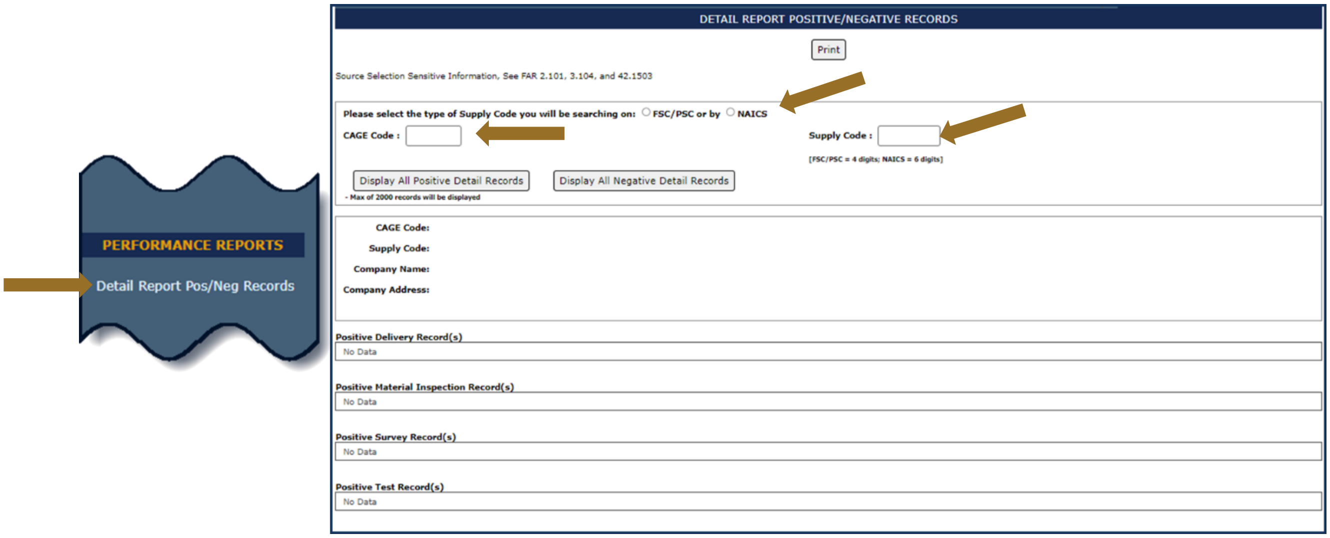
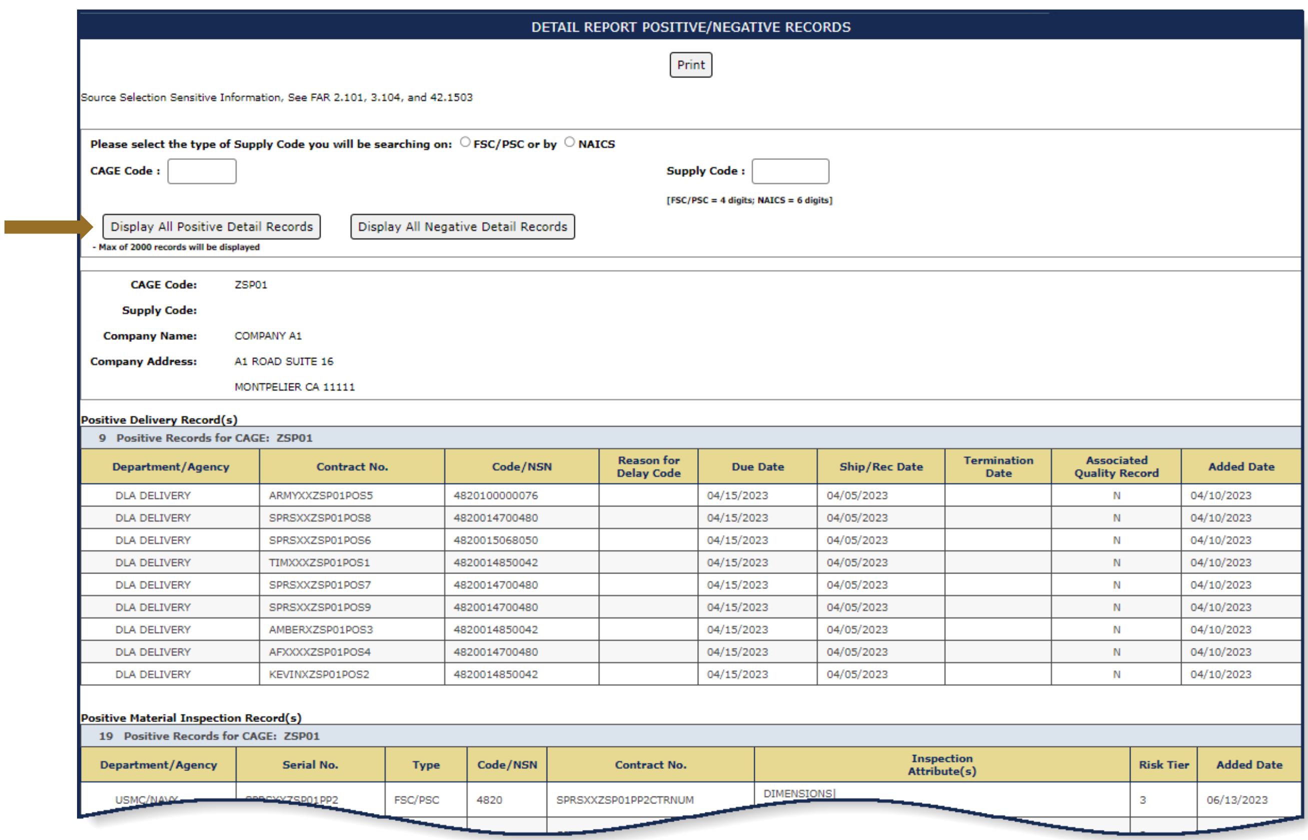


Figure 117: Detail Report Pos/Neg Records Request

- Click the button to Display All Positive Detail Records



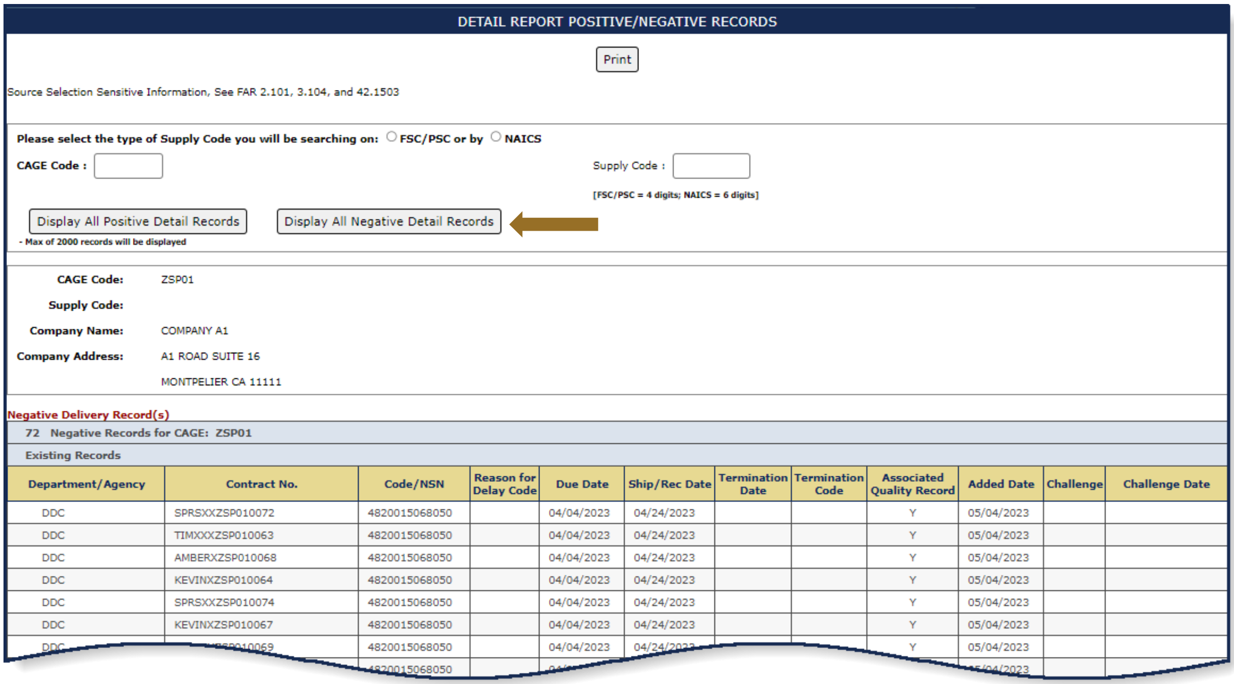
Department/Agency	Contract No.	Code/NSN	Reason for Delay Code	Due Date	Ship/Rec Date	Termination Date	Associated Quality Record	Added Date
DLA DELIVERY	ARMYXXZSP01POS5	4820100000076		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	SPRSXXZSP01POS8	4820014700480		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	SPRSXXZSP01POS6	4820015068050		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	TIMXXXZSP01POS1	4820014850042		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	SPRSXXZSP01POS7	4820014700480		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	SPRSXXZSP01POS9	4820014700480		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	AMBERXZSP01POS3	4820014850042		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	AFXXXXZSP01POS4	4820014700480		04/15/2023	04/05/2023		N	04/10/2023
DLA DELIVERY	KEVINXZSP01POS2	4820014850042		04/15/2023	04/05/2023		N	04/10/2023

Positive Material Inspection Record(s)
19 Positive Records for CAGE: ZSP01

Department/Agency	Serial No.	Type	Code/NSN	Contract No.	Inspection Attribute(s)	Risk Tier	Added Date
USMC/NAVY	SPRSXXZSP01PP2	FSC/PSC	4820	SPRSXXZSP01PP2CTRNUM	DIMENSIONS|	3	06/13/2023

Figure 118: Detail Report Positive Records

- Click the button to Display All Negative Detail Records



Department/Agency	Contract No.	Code/NSN	Reason for Delay Code	Due Date	Ship/Rec Date	Termination Date	Termination Code	Associated Quality Record	Added Date	Challenge	Challenge Date
DDC	SPRSXXZSP010072	4820015068050		04/04/2023	04/24/2023			Y	05/04/2023		
DDC	TIMXXXZSP010063	4820015068050		04/04/2023	04/24/2023			Y	05/04/2023		
DDC	AMBERXZSP010068	4820015068050		04/04/2023	04/24/2023			Y	05/04/2023		
DDC	KEVINXZSP010064	4820015068050		04/04/2023	04/24/2023			Y	05/04/2023		
DDC	SPRSXXZSP010074	4820015068050		04/04/2023	04/24/2023			Y	05/04/2023		
DDC	KEVINXZSP010067	4820015068050		04/04/2023	04/24/2023			Y	05/04/2023		

Figure 119 Detail Report Negative Records

7.6 SUPPLY CODE RELATIONSHIP

Supply Code Relationship verifies the current data integrity relationships between FSC/PSC to NAICS and NAICS to FSC/PSC supply codes.

To access Supply Code Relationship:

Select **Supply Code Relationship** link from the Menu.

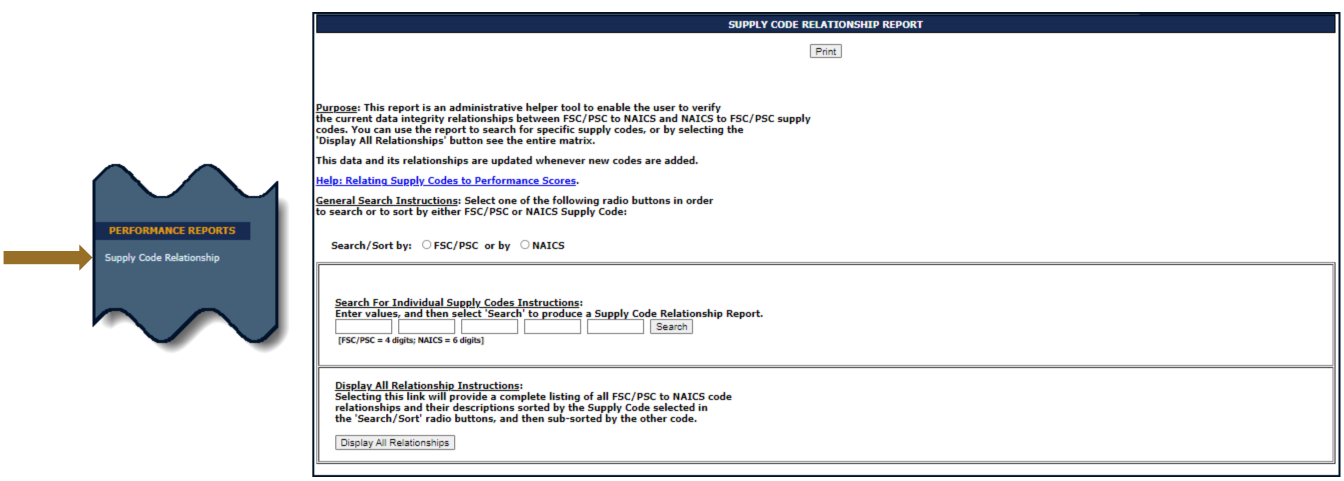
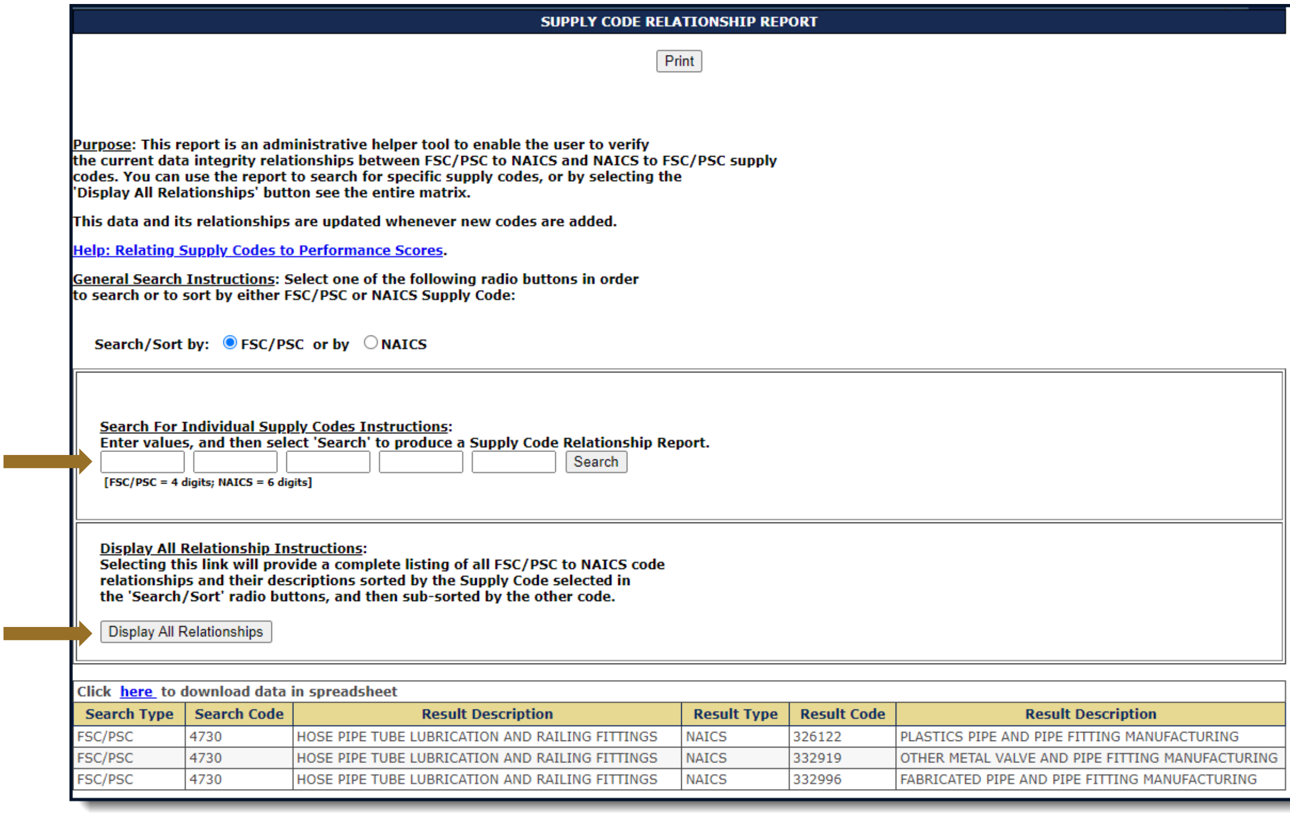


Figure 120: Supply Code Relationship Request

- Select the radio button Search/Sort by FSC/PSC or NAICS, OR click the button to Display all relationships

- If searching for a specific Supply Code enter the Supply Code to be searched



SUPPLY CODE RELATIONSHIP REPORT

Print

Purpose: This report is an administrative helper tool to enable the user to verify the current data integrity relationships between FSC/PSC to NAICS and NAICS to FSC/PSC supply codes. You can use the report to search for specific supply codes, or by selecting the 'Display All Relationships' button see the entire matrix.

This data and its relationships are updated whenever new codes are added.

Help: Relating Supply Codes to Performance Scores.

General Search Instructions: Select one of the following radio buttons in order to search or to sort by either FSC/PSC or NAICS Supply Code:

Search/Sort by: FSC/PSC or by NAICS

Search For Individual Supply Codes Instructions:
Enter values, and then select 'Search' to produce a Supply Code Relationship Report.

Search

[FSC/PSC = 4 digits; NAICS = 6 digits]

Display All Relationship Instructions:
Selecting this link will provide a complete listing of all FSC/PSC to NAICS code relationships and their descriptions sorted by the Supply Code selected in the 'Search/Sort' radio buttons, and then sub-sorted by the other code.

Display All Relationships

Click here to download data in spreadsheet

Search Type	Search Code	Result Description	Result Type	Result Code	Result Description
FSC/PSC	4730	HOSE PIPE TUBE LUBRICATION AND RAILING FITTINGS	NAICS	326122	PLASTICS PIPE AND PIPE FITTING MANUFACTURING
FSC/PSC	4730	HOSE PIPE TUBE LUBRICATION AND RAILING FITTINGS	NAICS	332919	OTHER METAL VALVE AND PIPE FITTING MANUFACTURING
FSC/PSC	4730	HOSE PIPE TUBE LUBRICATION AND RAILING FITTINGS	NAICS	332996	FABRICATED PIPE AND PIPE FITTING MANUFACTURING

Figure 121: FSC/PSC to NAICS example

- Click the “Help: Relating Supply Codes to Performance Scores” link to display helpful info

Discussion of Supply Codes and How They Relate to Performance Scores

The term 'Supply Code' refers to a part or product reference code. This code could be either an FSC/PSC (*Federal Supply Code/Product Service Code*) or a NAICS (*North American Industry Classification System*).

FSC/PSC codes are used by government buying offices to classify and identify the products, supplies, and services that the government uses and buys.

NAICS codes identify products and services by type of industry and are used by the government to evaluate economic performance.

There is thus a relationship between FSC/PSC and NAICS codes. One FSC/PSC code might relate to one or many NAICS codes that describe the same part or product.

For example, FSC/PSC 1620 may only have a relationship to one NAICS code 336413

FSC/PSC 1620: A/C LAND GR CP
NAICS 336413: OTHER AIRCRAFT PART AND AUXILIARY EQUIPMENT MANUFACTURING

Whereas FSC/PSC 2420 has 3 related NAICS codes: 332439, 332999, 333924

FSC/PSC 2420: TRACT WHLD
NAICS 332439: OTHER METAL CONTAINER MANUFACTURING

FSC/PSC 2420: TRACT WHLD
NAICS 332999: ALL OTHER MISCELLANEOUS FABRICATED METAL PRODUCT MANUFACTURING

FSC/PSC 2420: TRACT WHLD
NAICS 333924: INDUSTRIAL TRUCK, TRACTOR, TRAILER, AND STACKER MACHINERY MANUFACTURING

If you look at the descriptions, you'll see that they are all describing a single part or product, not 3 or 4 different parts or products.

Because part and product performance metric data is collected by SPRS from many different sources, products can potentially be referenced by both their FSC/PSC and NAICS designations.

Thus, in the reporting of contractor performance on FSC/PSC 2420, for example, SPRS must consider any reports submitted under corresponding NAICS codes of 332439, 332999, and 333924.

NOTE: The scoring, however, is <u>not</u> being done on 3 or 4 parts or products, but only on a <u>single</u> part or product.

Figure 122: Supply Codes Relationship to Performance Scores Help

8. ADMIN REPORTS

8.1 DATA REPORT SEARCH

Data Report Search allows the user to review the data reports used in SPRS scores.

To access Data Report Search:

Select **Data Report Search** link from the menu

- Choose Record type from the dropdown: CDD Delivery, BUL Bulletin, GIDEP Alert, MIR Material Inspection Record, PQDR Product Quality Deficiency Report, SDR Supply Discrepancy Report, SRV Survey Report, or TST Test Report
- Choose one or more data constraining element(s): Contract No., Serial No., WebSDR No., Cage Code, or Supply Type and Code
- Click Search

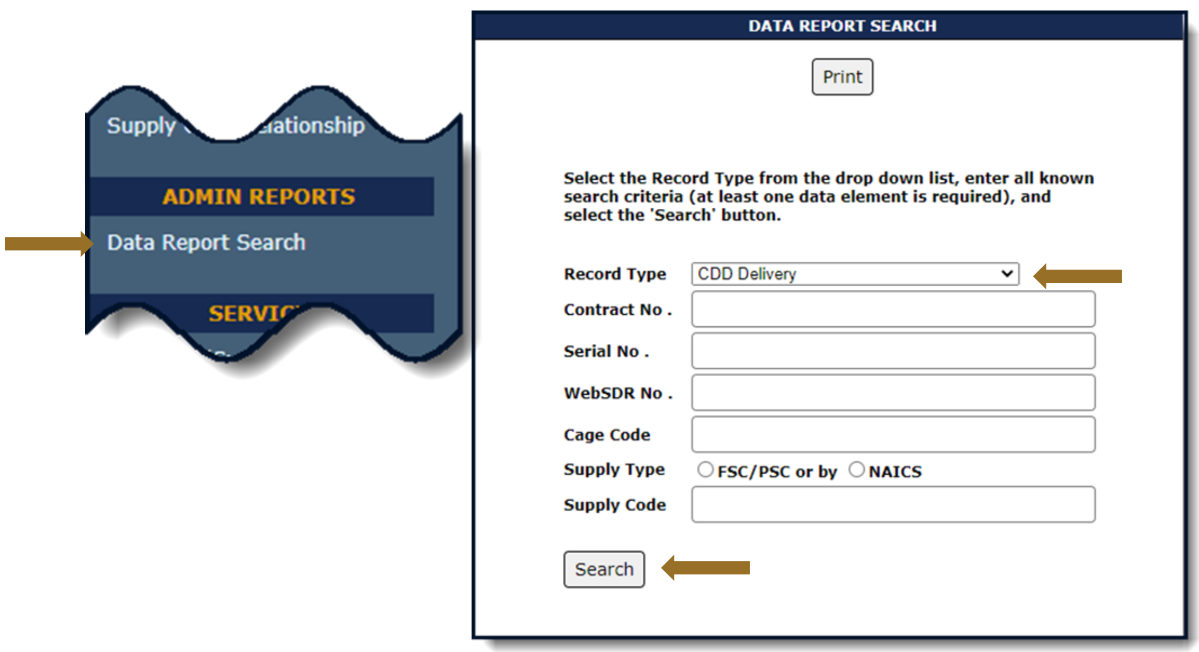


Figure 123: Data Report Search Request

- Click on Department/Agency to view details on the record and about the company.
- Click the **Print** button to print this view

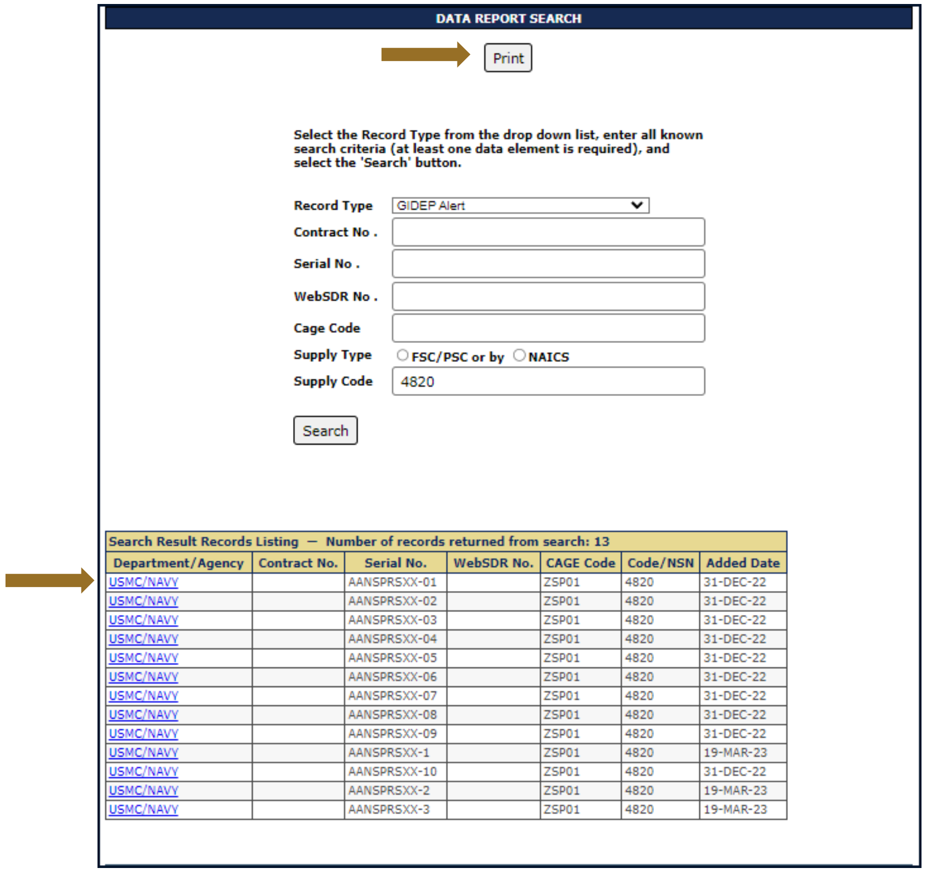


Figure 124: Data Report Search Records

9. FEEDBACK/CUSTOMER SUPPORT

9.1 FEEDBACK/CUSTOMER SUPPORT

Feedback/Customer Support allows the user to submit feedback, questions or suggestions about the application to the SPRS Program Management Office (PMO). Responses to these communications will be visible in the same Feedback/Customer Support module. Additional comments or questions on the topic may be added to a numbered conversation until it is closed.

To access Feedback/Customer Support:

Select **Feedback/Customer Support** link from the Menu or Header.

- Click New Feedback to begin

Figure 125: Feedback/Customer Support Request

- Add comments to the Comment section
- Click Select files button to attach files (If troubleshooting an issue, it may be helpful to attach a screenshot)
- Click the Submit button

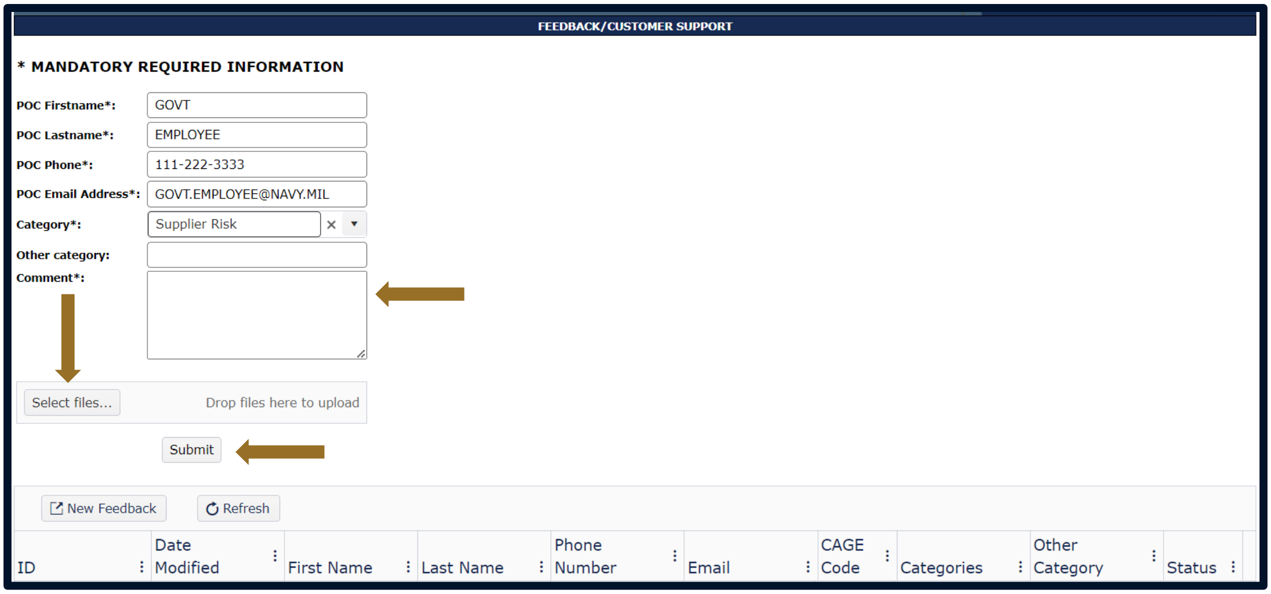


Figure 126: Feedback/Customer Support Window Description

The submission will appear in the grid below with a conversation identification number (ID) and basic details, including the date that the conversation was last modified.

When a response has been received a number will appear near the Feedback button in the SPRS header.

- Click the Feedback button to open the module
- Click the View Details button to view response or add comments

Figure 127: View Feedback/Customer Support Response

- Optional: Add additional comments in the Comment box
- Click Select files to attach files
- Click Submit button

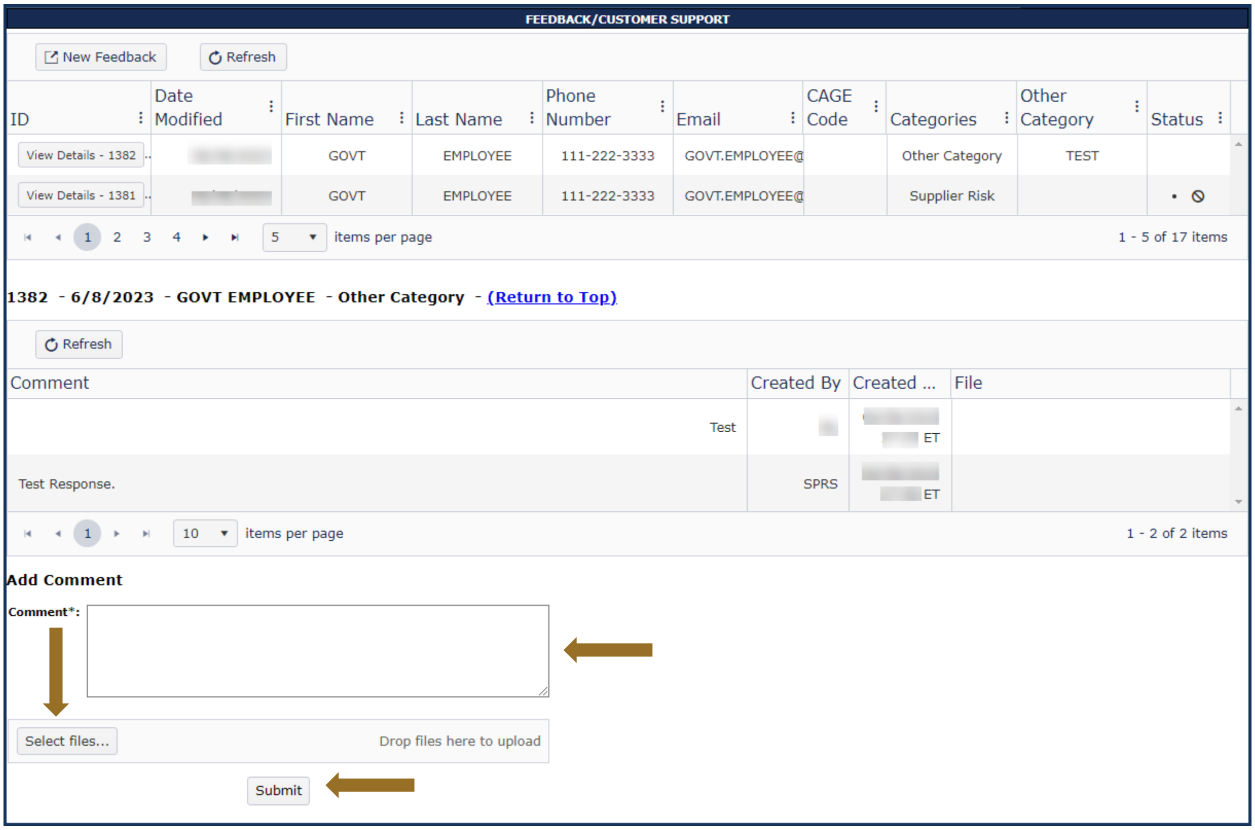


Figure 128: Feedback/Customer Support Add Comments and Files

- Click the dots above a column to sort

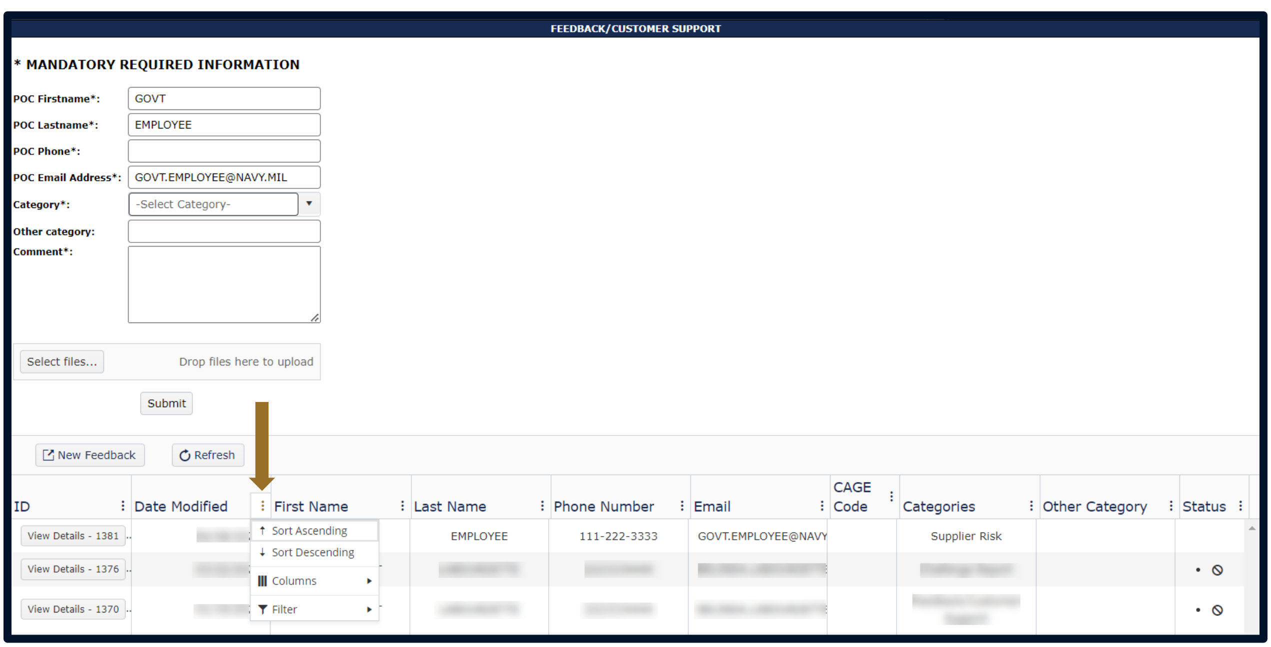


Figure 129: Feedback/Customer Support Sort Columns

- A bell icon in the Status column indicates a response has been sent

- A circle with a line in the Status column indicates the conversation is closed

Figure 130: Feedback/Cutstomer Support Status

10. TRAINING MATERIALS

The SPRS web page provides a variety of public resources accessible by selecting from the pop-out menu and buttons. Access buttons and menu items by mouse-click.

To access the SPRS web page:

Select the  icon from the Menu in the SPRS application, or https://www.sprs.csd.disa.mil/.

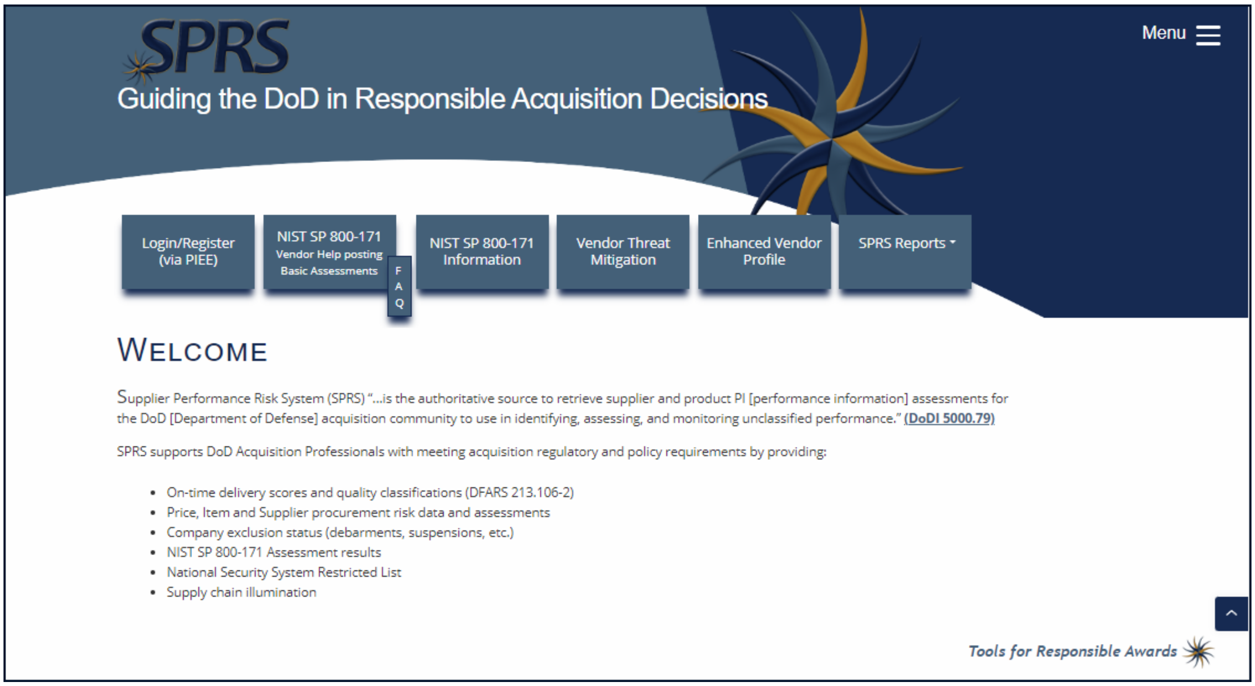
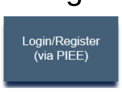
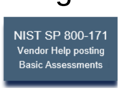


Figure 131: SPRS Web Landing Page

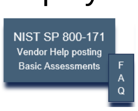
Navigation:



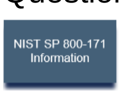
- Login/Register (via PIEE) button for redirection to the Procurement Integrated Enterprise Environment (PIEE)



- NIST SP 800-171 Vendor Help posting Basic Assessments button to display/download the NIST SP 800-171 Quick Entry Guide



- FAQ for the NIST SP 800-171 Vendor Help posting Basic Assessments button to display/download NIST specific Frequently Asked Questions document



- NIST SP 800-171 Information button to display related training and information

Vendor Threat Mitigation - Vendor Threat Mitigation button to display related resources

Enhanced Vendor Profile - Enhanced Vendor Profile button to display the related resources

SPRS Reports - SPRS Reports button to display information for select SPRS reports

Click the Menu icon to display a pop-out menu

Figure 132: SPRS Web Landing Page w/ Pop-Out Menu

Home - Return to the SPRS web-landing page

NSS Restricted List - Restricted Government-only

NIST SP 800-171 Assessments - Restricted Government-only

Enhanced Vendor Profile - PIV only view of SPRS Enhanced Vendor Profile.

Access Instructions - Access Instructions for Government and Supplier/Vendor

Reference - User Guides and relevant policy guidance

FAQS - SPRS Frequently Asked Questions (FAQs)

Training - SPRS on-line and instructor-led Training Opportunities

Release - SPRS application changes

Contacts - SPRS program office contact information

REFERENCED DOCUMENTS

The following documents of the exact issue shown form a part of this document to the extent specified herein.

DOCUMENTS REFERENCED IN THIS USER'S GUIDE	
DOCUMENT	LOCATION
Privacy Act of 1974	https://www.justice.gov/oip/foia-resources
SPRS Evaluation Criteria	https://www.sprs.csd.disa.mil/pdf/SPRS_DataEvaluationCriteria.pdf
SPRS NIST Quick Entry Guide	https://www.sprs.csd.disa.mil/pdf/NISTSP800-171QuickEntryGuide.pdf
DoDI 5000.79	https://www.esd.whs.mil/Portals/54/Documents/DD/issuances/dodi/500079p.PDF?ver=2019-10-15-115609-957

GLOSSARY

This section provides definitions for acronyms, abbreviations and terms used in SPRS.

ACRONYM/ ABBREVIATION	DEFINITION
CAGE Code	Contractor and Government Entity Code
CDA	Central Design Activity
CCMD	Combatant Command
DCMA	Defense Contract Management Agency
EPL	Excluded Parties List
FSC/PSC	Federal Supply Classification/Product Service Code
NAICS	North American Industry Classification System
PDREP	Product Data Reporting and Evaluation Program
PIEE	Procurement Integrated Enterprise Environment
POC	Point of Contact
PMO	Program Management Office
PQDRs	Product Quality Deficiency Reports
SAM	System for Award Management
SPRS	Supplier Performance Risk System
UEI	Unique Entity Identifiers
WAWF	Wide Area Workflow

APPENDIX A : SPRS USER ROLES

TERM	DESCRIPTION
SPRS Acquisition Professional (Government Access)	View and modify account information View All SPRS Reports Provide customer feedback
Cyber Government User Access	Restricted Government Access Add/Edit/View NIST SP 800-171 Assessment results
VTM User Access	SPRS Vendor Threat Management Specific Access: - VTM Program Officer User: Add/Edit/View VTM Risk Assessment Records within SPRS Vendor Threat Mitigation (VTM) Module - VTM Acquisition Professional: View only access for SPRS Vendor Threat Mitigation (VTM) Module for Government Support Contractors

APPENDIX B : TROUBLESHOOTING

Should assistance with SPRS be required, read the following troubleshooting hints and tips to help determine point of contact (POC) for assistance.

Common SPRS Issues		
PROBLEM	**DIAGNOSIS**	**POC**
SPRS doesn't execute	Confirm that recommended browser. List available on the application main page.	Once browser is confirmed, email **usn.pnsy.navsealogcen.mbx.ptsmh@us.navy.mil** for additional assistance
SPRS is not running efficiently. Isolated or widespread?	If widespread, possible local PC issue or local network issues. Try refreshing the page.	Local IT personnel (a trace route and/or a set of pings would be helpful) If Local IT cannot resolve, call the Help Desk at (207) 438-1690 or email **usn.pnsy.navsealogcen.mbx.ptsmh@us.navy.mil**
SPRS is unavailable	SPRS may be running a batch job which typically run between 2300 and 0200 GMT	If outside batch job timeframe, email **usn.pnsy.navsealogcen.mbx.ptsmh@us.navy.mil**
* When local network engineers are involved, a trace route or a set of pings or both would be very helpful to have when calling.		

NOTE: When emailing it is helpful to include the web browser, URL, PIEE user id, and screenshots of the issue.

APPENDIX C : MENU ITEMS

ITEM	DESCRIPTION
	Opens SPRS web landing page for resource tools
Govt Home	Returns the user to the SPRS Government Main Page
Logout	Used to log out of SPRS
COMPLIANCE REPORTS	
NIST SP 800-171 Assessment	Enables authorized users to enter results and DoD to assess a contractor's implementation of NIST SP 800-171
NSS Restricted List	Allows users to view the NSS Restricted List
Section 841 Identifications List	Provides SPRS users an up-to-date list of vendors that have active identifications under FY15 NDAA Sec 841
Vendor Threat Mitigation	Vendor Threat Mitigation (VTM) is the process to assess and mitigate risks posed by vendors supporting DoD operations outside the United States
RISK ANALYSIS REPORTS	
Supplier Risk	Supplier Risk Score and the data that comprises it
Item/Price Risk	Standalone way to view detailed Item Risk
Market Research	Acquisition community tool. Combined report integrating the historical Item/Price Risk and Supplier Risk information with previous buys of a specific item
Procurement Risk Analysis	Combined report integrating the historical Item/Price Risk and Supplier Risk information associated with bid responses
Supplier Surveillance	Tool to focus on vendors supplying particular items
PERFORMANCE REPORTS	
Summary Report	Allows users to view records used to score vendor quality, delivery and Supplier Risk
Solicitation Inquiry	Allows users to compare summary and detailed reports. Optionally the user may select to indicate which contractor received the award
Edit Existing Solicitation	Allows users to search previously entered Purchase Order or Solicitation Number to edit or update
Solicitation History	Allows users to retrieve the results of solicitation inquiries that were previously saved.
Enhanced Vendor Profile	Provides detailed, up-to-date supplier profile information on all current DoD vendors supported, by CAGE

ITEM	DESCRIPTION
Detail Report Pos/Neg Records	Displays the same individual records found in the Summary Report for a particular CAGE or CAGE/Supply Code combination, organized into simple Positive or Negative reports
Supply Code Relationship Report	Identifies the current data integrity relationships between FSC/PSC to NAICS and NAICS to FSC/PSC supply codes.
ADMIN REPORTS	
Data Report Search	Allows the user to review the data reports used in SPRS scores.
SERVICE	
Feedback/Customer Support	Allows users to provide suggestions to improve the application

This page intentionally left blank.